



Orange County Council of Governments

AGENDA

Orange County Council of Governments
Board of Directors Meeting

January 26, 2023 at 10:30 AM

As set forth in Orange County Council of Governments Bylaws and Joint Powers Agreement - the Orange County Council of Governments is a voluntary agency established to serve as a sub- regional organization to the Southern California Association of Governments representing Orange County on mandated and non-mandated regional planning activities, to provide a vehicle for Members to engage cooperatively on such activities, and to conduct studies and projects designed to improve and coordinate common governmental responsibilities and services on an area-wide and regional basis.

This meeting is being held in accordance with the Brown Act as currently in effect and will take place in accordance with Government Code section 54953(e) et seq. (AB 361), which allows attendance by the OCCOG Board of Directors, OCCOG staff/consultants, and the public to participate and conduct the meeting by teleconference, videoconference, or both. Those persons wishing to speak on any item included on the agenda, or on any matter within the subject matter jurisdiction of the OCCOG Board, are invited to call in using the teleconference information stated below or, alternatively, may submit electronic written comments to kathryn@occog.com. If you do not have access to email, you may also call the Clerk of the Board at (949) 357-3342 before 9:00 a.m. on January 26, 2023, to submit your comments over the phone.

If you would like to participate and speak via the teleconference, please use the following link:

Join Zoom Meeting

<https://us06web.zoom.us/j/88045297378?pwd=VDQ1YmpwWWU0U2tLdDRsdIcvZ1pQQT09>

Meeting ID: 880 4529 7378

Passcode: 523461

One tap mobile

+16694449171,,88045297378# US

+16699006833,,88045297378# US (San Jose)

Dial by your location

+1 669 444 9171 US

+1 669 900 6833 US (San Jose)

Meeting ID: 880 4529 7378

Find your local number: <https://us06web.zoom.us/j/kcYWpXD1vc>

In compliance with the Americans with Disabilities Act, if you require a reasonable accommodation to participate in this meeting, please contact the Board Clerk at (949) 357-3342 at least 48 hours prior to the advertised starting time of the meeting. Any documents produced by the Board and distributed to a majority of the Board regarding any item on this agenda will be posted on the Board's website at <https://www.occog.com/>. For all other questions, please call the Board Clerk at (949) 357-3342.



Zoom Format Only

1 (669) 900-6833 Meeting ID Number: 880 4529 7378

Password: 523461 - 10:30 a.m. to 12:30 p.m.

Board Members (Voting)

County of Orange SCAG Representative Chair Don Wagner

District 12 Sandy Rains

District 13 Wendy Bucknum

District 14 Tammy Kim

District 15 Lauren Kleiman

District 16 Benjamin Vazquez

District 17 Letitia Clark

District 18 Kim Nguyen

District 19 Ashleigh Aitken

District 20 Joe Kalmick

District 21 Art Brown

District 22 Marty Simonoff

District 64 Casey McKeon

Cities-at-Large Rose Espinoza

Independent Special Districts of Orange County (ISDOC) Mike Schaefer

Orange County Sanitary District (OCSD) David Shawver

Orange County Transportation Authority (OCTA) Brian Goodell

South Coast Air Quality Management District (SCAQMD) Carlos Rodriguez

Transportation Corridor Agency (TCA) Scott Voigts

Ex-Officio Members (Non-Voting)

Anaheim Resort Transportation (ART) Diana Kotler

Association of California Cities, Orange County (ACC-OC) Kris Murray

Business Community (OCBC) Jennifer Ward

Caltrans District 12 Ryan Chamberlain

League of California Cities, Orange County, (LOCC-OC) Tony Cardenas

Orange Co. Local Agency Formation Commission (OC-LAFCO) Carolyn Emery

Non-Profit Housing Community Helen O'Sullivan

Private Sector Adam Wood

University Community Amanda Hughes

Orange County Hispanic Chamber of Commerce Eddie Marquez

Vacant

County-at-Large



AGENDA

January 26, 2023 at 10:30 AM

Agenda Descriptions

The agenda descriptions are intended to provide members of the public a general summary of items of business to be transacted or discussed. The posting of the recommended actions does not indicate what action will be taken. The Board of Directors may take any action deemed to be appropriate and is not limited by the notice of the recommended action.

Public Comments on Agenda Items

Members of the public wishing to address the Board of Directors regarding any item appearing on the agenda may do so by completing a Speaker Card and submitting it to the Clerk of the Board. Speakers will be recognized by the Chair at the time the agenda item is considered. A speaker's comments shall be limited to three minutes.

Public Availability of Agenda Materials

All documents relative to the items referenced in this agenda are available for public inspection at www.occog.com

Accessibility

Any person with a disability requiring a modification or accommodation in order to participate in this meeting should contact the Clerk of the Board at (949) 357-3342, no less than three business days prior to this meeting to enable the Orange County Council of Governments to make reasonable arrangements to assure accessibility to this meeting.

Call to Order

Roll Call

Pledge of Allegiance

Public Comments

Members of the public may address the Board of Directors regarding any items within the subject matter jurisdiction of the Board of Directors; however, action may not be taken on matters that are not listed on the agenda unless authorized by law. Comments shall be limited to three minutes per speaker, unless different time limits are set by the Chairman, subject to the approval of the Board of Directors.



- 1. Approve AB 361 Resolution**
Fred Galante, OCCOG General Counsel

Recommended Action: *Approve AB 361 Resolution allowing OCCOG to meet remotely.*

- 2. Oaths of Office**
Fred Galante, OCCOG General Counsel

Consent Calendar (Item Nos. 3 -4)

All matters listed under the Consent Calendar are routine and will be enacted by one vote without separate discussion unless Members of the Board, the public, or staff request specific items be removed for separate action or discussion.

- 3. Approval of Meeting Minutes for October 27, 2022, Regular Meeting**
Kathryn Morrison, OCCOG Clerk of the Board

Recommended Action: *Receive and file the minutes as amended or presented.*

- 4. OCCOG Financial Reports for October, November, and December of 2022**
John Hanson, OCCOG Treasurer

Recommended Action: *Approve the OCCOG Financial Reports for October, November, and December 2022.*

Action Items

- 5. Selection of SCAG Policy Committee Representatives (2 appointments)**
Fred Galante, General Counsel

Recommended Action: *Select two representatives to serve on SCAG policy committees from candidates received by January 20, 2023*

- 6. Approve the 2021-22 Audit Report**
Marnie O'Brien Primmer, Executive Director

Recommended Action: *Approve the 2021-22 Audit Report*

- 7. Legislative Update**
Wendy Strack, Legislative Consultant

Recommended Action: *OCCOG Board of Directors adopt and approve the following:*



AGENDA

January 26, 2023 at 10:30 AM

1. *Adopt the 2023-2024 Legislative Policy Guidelines*
2. *Approve the formation of an Ad Hoc Legislative Committee to consider developing proposals that could be considered as an OCCOG sponsor bill and review available resources for such an effort.*

Presentations

8. OCTA LRTP Presentation

Gregory Nord, Section Manager III, OCTA

Recommended Action: *Receive and file.*

9. ULI REAP TAP #1 Report

Kendra Chandler, Executive Director, ULI

Recommended Action: *Receive and file.*

10. CalRecycle view on Biomass to Electricity

Mitchell Martin and Geoff Harmon from Greenleaf Power

Recommended Action: *Receive and file.*

Discussion Items

11. REAP 2.0 Proposed Projects

Marnie O'Brien Primmer, Executive Director

Recommended Action: *Discuss draft REAP 2.0 program of projects proposed by staff as part of the application to SCAG. Provide guidance for further program refinement.*

OCCOG Leadership Reports

12. Chair's Report

Don Wagner, OCCOG Chair

13. Executive Director's Report

Marnie O'Brien Primmer, OCCOG Executive Director

Brief Reports

This section is set aside for brief reports or presentations from listed agencies. Speakers are requested to keep their comments brief. Any speaker wishing to provide a more detailed discussion should request the discussion be placed on the agenda at a future meeting.



AGENDA

January 26, 2023 at 10:30 AM

- **OCCOG Technical Advisory Committee**

Justin Equina, Technical Advisory Committee Chair

- **Southern California Association of Governments**

Jonathan Hughes, Regional Affairs Officer, SCAG

- **South Coast Air Quality Management District**

Carlos Rodriguez, OCCOG Director; Debra Ashby, Senior Public Information Specialist SCAQMD;

Link to January edition of SCAQMD Advisor Newsletter:

<http://www.aqmd.gov/home/research/pubs-docs-reports/newsletters/current-edition>

- **Board Member Reports**

- **Member Agency Reports**

- **Staff Member Reports**

Future Agenda Items

Adjournment of Regular Meeting

The next OCCOG Regular Meeting will be on Thursday, February 23, 2022, at the SCIF/Caltrans District 12 office - 1750 E. 4th St. Santa Ana, CA 92705.



AGENDA ITEM # 1

Resolution authorizing the use of remote teleconference meetings by the Board

STAFF RECOMMENDATION

Adopt Resolution No. 00021-14 authorizing the continued use of remote teleconference meetings by the OCCOG Board, as authorized by Government Code Section 54953(e) et seq., for the extended period of January 26, 2023 through February 26, 2023.

SUMMARY

On March 4, 2020, amid rising concern surrounding the spread of COVID-19 throughout communities in the state, California Governor Gavin Newsom issued a series of Executive Orders aimed at containing the novel coronavirus, including modification of certain requirements created by the Ralph M. Brown Act (Brown Act), the state's local agency public meetings law. The orders waived several requirements, including provisions in the Brown Act requiring the physical presence of members of the legislative body, the clerk or other personnel of the body, or of the public as a condition of participation in or for the purpose of establishing a quorum for a public meeting.

At this time, due to a variety of factors related to the circumstances of the State of Emergency, including the highly contagious Delta variant, the anticipated number of attendees, the likely inability to socially distance, it is recommended that the OCCOG Board meetings be conducted by the remote teleconference meeting requirements as authorized by Government Code section 54953(e), as meeting in person would present an imminent risk to the health or safety of meeting attendees due to the aforementioned reasons.

Approval of this item finds that, as a consequence of the State of Emergency, the OCCOG Board meetings shall continue to be conducted by the remote teleconference meeting requirements as authorized by Government Code section 54953(e) et seq. and will allow for observation and participation by the Board Members and the public via Zoom teleconferencing and phone access.

If approved, this authorization will remain valid for 30 days and per Government Code section 54953(e), and will need to be revisited every 30 days thereafter.

ATTACHMENTS

- OCCOG Board of Directors Resolution 00021-14



Orange County Council of Governments

STAFF REPORT January 26, 2023

STAFF CONTACT

Fred Galante
OCCOG General Counsel
(949)250-5410
fgalante@awattorneys.com

RESOLUTION NO. 00021-14

A RESOLUTION OF THE BOARD OF DIRECTORS OF THE ORANGE COUNTY COUNCIL OF GOVERNMENTS ACKNOWLEDGING THE CONTINUED EXISTENCE OF THE GOVERNOR'S STATE OF EMERGENCY DECLARATION AND AUTHORIZING THE USE OF REMOTE TELECONFERENCE MEETING PROCEDURES BY THE BOARD OF DIRECTORS, AS AUTHORIZED BY GOVERNMENT CODE SECTION 54953(E) ET SEQ., FOR THE PERIOD OF JANUARY 26, 2023 THROUGH FEBRUARY 26, 2023

RECITALS

WHEREAS, the Orange County Council of Governments (OCCOG) is committed to preserving and nurturing public access, transparency, observation and participation in meetings of the OCCOG Board; and

WHEREAS, all meetings of the OCCOG Board are open and public, as required by the Ralph M. Brown Act, codified in Government Code sections 54950 *et seq.*, so that any member of the public may attend, participate, and observe the OCCOG Board and conduct its business; and

WHEREAS, the Brown Act, as amended by Assembly Bill 361 (2021), codified in Government Code sections 54953(e) *et seq.*, allows for remote teleconferencing observation and participation in meetings by members of a legislative body and members of the public, without compliance with the requirements of Government Code section 54953(b)(3) regarding teleconferencing, subject to the existence of certain conditions; and

WHEREAS, the initial required condition is that a state of emergency is a declaration of a state of emergency by the Governor pursuant to the California Emergency Services Act at Government Code section 8625, proclaiming the existence of conditions of disaster or of extreme peril to the safety of persons and property within the state and within the boundaries of the OCCOG, caused by conditions as described in Government Code section 8558; and

WHEREAS, on March 4, 2020, pursuant to Government Code Section 8625, Governor Newsom declared the existence of a state of emergency for the State of California, in response to the outbreak of respiratory illness due to a novel coronavirus (a disease now known as COVID-19); and

WHEREAS, Government Code section 54953(e) *et seq.* further requires that state or local officials have imposed or recommended measures to promote social distancing; or, the legislative body of the OCCOG finds that meeting in person would present imminent risk to the health and safety of attendees; and

WHEREAS, the OCCOG Board hereby acknowledges that such emergency conditions now exist in the OCCOG, such that meeting in person for the meetings of the OCCOG Board would present imminent risk to the health and safety of attendees as a result of the increased risk of the spread of the COVID-19 virus among those in attendance; and

WHEREAS, the OCCOG Board hereby finds that due to the ongoing State of Emergency and the public health threat posed by COVID-19, the Board seeks to make findings, as required by Assembly Bill 361, that as a result of the COVID-19 State of Emergency, the highly contagious

Delta variant, the anticipated number of attendees, the likely inability to socially distance, and due to the unique characteristics of the size and capacity of its meeting location, meeting in person would present an imminent risk to the health or safety of meeting attendees; and

WHEREAS, the circumstances of the State of Emergency continue to directly impact the ability of the members of the legislative body and members of the public to meet safely in person at the meeting facilities utilized by the OCCOG; and

WHEREAS, the OCCOG Board hereby finds that, as a consequence of the State of Emergency, the OCCOG Board shall conduct its meetings without compliance with Government Code section 54953(b)(3), and shall instead comply with the remote teleconference meeting requirements as authorized by Government Code section 54953(e) *et seq.*; and

WHEREAS, the OCCOG Board affirms that it will allow for observation and participation by Board Members and the public via Zoom teleconferencing and phone access in an effort to protect the constitutional and statutory rights of all attendees.

NOW, THEREFORE, THE BOARD OF DIRECTORS OF THE ORANGE COUNTY COUNCIL OF GOVERNMENTS, RESOLVES, AND ORDERS AS FOLLOWS:

Section 1. The Recitals set forth above are true and correct and are incorporated into this Resolution by this reference.

Section 2. The OCCOG Board hereby recognizes and acknowledges the continued existence and conditions of the State of Emergency as proclaimed by the Governor.

Section 3. Before the State of Emergency, the OCCOG Board met at a facility in the City of Irvine at which approximately 40-60 people would regularly attend, and periodically filling the meeting room and thereby limiting the ability of attendees to socially distance.

Section 4. As a result of the March 4, 2020, State of Emergency, and the highly contagious Delta variant, meeting in person at the meeting facilities of the OCCOG would present an imminent risk to the health or safety of attendees due to the unique characteristics of the size and capacity of its meeting location, the anticipated number of attendees, and the likely inability to socially distance.

Section 5. The Executive Director is hereby authorized and directed to take all actions necessary to carry out the intent and purpose of this Resolution including, conducting open and public meetings in accordance with Government Code section 54953(e) and other applicable provisions of the Brown Act, for all OCCOG Board meetings.

Section 6. This Resolution shall take effect immediately upon its adoption and shall be effective until the earlier of (i) February 26, 2023, or such time the OCCOG Board adopts a subsequent resolution in accordance with Government Code section 54953(e)(3) to extend the time during which the OCCOG Board may continue to teleconference without compliance with Government Code section 54953(b)(3), but otherwise as permitted by Government Code section 54953(e) *et seq.*.

ADOPTED, SIGNED AND APPROVED this 26th day of January 2023.

Chair

APPROVED AS TO FORM:

Aleshire & Wynder, LLP

Fred Galante, General Counsel



Call to Order

Vice Chair Wagner called the Regular Meeting of the Orange County Council of Governments to order at 10:31 a.m. on Thursday, October 27, 2022, via Zoom; at (669) 900 6833, Meeting ID Number: 863 0236 1204.

Board Members Present

District 19 Chair Wendy Bucknum

County of Orange SCAG Representative Vice Chair Donald Wagner

District 12 Fred Minagar

District 14 Tammy Kim

District 15 Diane Dixon

District 16 Phil Bacerra

District 18 Kim Nguyen

District 19 Trevor O'Neil

District 20 Joe Kalmick

District 21 Art Brown

District 22 Marty Simonoff

District 64 Mike Posey

Cities-at-Large Rose Espinoza

Independent Special Districts of Orange County (ISDOC) Mike Schaefer

Orange County Sanitary District (OCSD) David Shawver

Orange County Transportation Authority (OCTA) Brian Goodell

Transportation Corridor Agency (TCA) Scott Voigts

Caltrans District 12 (Ex-Officio) Lan Zhou on behalf of Ryan Chamberlain

Non-Profit Housing Community (Ex-Officio) Helen O'Sullivan

Business Community (OCBC) (Ex-Officio) Jennifer Bullard

University Community (Ex-Officio) Amanda Walsh

Hispanic Chamber of Commerce Eddie Marquez

Board Members Absent

County of Orange SCAG Representative Vice Chair Donald Wagner

District 17 Letitia Clark

South Coast Air Quality Management District (SCAQMD) Carlos Rodriguez

Anaheim Resort Transportation (ART) (Ex-Officio) Diana Kotler

Association of California Cities, Orange County (ACC-OC) (Ex-Officio) Bruce Channing

Orange Co. Local Agency Formation Commission (OC-LAFCO) (Ex-Officio) Carolyn Emery

League of California Cities, Orange County, (LOCC-OC) (Ex-Officio) Tony Cardenas

Private Sector (Ex-Officio) Adam Wood

Board Vacancies

Health Care/Hospital Industry (Ex-Officio)



MINUTES

Orange County Council of Governments
Board of Directors Regular Meeting

Thursday, October 27, 2022 | 10:30 a.m.

Orange County Council of Governments

Staff Present

Marnie O’Brien Primmer, Executive Director
 Fred Galante, General Counsel
 Eric Shen, REAP PM
 Wendy Strack, OCCOG Legislative Consultant
 Lisa Telles, Consultant
 Jazmine Estores, Assistant Project Management Consultant
 Kathryn Morrison, Clerk of the Board

Others Present

Justin Equina, TAC Chair
 Ben Zdeba, TAC Vice Chair
 Deborah Diep, Center for Demographic Research Director
 Gail Shiomoto-Lohr, City of Mission Viejo
 Jonathan Hughes, SCAG Public Affairs Officer

Pledge of Allegiance Director Joe Kalmick

Public Comments None

Quorum Present

Wendy Bucknum (Chair), Fred Minagar, Tammy Kim, Diane Dixon, Phil Bacerra, Kim Nguyen, Joe Kalmick, Art Brown, Rose Espinoza, Mike Schaefer, David Shawver, Brian Goodell, Marty Simonoff, Scott Voigts, Trevor O’Neil

1. Approve AB 361 Resolution

Fred Galante, General Counsel

Recommended Action: *Approve AB 361 Resolution.*

It was moved by Director Voigts and seconded by Director Brown to approve AB 361 Resolution. Said motion was carried by the following vote:

WB	DW	FM	TK	DD	PB	LC	KN	JK	AB	MS	MP	RE	DS	BG	CR	SV	TO
Y	A	Y	Y	Y	Y	A	Y	Y	Y	Y	Y	Y	Y	Y	A	Y	Y

TO = T. O’Neil	DD = D. Dixon	JK = J. Kalmick	DW = D. Wagner	BG = B. Goodell
WB = W. Bucknum	PB = P. Bacerra	AB = A. Brown	RE = R. Espinoza	CR = C. Rodriguez
FM = F. Minagar	LC = L. Clark	MS = M. Simonoff	MS = M. Schaefer	SV = S. Voigts
TK = T. Kim	KN = K. Nguyen	MP = M. Posey	DS = D. Shawver	

Legend: Y=YES, N=NO, C=CONFLICT, ABS=ABSTAIN, A=ABSENT, P=PRESENT



MINUTES

Orange County Council of Governments
Board of Directors Regular Meeting

Orange County Council of Governments

Thursday, October 27, 2022 | 10:30 a.m.

Consent Calendar (Item Nos. 2 - 3)

All matters listed under the Consent Calendar are routine and will be enacted by one vote without separate discussion unless Members of the Board, the public, or staff request specific items be removed for separate action or discussion.

2. Approval of Meeting Minutes for October 27, 2022, Regular Meeting

Kathryn Morrison, OCCOG Clerk of the Board

Recommended Action: *Receive and file the minutes as amended or presented.*

3. OCCOG Financial Reports for October of 2022

John Hanson, OCCOG Treasurer

Recommended Action: *Approve the OCCOG Financial Reports for October 2022.*

It was moved by Director Shawver and seconded by Director Simonoff to approve consent calendar items 2-3. Said motion was carried by the following vote:

WB	DW	FM	TK	DD	PB	LC	KN	JK	AB	MS	MP	RE	DS	BG	CR	SV	TO
Y	A	Y	Y	Y	Y	A	Y	Y	Y	Y	Y	Y	Y	Y	A	Y	Y

Discussion Items

4. Legislative Update

Wendy Strack, OCCOG Legislative Consultant

Recommended Action: Receive and File the Legislative Update. Provide input on suggested changes to the Legislative Policy Guidelines for the 2023-2024 Legislative Session.

OCCOG is updating its legislative policy guidelines in preparation for the 2023 – 2024 two-year legislative cycle. Board Members are asked to send comments to Wendy Strack at wendy@wjsconsult.com by November 22 on the draft guidelines (attached). The final 2023-2024 Legislative Guidelines will come back to the December OCCOG Board Meeting for approval.

OCCOG’s current legislative policy guidelines:

- OCCOG will seek to reach consensus among member jurisdictions and ex-officio partners on legislation prior to taking a position.
- OCCOG will avoid taking a position on legislation that divides rather than unites our members.



- OCCOG staff is authorized to continue to advocate a position for a bill which has been amended into a new bill number as long as the legislative intent and language remain substantially unchanged.
- Letters of support or opposition will be provided on OCCOG letterhead and signed by the Board Chairman unless directed by the Board to be signed by the Executive Director.
- In cases where insufficient time exists for a bill to go before the OCCOG Board of Directors, the Executive Committee may take a support or oppose position on legislation consistent with the Board adopted Legislative Policy Guidelines.

5. REAP Update

Eric Shen, Program Manager Consultant

Recommended Action: *Receive and file the report.*

REAP Grant activities for Orange County jurisdictions are moving along well. Current highlights include:

- ULI Partnership Technical Assistance Panel (TAP) #1 Report will be issued shortly and planning is underway for TAP #2.
- Baird + Driskell is working with jurisdictions regarding ADU ordinance allowances that will cover ADUs, hotel conversions and SB 9 requirements.
- On-call consultant bench is actively providing assistance to member jurisdictions in the areas of public outreach, housing element updates, one-on-one support and staff augmentation.
- Curt Pringle & Associates has completed its first bi-monthly housing newsletter (distributed 10/27/22) and is preparing an upcoming outreach webinar.
- Geospatial planning and 3-D visualization services are moving ahead for jurisdictions who signed up for the program.

At the same time, OCCOG is putting together plans for REAP 2.0 funding and is seeking input from jurisdictions. The REAP 2.0 program supports implementation projects with specific focus on reducing vehicle miles traveled, encouraging infill development and affirmatively furthers fair housing.

Your project ideas are needed so that OCCOG's grant application will best meet the Orange County's housing needs for all income levels. Send thoughts and ideas to Marnie at marnie@occog.com. The draft grant application will go to the OCCOG Technical Advisory Committee and Executive Management Committee for thorough review and discussion in November, then brought to the Board for initial discussion and feedback at the December OCCOG Meeting. The application is due to SCAG in January.



Presentation

6. Caltrans D12 Active Transportation Plan
Irene Hou, System Planning Branch Chief

Recommended Action: *Receive and file.*

Irene Hou presented Caltrans District 12's recently published Active Transportation Plan. It was developed with extensive outreach and input from stakeholders and the community to identify locations for improvement to support active transportation on or near the state highway system. The outcome of the study is two deliverables that are available on the Caltrans District 12 website. 1) A summary report that provides a narrative of the locations of system gaps and areas that could be improved to support safety and comfort when walking or riding a bike and 2) a GIS Story Map that shows the location of sites discussed in the summary report.

The plan provides a strong foundation, backed by technical analysis and community input, that can be used by cities and the County as supporting evidence for local active transportation planning and grant applications.

Caltrans District 12 2022 Active Transportation Plan: <https://dot.ca.gov/-/media/dot-media/programs/transportation-planning/documents/active-transportation-complete-streets/district12-finalreport-a11y.pdf>

Story Map: <https://storymaps.arcgis.com/stories/ac7a7ddfb746464da1c28612a6caedfb>

7. Equitable Communities Revitalization Grant - Brownfield Presentation
Tony Torres, CCLR Consultant

Recommended Action: *Receive and file.*

Gregory Shaffer, from the Department of Toxic Substances Control, provided the Board with an overview of the Equitable Community Revitalization Grant that provides \$270 million for clean-up and investment of brownfield properties in disadvantaged areas. The reimbursement grant provides funding for three types of projects:

- Community-wide assessments
- Environmental investigations
- Environmental cleanups
-

Disadvantaged areas are defined as locations with a CalEnviroScreen 4.0 score of 75% or higher. Applications at the 75% level will be considered only if the primary goal is to support a vulnerable population or community.



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Round 2 application period is underway. Guidelines are scheduled to be released in late December, applications will open in January and the deadline will be in March 2023. visit <https://dtsc.ca.gov/ecrg/> for more information.

OCCOG Leadership Reports

6. Chair's Report

Wendy Bucknum, OCCOG Chair

Reminder to review legislative guidelines before the next Board Meeting.

7. Executive Director's Report

Marnie O'Brien Primmer, OCCOG Executive Director

- General Assembly sponsorships are open. Early-Bird 10% discount ends October 31. So far, \$28,000 in sponsorships have been committed.
- Reminder that OCCOG is hosting a Women's Leadership Luncheon sponsored by Southern California Edison on November 10 at Casa del Sol in Tustin. Sue Park, with the United Way will be the keynote speaker. RSVP to Marnie Primmer or Kathryn Morrison.
- We are actively seeking input on how to use REAP 2.0 funding through November.

Brief Reports

This section is set aside for brief reports or presentations from listed agencies. Speakers are requested to keep their comments brief. Any speaker wishing to provide a more detailed discussion should request the discussion be placed on the agenda at a future meeting.

- **OCCOG Technical Advisory Committee**

Justin Equina, Technical Advisory Committee Chair

At the October TAC meeting:

- Baird + Driskell gave an overview of the ADU calculator that is being developed. The calculator will provide cost information to support homeowners in their decision to build an ADU or JADU on their property.
- TAC members were updated on Connect SoCal and Data Exchange. TAC members are asked to review the six preliminary data layers by December 2.

On October 12, TAC members attended SCAG's public sector workshop for the SoCal Greenprint. At the meeting they discussed the policy framework, the regional goals and establishment of a technical advisory committee.



MINUTES

Thursday, October 27, 2022 | 10:30 a.m.

- **Southern California Association of Governments**

Jonathan Hughes, Regional Affairs Officer, SCAG

- Supervisor Curt Hagman from San Bernardino County has been selected to serve as 2nd VP of SCAG.
- The next SCAG meeting will take place on November 3 both in-person in downtown Los Angeles and via ZOOM.
- SCAG's 13th Annual Economic Summit will take place on December 1 in Los Angeles. The event is free for elected officials and city managers. Click here to register: <https://scag.ca.gov/13th-annual-southern-california-economic-summit>

- **South Coast Air Quality Management District**

Carlos Rodriquez, OCCOG Director; Debra Ashby, Senior Public Information Specialist SCAQMD; Link to October edition of SCAQMD Advisor Newsletter:

<http://www.aqmd.gov/home/research/pubs-docs-reports/newsletters/current-edition>

- Annual "Check Before You Burn" season begins November 1 and runs through February. For more information visit: <http://www.aqmd.gov/home/programs/community/community-detail?title=check-before-you-burn>
- **Board Member Reports**
- **Member Agency Reports**
- **Staff Member Reports**

Future Agenda Items

Adjournment of Regular Meeting

The next OCCOG Regular Meeting will be on December 8, 2022, at a place to be determined, pending state and local public health orders in force at the time of the meeting.



AGENDA ITEM #4

OCCOG October 2022 Financial Report

STAFF RECOMMENDATION

Approve financial report.

SUMMARY

OCCOG financial information is provided for Board review.

As of October 31, 2022, OCCOG had combined cash and investments of \$456,147.20 consisting of the following: a bank balance of \$311,179.51 at Citizens Business Bank outstanding checks in the amount of \$34,717.82 and an investment balance at the State Local Agency Investment Fund of \$179,685.51.

ATTACHMENTS

- A. OCCOG Fiscal Year 2022-23 Cash and Investments
- B. Citizens Business Bank Statement as of October, 31 2022
- C. State Local Agency Investment Fund (LAIF) Monthly Statement for October 31, 2022
- E. LAIF Performance Report - Period Ending October 14, 2022, Pooled Money Investment Account (PMIA) Average Monthly Effective Yields – July 2022 – August 2022 – September 2022 and PMIA Portfolio Composition at 9/30/22.
- F. OCCOG Fiscal Year 2022-23 Cash Receipts/Disbursements Report

STAFF CONTACT

John Hanson, CPA
OCCOG Treasure
949-929-0073
jhoccog@gmail.com

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**

Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
July					
		Balance Forward		212,323.58	Citizens Business Bank 343,919.03
7/1/2022	2305	Connected Consulting	(15,107.70)	197,215.88	O/S Checks (176,092.86)
7/1/2022	2306	Lisa Telles Communications	(2,500.00)	194,715.88	State LAIF 179,075.32
7/1/2022	2307	WJS Consulting	(2,500.00)	192,215.88	<u>\$346,901.49</u>
7/1/2022	2308	Kathryn Morrison	(3,671.54)	188,544.34	
7/1/2022	2311	John Hanson	(707.32)	187,837.02	
7/14/2022		SCAG	151,691.80	339,528.82	
7/14/2022		City of La Habra	9,010.01	348,538.83	
7/15/2022	2309	WSP USA	(9,192.90)	339,345.93	
7/15/2022	2310	Orange County Housing Finance Trust	(130,000.00)	209,345.93	
7/15/2022		Local Agency Investment Fund	462.55	209,808.48	
7/22/2022		City of Seal Beach	6,090.24	215,898.72	
7/22/2022		City of Placentia	8,173.26	224,071.98	
7/22/2022		City of Los Alamitos	5,065.00	229,136.98	
7/22/2022		City of Villa Park	4,583.65	233,720.63	
7/22/2022		City of Fullerton	15,406.54	249,127.17	
7/22/2022		City of San Juan Capistrano	6,876.73	256,003.90	
7/22/2022		City of Laguna Woods	5,510.90	261,514.80	
7/22/2022		City of Stanton	7,230.53	268,745.33	
7/25/2022		Citizens Business Banks	(46.74)	268,698.59	
7/26/2022		City of Irvine	28,645.17	297,343.76	
7/29/2022		City of Laguna Beach	5,921.12	303,264.88	
7/29/2022		Transportation Corridor Agencies	10,000.00	313,264.88	
7/29/2022		City of Cypress	8,063.09	321,327.97	
7/29/2022		City of Laguna Niguel	9,209.47	330,537.44	
7/29/2022		City of Costa Mesa	12,929.96	343,467.40	
7/29/2022		City of Buena Park	10,720.01	354,187.41	
7/29/2022		City of La Palma	5,338.36	359,525.77	
7/29/2022		City of San Clemente	9,135.50	368,661.27	
7/29/2022		City of Dana Point	6,730.13	375,391.40	
7/29/2022		City of Garden Grove	17,603.05	392,994.45	
7/31/2022	2312	Aleshire & Wynder, LLP	(2,538.00)	390,456.45	
7/31/2022	2313	Trevor O'Neil	(200.00)	390,256.45	
7/31/2022	2314	Tammy Kim	(300.00)	389,956.45	
7/31/2022	2315	Diane Dixon	(300.00)	389,656.45	
7/31/2022	2316	Joseph Kalmick	(300.00)	389,356.45	
7/31/2022	2317	Arthur Brown	(200.00)	389,156.45	
7/31/2022	2318	David Shawver	(300.00)	388,856.45	
7/31/2022	2319	Pictometry International Inc.	(6,750.00)	382,106.45	
7/31/2022	2320	John Hanson	(1,201.20)	380,905.25	
7/31/2022	2321	Lisa Telles Communications	(2,500.00)	378,405.25	
7/31/2022	2322	Connected Consulting	(12,113.43)	366,291.82	
7/31/2022	2323	WJS Consulting	(3,000.00)	363,291.82	
7/31/2022	2324	Michelle Boehm	(7,000.00)	356,291.82	
7/31/2022	2325	AJ Design	(926.25)	355,365.57	

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**




Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
7/31/2022	2326	AJ Design	(2,034.00)	353,331.57	
7/31/2022	2327	Kathryn Morrison	(3,929.98)	349,401.59	
7/31/2022	2328	Lucy Dunn	(2,500.00)	346,901.59	
August					
8/5/2022		City of Huntington Beach	19,624.11	366,525.70	Citizens Business Bank \$636,497.54
8/5/2022		City of Tustin	10,412.20	376,937.90	O/S Checks (319,904.12)
8/5/2022		City of Newport Beach	10,743.48	387,681.38	State LAIF 179,075.32
8/5/2022		City of Laguna Hills	6,556.82	394,238.20	<u>\$495,668.74</u>
8/5/2022		City of Yorba Linda	9,440.00	403,678.20	
8/5/2022		Orange County Sanitation District	10,000.00	413,678.20	
8/5/2022		City of Rancho Santa Margarita	7,863.07	421,541.27	
8/5/2022		City of Fountain Valley	8,596.85	430,138.12	
8/11/2022		City of Mission Viejo	11,437.99	441,576.11	
8/11/2022		City of Lake Forest	10,984.37	452,560.48	
8/11/2022		City of Santa Ana	28,503.63	481,064.11	
8/18/2022		County of Orange	10,000.00	491,064.11	
8/23/2022		Citizens Business Bank Fees	(32.32)	491,031.79	
8/23/2022		SCAG	19,475.17	510,506.96	
8/29/2022		SCAG	69,472.00	579,978.96	
8/29/2022		SCAG	235,094.00	815,072.96	
8/31/2022	2329	Aleshire & Wynder LLP	(1,115.00)	813,957.96	
8/31/2022	2330	CSUF ASC	(28,731.00)	785,226.96	
8/31/2022	2331	WSP	(8,324.35)	776,902.61	
8/31/2022	2332	WSP	(56,664.85)	720,237.76	
8/31/2022	2333	John Hanson	(1,046.78)	719,190.98	
8/31/2022	2334	AJ Design	(498.75)	718,692.23	
8/31/2022	2335	Orange County Housing Finance Trust	(172,000.00)	546,692.23	
8/31/2022	2336	September 1 check to Baird Driskell	-	546,692.23	
8/31/2022	2337	WSP	(22,295.25)	524,396.98	
8/31/2022	2338	VOID	-	524,396.98	
8/31/2022	2339	WJS Consulting	(3,000.00)	521,396.98	
8/31/2022	2340	Lisa Telles Communications	(2,875.00)	518,521.98	
8/31/2022	2341	Eide Bailly LLP	(4,925.00)	513,596.98	
8/31/2022	2342	Connected Consulting	(12,489.16)	501,107.82	
8/31/2022	2343	Kathryn Morrison	(4,203.98)	496,903.84	
8/31/2022	2344	AJ Design	(1,235.00)	495,668.84	
September					
9/9/2022		City of Westminster	11,270.29	506,939.13	Citizens Business Bank 357,501.70
9/15/2022	2345	Michelle Boehm	(4,200.00)	502,739.13	O/S Checks (41,653.10)
9/22/2022		City of Orange	15,006.98	517,746.11	State LAIF 179,075.32
9/22/2022		Independent Special District of OC	1,000.00	518,746.11	<u>\$494,923.92</u>
9/28/2022		South Coast Air Quality District	10,000.00	528,746.11	
9/28/2022		City of Brea	7,830.91	536,577.02	

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**

Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
9/30/2022	2346	VOID	-	536,577.02	
9/30/2022	2347	CALCOG	(2,600.00)	533,977.02	
9/30/2022	2348	LSA	(8,613.16)	525,363.86	
9/30/2022	2349	Aleshire & Wynder	(2,664.00)	522,699.86	
9/30/2022	2350	AJ Design	(2,034.00)	520,665.86	
9/30/2022	2351	Kathryn Morrison	(4,178.98)	516,486.88	
9/30/2022	2352	Lisa Telles Communications	(2,850.00)	513,636.88	
9/30/2022	2353	John Hanson	(1,065.45)	512,571.43	
9/30/2022	2354	AJ Design	(451.25)	512,120.18	
9/30/2022	2355	AJ Design	(2,059.00)	510,061.18	
9/30/2022	2356	WJS Consulting	(3,000.00)	507,061.18	
9/30/2022	2357	Connected Consulting	(12,137.26)	494,923.92	
October					
10/10/2022	2358	Orange County Business Council	(150.00)	494,773.92	Citizens Business Bank 311,179.51
10/11/2022	2359	Eric Shen	(3,500.00)	491,273.92	O/S Checks (34,717.82)
10/11/2022		Transtech	900.00	492,173.92	State LAIF 179,685.51
10/11/2022		Southern California Edison	5,000.00	497,173.92	<u>\$456,147.20</u>
10/14/2022		Aleshire & Wynder	1,000.00	498,173.92	
10/14/2022		City of Orange	5,000.00	503,173.92	
10/14/2022		LAIIF Interest	610.19	503,784.11	
10/19/2022	2369	Baird + Driskell	(30,409.00)	473,375.11	
10/21/2022		LSA	2,250.00	475,625.11	
10/21/2022		City of Aliso Viejo	8,139.91	483,765.02	
10/28/2022		Interwest Consulting Group	2,250.00	486,015.02	
10/31/2022	2360	Tammy Kim	(200.00)	485,815.02	
10/31/2022	2361	Diane Dixon	(100.00)	485,715.02	
10/31/2022	2362	Joseph Kalmick	(200.00)	485,515.02	
10/31/2022	2363	Arthur Brown	(100.00)	485,415.02	
10/31/2022	2364	David Shawver	(200.00)	485,215.02	
10/31/2022	2365	KLM Strategies (original checks Voided, Re-issue)	(5,000.00)	480,215.02	
10/31/2022	2366	Aleshire & Wynder	(2,071.53)	478,143.49	
10/31/2022	2367	Interwest Consulting Group	(270.00)	477,873.49	
10/31/2022	2368	LSA	(9,800.00)	468,073.49	
10/31/2022	2369			468,073.49	
10/31/2022	2370	John Hanson	(971.70)	467,101.79	
10/31/2022	2371	Lisa Telles Communications	(3,300.00)	463,801.79	
10/31/2022	2372	Kathryn Morrison	(4,207.98)	459,593.81	
10/31/2022	2373	Wavelength Automation Inc	(1,548.00)	458,045.81	
10/31/2022	2374	Casa Del Sol	(1,148.61)	456,897.20	
10/31/2022	2375	VOID	-	456,897.20	
10/31/2022	2376	Wendy J Strack	(3,000.00)	453,897.20	
10/31/2022		SCAG	2,250.00	456,147.20	

ORANGE COUNTY COUNCIL OF GOVERNMENTS
 3972 BARRANCA PKWY STE J127
 IRVINE CA 92606-1204

Managing Your Accounts

	Phone Number	888.222.5432
	Website	cbbank.com
	Email	customersupport@cbbank.com

Summary of Accounts

Account Type	Account Number	Ending Balance
ANALYZED BUSINESS PLAN GOVERNMENT SRVCS	591004948	\$311,179.51

ANALYZED BUSINESS PLAN GOVERNMENT SRVCS-591004948
Account Summary

Date	Description	Amount
10/01/2022	Beginning Balance	\$357,501.70
	5 Credit(s) This Period	\$26,789.91
	13 Debit(s) This Period	\$73,112.10
10/31/2022	Ending Balance	\$311,179.51

Deposits

Date	Description	Amount
10/11/2022	DEPOSIT	\$5,900.00
10/14/2022	DEPOSIT	\$6,000.00
10/21/2022	DEPOSIT	\$10,389.91
10/28/2022	DEPOSIT	\$2,250.00
		4 item(s) totaling \$24,539.91

Electronic Credits

Date	Description	Amount
10/31/2022	PAYABLES SO CAL ASSOC OF 2022-101	\$2,250.00
		1 item(s) totaling \$2,250.00

Checks Cleared

Check Nbr	Date	Amount	Check Nbr	Date	Amount	Check Nbr	Date	Amount
2348	10/12/2022	\$8,613.16	2353	10/04/2022	\$1,065.45	2358	10/20/2022	\$150.00
2349	10/11/2022	\$2,664.00	2354	10/11/2022	\$451.25	2359	10/27/2022	\$3,500.00
2350	10/11/2022	\$2,034.00	2355	10/11/2022	\$2,059.00	2369*	10/27/2022	\$30,409.00
2351	10/07/2022	\$4,178.98	2356	10/13/2022	\$3,000.00			
2352	10/17/2022	\$2,850.00	2357	10/04/2022	\$12,137.26			
						13 item(s) totaling \$73,112.10		

* Indicates skipped check number

Daily Balances

Date	Amount	Date	Amount	Date	Amount
10/04/2022	\$344,298.99	10/07/2022	\$340,120.01	10/11/2022	\$338,811.76

Member FDIC

OUTSTANDING CHECKS		INSTRUCTIONS
No.	Amount	<p style="text-align: center;">PLEASE EXAMINE THIS STATEMENT AT ONCE AND IMMEDIATELY NOTIFY THE BANK OF ANY ERRORS.</p> <p style="text-align: center;">ALL ITEMS CREDITED ARE SUBJECT TO FINAL PAYMENT.</p> <ol style="list-style-type: none"> 1. Compare each paid check with your check stub or register and mark as paid. 2. Bank balance shown on front of your statement..... _____ 3. Compare any deposits shown on statement, including bank originated credits, with those entered in your check register. Add any not shown on statement..... _____ 4. Subtotal..... _____ 5. Subtract total of outstanding checks..... _____ 6. Account Balance..... _____ 7. Your check book balance..... _____ 8. Subtract any bank charges including monthly service charge or transfers you have authorized and have been deducted on this statement..... _____ 9. Adjusted check book balance..... _____ <p style="font-size: small; margin-top: 10px;"><i>*Denotes a point at which one or more check serial numbers are unaccounted for this statement period. It may indicate outstanding checks or checks shown on previous statements.</i></p>
TOTAL		

STATEMENTS AND IMAGES

Your account statement will include the following information with respect to each check paid against your account during the statement cycle: (1) the check number (2) the amount of the check; and (3) the date of the payment. Copies of checks can be requested by calling customer service at (888) 222-5432. Please refer to our Bank Product and Service Information for applicable fees under Research Requests.

THE FOLLOWING NOTICE CONCERNING ELECTRONIC TRANSFER APPLIES IF YOUR ACCOUNT IS MAINTAINED FOR PERSONAL, FAMILY OR HOUSEHOLD PURPOSES.

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS CONTACT US AT:

Telephone: (888) 222-5432
or write us at:
Citizens Business Bank P O Box 51000, Ontario, CA 91761

Contact us as soon as you can if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or a receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. If you have a question concerning your statement, please be prepared to:

1. Provide your name and account number (if any).
2. Provide the dollar amount of the suspected error.
3. Describe the error or the transfer you are unsure about and clearly explain why you believe it is an error or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation

ANALYZED BUSINESS PLAN GOVERNMENT SRVCS-591004948 (continued)**Daily Balances (continued)**

<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>	<u>Date</u>	<u>Amount</u>
10/12/2022	\$330,198.60	10/17/2022	\$330,348.60	10/27/2022	\$306,679.51
10/13/2022	\$327,198.60	10/20/2022	\$330,198.60	10/28/2022	\$308,929.51
10/14/2022	\$333,198.60	10/21/2022	\$340,588.51	10/31/2022	\$311,179.51

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California State Treasurer
Fiona Ma, CPA



Local Agency Investment Fund
 P.O. Box 942809
 Sacramento, CA 94209-0001
 (916) 653-3001

November 02, 2022

[LAIF Home](#)
[PMIA Average Monthly Yields](#)

ORANGE COUNTY COUNCIL OF GOVERNMENTS

TREASURER
 3972 BARRANCA PKWY
 SUITE J127
 IRVINE , CA 92606

[Tran Type Definitions](#)

Account Number: 40-30-020

October 2022 Statement

Effective Date	Transaction Date	Tran Type	Confirm Number	Web Confirm Number	Authorized Caller	Amount
10/14/2022	10/13/2022	QRD	1715163	N/A	SYSTEM	610.19

Account Summary

Total Deposit:	610.19	Beginning Balance:	179,075.32
Total Withdrawal:	0.00	Ending Balance:	179,685.51



PMIA/LAIF Performance Report as of 10/14/22



PMIA Average Monthly Effective Yields⁽¹⁾

September	1.513
August	1.276
July	1.090

Quarterly Performance Quarter Ended 09/30/22

LAIF Apportionment Rate ⁽²⁾ :	1.35
LAIF Earnings Ratio ⁽²⁾ :	0.00003699565555327
LAIF Fair Value Factor ⁽¹⁾ :	0.980760962
PMIA Daily ⁽¹⁾ :	1.63%
PMIA Quarter to Date ⁽¹⁾ :	1.29%
PMIA Average Life ⁽¹⁾ :	304

Pooled Money Investment Account Monthly Portfolio Composition ⁽¹⁾ 09/30/22 \$222.9 billion

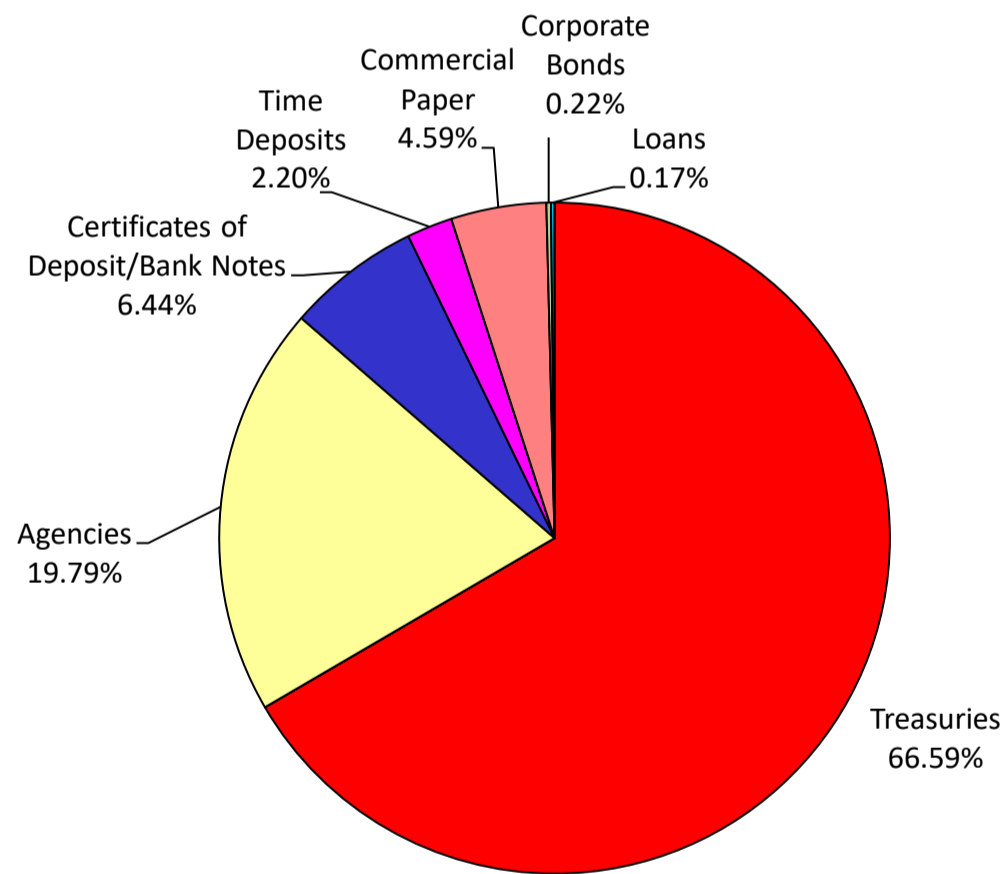


Chart does not include \$3,917,000.00 in mortgages, which equates to 0.002%. Percentages may not total 100% due to rounding.

Daily rates are now available here. [View PMIA Daily Rates](#)

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1) and interest earned on the Wildfire Fund loan pursuant to Public Utility Code 3288 (a).

Source:

⁽¹⁾ State of California, Office of the Treasurer

⁽²⁾ State of California, Office of the Controller

**Orange County Council of Governments
Cash Receipts/Disbursements Report
For the Quarter ending December 31, 2022**

Cash Receipts

Date	Payer	Description	Amount
10/11/2022	Transtech	General Assembly Sponsorship	900.00
10/11/2022	Southern California Edison	General Assembly Sponsorship	5,000.00
10/14/2022	Aleshire & Wynder	General Assembly Sponsorship	1,000.00
10/14/2022	City of Orange	FY 22-23 Dues and CDR Fees	5,000.00
10/14/2022	LAIF Interest	Quarterly Interest	610.19
10/21/2022	LSA	General Assembly Sponsorship	2,250.00
10/21/2022	City of Aliso Viejo	FY 22-23 Dues and CDR Fees	8,139.91
10/28/2022	Interwest Consulting Group	General Assembly Sponsorship	2,250.00
10/31/2022	SCAG	General Assembly Sponsorship	2,250.00

\$ 27,400.10

Cash Disbursements

Date	Check #	Payee	Description	Amount
10/10/2022	2358	Orange County Business Council	Membership	(150.00)
10/11/2022	2359	Eric Shen	REAP Project Management September	(3,500.00)
10/19/2022	2369	Baird + Driskell	REAP Model Development	(30,409.00)
10/31/2022	2365	KLM Strategies (original checks Voided, Re-issue)	REAP Model Development	(5,000.00)
10/31/2022	2366	Aleshire & Wynder	Government Relations (Reissue VOIDED checks) Legal September	(2,071.53)
10/31/2022	2367	Interwest Consulting Group	On Call Planning Services	(270.00)
10/31/2022	2368	LSA	Project Management Admin Support REAP	(9,800.00)
10/31/2022	2370	John Hanson	Treasurer October 222	(971.70)
10/31/2022	2371	Lisa Telles Communications	General Assembly, Special Events, REAP October 2022	(3,300.00)
10/31/2022	2372	Kathryn Morrison	Administrative Assistant/Clerk October 2022	(4,207.98)
10/31/2022	2373	Wavelength Automation Inc	Bill Tracker FY 22-23	(1,548.00)
10/31/2022	2374	Casa Del Sol	Womans Leadership Luncheon	(1,148.61)
10/31/2022	2375	VOID		-
10/31/2022	2376	Wendy J Strack Consulting	Grant Monitoring October	(3,000.00)

\$ (65,376.82)



AGENDA ITEM #4

OCCOG November 2022 Financial Report

STAFF RECOMMENDATION

Approve financial report.

SUMMARY

OCCOG financial information is provided for Board review.

As of November 30 2022, OCCOG had combined cash and investments of \$437,258.09 consisting of the following: a bank balance of \$312,641.57 at Citizens Business Bank outstanding checks in the amount of \$55,068.99 and an investment balance at the State Local Agency Investment Fund of \$179,685.51.

ATTACHMENTS

- A. OCCOG Fiscal Year 2022-23 Cash and Investments
- B. Citizens Business Bank Statement as of November 30, 2022
- C. State Local Agency Investment Fund (LAIF) Monthly Statement for November 30, 2022
- E. LAIF Performance Report - Period Ending December 14, 2022, Pooled Money Investment Account (PMIA) Average Monthly Effective Yields – September 2022 – October 2022 – November 2022 and PMIA Portfolio Composition at 11/30/22.
- F. OCCOG Fiscal Year 2022-23 Cash Receipts/Disbursements Report

STAFF CONTACT

John Hanson, CPA
OCCOG Treasure
949-929-0073
jhoccog@gmail.com

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**

Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
July					
		Balance Forward		212,323.58	Citizens Business Bank 343,919.03
7/1/2022	2305	Connected Consulting	(15,107.70)	197,215.88	O/S Checks (176,092.86)
7/1/2022	2306	Lisa Telles Communications	(2,500.00)	194,715.88	State LAIF 179,075.32
7/1/2022	2307	WJS Consulting	(2,500.00)	192,215.88	<u>\$346,901.49</u>
7/1/2022	2308	Kathryn Morrison	(3,671.54)	188,544.34	
7/1/2022	2311	John Hanson	(707.32)	187,837.02	
7/14/2022		SCAG	151,691.80	339,528.82	
7/14/2022		City of La Habra	9,010.01	348,538.83	
7/15/2022	2309	WSP USA	(9,192.90)	339,345.93	
7/15/2022	2310	Orange County Housing Finance Trust	(130,000.00)	209,345.93	
7/15/2022		Local Agency Investment Fund	462.55	209,808.48	
7/22/2022		City of Seal Beach	6,090.24	215,898.72	
7/22/2022		City of Placentia	8,173.26	224,071.98	
7/22/2022		City of Los Alamitos	5,065.00	229,136.98	
7/22/2022		City of Villa Park	4,583.65	233,720.63	
7/22/2022		City of Fullerton	15,406.54	249,127.17	
7/22/2022		City of San Juan Capistrano	6,876.73	256,003.90	
7/22/2022		City of Laguna Woods	5,510.90	261,514.80	
7/22/2022		City of Stanton	7,230.53	268,745.33	
7/25/2022		Citizens Business Banks	(46.74)	268,698.59	
7/26/2022		City of Irvine	28,645.17	297,343.76	
7/29/2022		City of Laguna Beach	5,921.12	303,264.88	
7/29/2022		Transportation Corridor Agencies	10,000.00	313,264.88	
7/29/2022		City of Cypress	8,063.09	321,327.97	
7/29/2022		City of Laguna Niguel	9,209.47	330,537.44	
7/29/2022		City of Costa Mesa	12,929.96	343,467.40	
7/29/2022		City of Buena Park	10,720.01	354,187.41	
7/29/2022		City of La Palma	5,338.36	359,525.77	
7/29/2022		City of San Clemente	9,135.50	368,661.27	
7/29/2022		City of Dana Point	6,730.13	375,391.40	
7/29/2022		City of Garden Grove	17,603.05	392,994.45	
7/31/2022	2312	Aleshire & Wynder, LLP	(2,538.00)	390,456.45	
7/31/2022	2313	Trevor O'Neil	(200.00)	390,256.45	
7/31/2022	2314	Tammy Kim	(300.00)	389,956.45	
7/31/2022	2315	Diane Dixon	(300.00)	389,656.45	
7/31/2022	2316	Joseph Kalmick	(300.00)	389,356.45	
7/31/2022	2317	Arthur Brown	(200.00)	389,156.45	
7/31/2022	2318	David Shawver	(300.00)	388,856.45	
7/31/2022	2319	Pictometry International Inc.	(6,750.00)	382,106.45	
7/31/2022	2320	John Hanson	(1,201.20)	380,905.25	
7/31/2022	2321	Lisa Telles Communications	(2,500.00)	378,405.25	
7/31/2022	2322	Connected Consulting	(12,113.43)	366,291.82	
7/31/2022	2323	WJS Consulting	(3,000.00)	363,291.82	
7/31/2022	2324	Michelle Boehm	(7,000.00)	356,291.82	
7/31/2022	2325	AJ Design	(926.25)	355,365.57	

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**

Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
7/31/2022	2326	AJ Design	(2,034.00)	353,331.57	
7/31/2022	2327	Kathryn Morrison	(3,929.98)	349,401.59	
7/31/2022	2328	Lucy Dunn	(2,500.00)	346,901.59	
August					
8/5/2022		City of Huntington Beach	19,624.11	366,525.70	Citizens Business Bank \$636,497.54
8/5/2022		City of Tustin	10,412.20	376,937.90	O/S Checks (319,904.12)
8/5/2022		City of Newport Beach	10,743.48	387,681.38	State LAIF 179,075.32
8/5/2022		City of Laguna Hills	6,556.82	394,238.20	\$495,668.74
8/5/2022		City of Yorba Linda	9,440.00	403,678.20	
8/5/2022		Orange County Sanitation District	10,000.00	413,678.20	
8/5/2022		City of Rancho Santa Margarita	7,863.07	421,541.27	
8/5/2022		City of Fountain Valley	8,596.85	430,138.12	
8/11/2022		City of Mission Viejo	11,437.99	441,576.11	
8/11/2022		City of Lake Forest	10,984.37	452,560.48	
8/11/2022		City of Santa Ana	28,503.63	481,064.11	
8/18/2022		County of Orange	10,000.00	491,064.11	
8/23/2022		Citizens Business Bank Fees	(32.32)	491,031.79	
8/23/2022		SCAG	19,475.17	510,506.96	
8/29/2022		SCAG	69,472.00	579,978.96	
8/29/2022		SCAG	235,094.00	815,072.96	
8/31/2022	2329	Aleshire & Wynder LLP	(1,115.00)	813,957.96	
8/31/2022	2330	CSUF ASC	(28,731.00)	785,226.96	
8/31/2022	2331	WSP	(8,324.35)	776,902.61	
8/31/2022	2332	WSP	(56,664.85)	720,237.76	
8/31/2022	2333	John Hanson	(1,046.78)	719,190.98	
8/31/2022	2334	AJ Design	(498.75)	718,692.23	
8/31/2022	2335	Orange County Housing Finance Trust	(172,000.00)	546,692.23	
8/31/2022	2336	September 1 check to Baird Driskell	-	546,692.23	
8/31/2022	2337	WSP	(22,295.25)	524,396.98	
8/31/2022	2338	VOID	-	524,396.98	
8/31/2022	2339	WJS Consulting	(3,000.00)	521,396.98	
8/31/2022	2340	Lisa Telles Communications	(2,875.00)	518,521.98	
8/31/2022	2341	Eide Bailly LLP	(4,925.00)	513,596.98	
8/31/2022	2342	Connected Consulting	(12,489.16)	501,107.82	
8/31/2022	2343	Kathryn Morrison	(4,203.98)	496,903.84	
8/31/2022	2344	AJ Design	(1,235.00)	495,668.84	

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**




Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
September					
9/9/2022		City of Westminster	11,270.29	506,939.13	Citizens Business Bank 357,501.70
9/15/2022	2345	Michelle Boehm	(4,200.00)	502,739.13	O/S Checks (41,653.10)
9/22/2022		City of Orange	15,006.98	517,746.11	State LAIF 179,075.32
9/22/2022		Independent Special District of OC	1,000.00	518,746.11	<u>\$494,923.92</u>
9/28/2022		South Coast Air Quality District	10,000.00	528,746.11	
9/28/2022		City of Brea	7,830.91	536,577.02	
9/30/2022	2346	VOID	-	536,577.02	
9/30/2022	2347	CALCOG	(2,600.00)	533,977.02	
9/30/2022	2348	LSA	(8,613.16)	525,363.86	
9/30/2022	2349	Aleshire & Wynder	(2,664.00)	522,699.86	
9/30/2022	2350	AJ Design	(2,034.00)	520,665.86	
9/30/2022	2351	Kathryn Morrison	(4,178.98)	516,486.88	
9/30/2022	2352	Lisa Telles Communications	(2,850.00)	513,636.88	
9/30/2022	2353	John Hanson	(1,065.45)	512,571.43	
9/30/2022	2354	AJ Design	(451.25)	512,120.18	
9/30/2022	2355	AJ Design	(2,059.00)	510,061.18	
9/30/2022	2356	WJS Consulting	(3,000.00)	507,061.18	
9/30/2022	2357	Connected Consulting	(12,137.26)	494,923.92	
October					
10/10/2022	2358	Orange County Business Council	(150.00)	494,773.92	Citizens Business Bank 311,179.51
10/11/2022	2359	Eric Shen	(3,500.00)	491,273.92	O/S Checks (34,717.82)
10/11/2022		Transtech	900.00	492,173.92	State LAIF 179,685.51
10/11/2022		Southern California Edison	5,000.00	497,173.92	<u>\$456,147.20</u>
10/14/2022		Aleshire & Wynder	1,000.00	498,173.92	
10/14/2022		City of Orange	5,000.00	503,173.92	
10/14/2022		LAIF Interest	610.19	503,784.11	
10/19/2022	2369	Baird + Driskell	(30,409.00)	473,375.11	
10/21/2022		LSA	2,250.00	475,625.11	
10/21/2022		City of Aliso Viejo	8,139.91	483,765.02	
10/28/2022		Interwest Consulting Group	2,250.00	486,015.02	
10/31/2022	2360	Tammy Kim	(200.00)	485,815.02	
10/31/2022	2361	Diane Dixon	(100.00)	485,715.02	
10/31/2022	2362	Joseph Kalmick	(200.00)	485,515.02	
10/31/2022	2363	Arthur Brown	(100.00)	485,415.02	
10/31/2022	2364	David Shawver	(200.00)	485,215.02	
10/31/2022	2365	KLM Strategies (original checks Voided, Re-issue)	(5,000.00)	480,215.02	
10/31/2022	2366	Aleshire & Wynder	(2,071.53)	478,143.49	
10/31/2022	2367	Interwest Consulting Group	(270.00)	477,873.49	
10/31/2022	2368	LSA	(9,800.00)	468,073.49	
10/31/2022	2369	Issued 10/19 to Baird + Driskell	-	468,073.49	

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**

Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
10/31/2022	2370	John Hanson	(971.70)	467,101.79	
10/31/2022	2371	Lisa Telles Communications	(3,300.00)	463,801.79	
10/31/2022	2372	Kathryn Morrison	(4,207.98)	459,593.81	
10/31/2022	2373	Wavelength Automation Inc	(1,548.00)	458,045.81	
10/31/2022	2374	Casa Del Sol	(1,148.61)	456,897.20	
10/31/2022	2375	VOID	-	456,897.20	
10/31/2022	2376	Wendy J Strack	(3,000.00)	453,897.20	
10/31/2022		SCAG	2,250.00	456,147.20	
November					
11/8/2022		Townsend Public Affairs	900.00	457,047.20	
11/8/2022		DTN.TECH	900.00	457,947.20	
11/11/2022	2377	AJ Design	(2,327.50)	455,619.70	
11/11/2022	2378	Connected Consulting	(12,137.26)	443,482.44	
11/15/2022		Orange County Transit Authority	10,000.00	453,482.44	
11/15/2022		Curt Pringle & Associates	4,500.00	457,982.44	
11/18/2022	2379	Curt Pringle & Associates	(10,025.00)	447,957.44	
11/21/2022		City of Anaheim	31,094.64	479,052.08	
11/21/2022		Urban Land Institute	2,250.00	481,302.08	
11/21/2022		Orange County Business Council	900.00	482,202.08	
11/30/2022	2380	Aleshire & Wynder	(4,395.00)	477,807.08	
11/30/2022	2381	Interwest Consulting Group	(2,880.00)	474,927.08	
11/30/2022	2382	Shen and Associates LLC	(6,500.00)	468,427.08	
11/30/2022	2383	WJS Consulting	(5,000.00)	463,427.08	
11/30/2022	2384	John Hanson	(1,014.00)	462,413.08	
11/30/2022	2385	Kathryn Morrison	(4,232.98)	458,180.10	
11/30/2022	2386	Connected Consulting	(12,136.76)	446,043.34	
11/30/2022	2387	AJ Design	(2,059.00)	443,984.34	
11/30/2022	2388	AJ Design	(3,500.00)	440,484.34	
11/30/2022	2389	AJ Design	(451.25)	440,033.09	
11/30/2022	2390	Lisa Telles Communications	(2,775.00)	437,258.09	
					Citizens Business Bank 312,641.57
					O/S Checks (55,068.99)
					State LAIF 179,685.51
					<u>\$437,258.09</u>

ORANGE COUNTY COUNCIL OF GOVERNMENTS
3972 BARRANCA PKWY STE J127
IRVINE CA 92606-1204

Managing Your Accounts

	Phone Number	888.222.5432
	Website	cbbank.com
	Email	customersupport@cbbank.com

Summary of Accounts

Account Type	Account Number	Ending Balance
ANALYZED BUSINESS PLAN GOVERNMENT SRVCS	591004948	\$312,641.57

ANALYZED BUSINESS PLAN GOVERNMENT SRVCS-591004948

Account Summary

Date	Description	Amount
11/01/2022	Beginning Balance	\$311,179.51
	3 Credit(s) This Period	\$50,544.64
	17 Debit(s) This Period	\$49,082.58
11/30/2022	Ending Balance	\$312,641.57

Deposits

Date	Description	Amount
11/08/2022	DEPOSIT	\$1,800.00
11/15/2022	DEPOSIT	\$14,500.00
11/21/2022	DEPOSIT	\$34,244.64
		3 item(s) totaling \$50,544.64

Checks Cleared

Check Nbr	Date	Amount	Check Nbr	Date	Amount	Check Nbr	Date	Amount
2347	11/01/2022	\$2,600.00	2366	11/08/2022	\$2,071.53	2373	11/14/2022	\$1,548.00
2360*	11/21/2022	\$200.00	2367	11/14/2022	\$270.00	2374	11/14/2022	\$1,148.61
2362*	11/10/2022	\$200.00	2368	11/14/2022	\$9,800.00	2376*	11/14/2022	\$3,000.00
2363	11/14/2022	\$100.00	2370*	11/07/2022	\$971.70	2377	11/25/2022	\$2,327.50
2364	11/17/2022	\$200.00	2371	11/14/2022	\$3,300.00	2378	11/15/2022	\$12,137.26
2365	11/10/2022	\$5,000.00	2372	11/07/2022	\$4,207.98			
						17 item(s) totaling \$49,082.58		

* Indicates skipped check number

Daily Balances

Date	Amount	Date	Amount	Date	Amount
11/01/2022	\$308,579.51	11/10/2022	\$297,928.30	11/17/2022	\$280,924.43
11/07/2022	\$303,399.83	11/14/2022	\$278,761.69	11/21/2022	\$314,969.07
11/08/2022	\$303,128.30	11/15/2022	\$281,124.43	11/25/2022	\$312,641.57

OUTSTANDING CHECKS		INSTRUCTIONS
		<p style="text-align: center;">PLEASE EXAMINE THIS STATEMENT AT ONCE AND IMMEDIATELY NOTIFY THE BANK OF ANY ERRORS.</p> <p style="text-align: center;">ALL ITEMS CREDITED ARE SUBJECT TO FINAL PAYMENT.</p> <ol style="list-style-type: none"> 1. Compare each paid check with your check stub or register and mark as paid. 2. Bank balance shown on front of your statement..... _____ 3. Compare any deposits shown on statement, including bank originated credits, with those entered in your check register. Add any not shown on statement..... _____ 4. Subtotal..... _____ 5. Subtract total of outstanding checks..... _____ 6. Account Balance..... _____ 7. Your check book balance..... _____ 8. Subtract any bank charges including monthly service charge or transfers you have authorized and have been deducted on this statement..... _____ 9. Adjusted check book balance..... _____ <p style="font-size: small; margin-top: 10px;"><i>*Denotes a point at which one or more check serial numbers are unaccounted for this statement period. It may indicate outstanding checks or checks shown on previous statements.</i></p>
No.	Amount	
TOTAL		

STATEMENTS AND IMAGES

Your account statement will include the following information with respect to each check paid against your account during the statement cycle: (1) the check number (2) the amount of the check; and (3) the date of the payment. Copies of checks can be requested by calling customer service at (888) 222-5432. Please refer to our Bank Product and Service Information for applicable fees under Research Requests.

THE FOLLOWING NOTICE CONCERNING ELECTRONIC TRANSFER APPLIES IF YOUR ACCOUNT IS MAINTAINED FOR PERSONAL, FAMILY OR HOUSEHOLD PURPOSES.

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS CONTACT US AT:

Telephone: (888) 222-5432
or write us at:
Citizens Business Bank P O Box 51000, Ontario, CA 91761

Contact us as soon as you can if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or a receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. If you have a question concerning your statement, please be prepared to:

1. Provide your name and account number (if any).
2. Provide the dollar amount of the suspected error.
3. Describe the error or the transfer you are unsure about and clearly explain why you believe it is an error or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation

California State Treasurer *Fiona Ma, CPA*



Local Agency Investment Fund
P.O. Box 942809
Sacramento, CA 94209-0001
(916) 653-3001

December 03, 2022

[LAIF Home](#)
[PMIA Average Monthly Yields](#)

ORANGE COUNTY COUNCIL OF GOVERNMENTS

TREASURER
3972 BARRANCA PKWY
SUITE J127
IRVINE , CA 92606

[Tran Type Definitions](#)

//

Account Number: 40-30-020

November 2022 Statement

Account Summary

Total Deposit:	0.00	Beginning Balance:	179,685.51
Total Withdrawal:	0.00	Ending Balance:	179,685.51



PMIA/LAIF Performance Report as of 12/14/22



PMIA Average Monthly Effective Yields⁽¹⁾

November	2.007
October	1.772
September	1.513

Quarterly Performance Quarter Ended 09/30/22

LAIF Apportionment Rate ⁽²⁾ :	1.35
LAIF Earnings Ratio ⁽²⁾ :	0.00003699565555327
LAIF Fair Value Factor ⁽¹⁾ :	0.980760962
PMIA Daily ⁽¹⁾ :	1.63%
PMIA Quarter to Date ⁽¹⁾ :	1.29%
PMIA Average Life ⁽¹⁾ :	304

Pooled Money Investment Account Monthly Portfolio Composition ⁽¹⁾ 11/30/22 \$203.7 billion

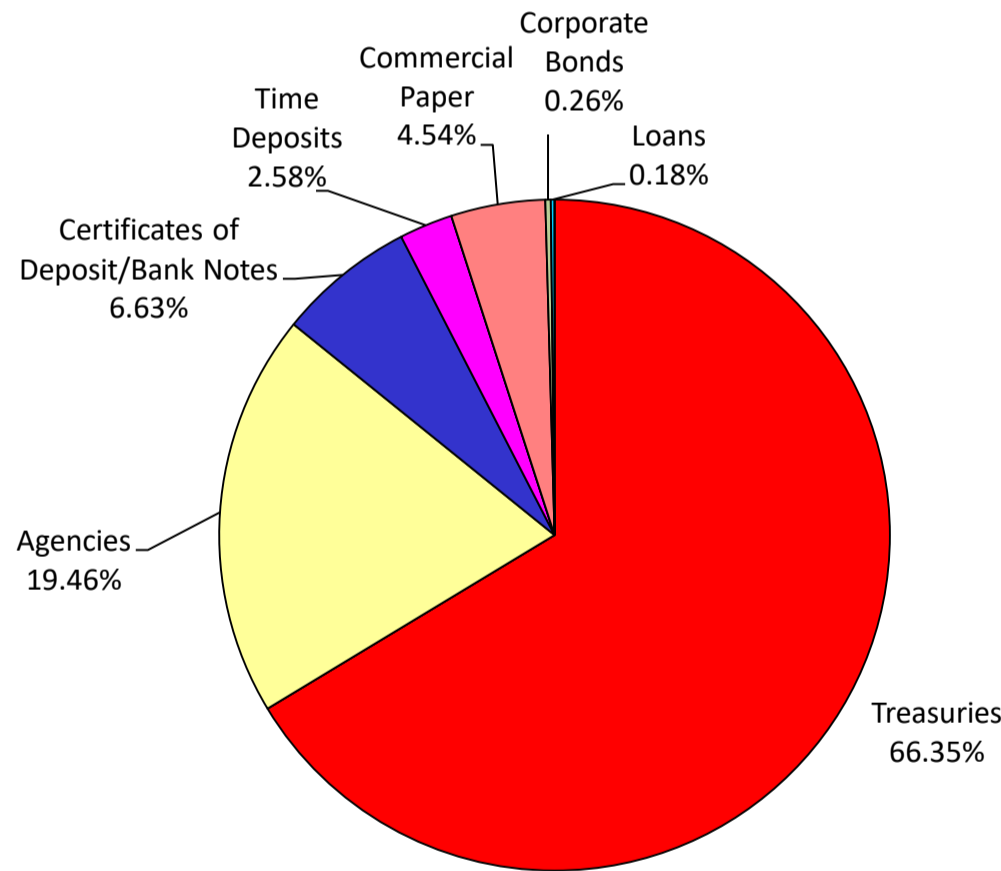


Chart does not include \$3,542,000.00 in mortgages, which equates to 0.002%. Percentages may not total 100% due to rounding.

Daily rates are now available here. [View PMIA Daily Rates](#)

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1) and interest earned on the Wildfire Fund loan pursuant to Public Utility Code 3288 (a).

Source:

⁽¹⁾ State of California, Office of the Treasurer

⁽²⁾ State of California, Office of the Controller

**Orange County Council of Governments
Cash Receipts/Disbursements Report
For the Quarter ending December 31, 2022**

Cash Receipts

Date	Payer	Description	Amount
10/11/2022	Transtech	General Assembly Sponsorship	900.00
10/11/2022	Southern California Edison	General Assembly Sponsorship	5,000.00
10/14/2022	Aleshire & Wynder	General Assembly Sponsorship	1,000.00
10/14/2022	City of Orange	FY 22-23 Dues and CDR Fees	5,000.00
10/14/2022	LAIF Interest	Quarterly Interest	610.19
10/21/2022	LSA	General Assembly Sponsorship	2,250.00
10/21/2022	City of Aliso Viejo	FY 22-23 Dues and CDR Fees	8,139.91
10/28/2022	Interwest Consulting Group	General Assembly Sponsorship	2,250.00
10/31/2022	SCAG	General Assembly Sponsorship	2,250.00
11/8/2022	Townsend Public Affairs	General Assembly Sponsorship	900.00
11/8/2022	DTN.TECH	General Assembly Sponsorship	900.00
11/15/2022	Orange County Transit Authority	FY 22-23 Dues	10,000.00
11/15/2022	Curt Pringle & Associates	General Assembly Sponsorship	4,500.00
11/21/2022	Urban Land Institute	General Assembly Sponsorship	2,250.00
11/21/2022	Orange County Business Council	General Assembly Sponsorship	900.00
			\$ 46,850.10

Cash Disbursements

Date	Check #	Payee	Description	Amount
10/10/2022	2358	Orange County Business Council	Membership	(150.00)
10/11/2022	2359	Eric Shen	REAP Project Management September	(3,500.00)
10/19/2022	2369	Baird + Driskell	REAP Model Development	(30,409.00)
10/31/2022	2365	KLM Strategies (original checks Voided, Re-issue)	Government Relations (Reissue VOIDED checks)	(5,000.00)
10/31/2022	2366	Aleshire & Wynder	Legal September	(2,071.53)
10/31/2022	2367	Interwest Consulting Group	On Call Planning Services	(270.00)
10/31/2022	2368	LSA	Project Management Admin Support REAP	(9,800.00)
10/31/2022	2370	John Hanson	Treasurer October 222	(971.70)
10/31/2022	2371	Lisa Telles Communications	General Assembly, Special Events, REAP October 2022	(3,300.00)
10/31/2022	2372	Kathryn Morrison	Administrative Assistant/Clerk October 2022	(4,207.98)
10/31/2022	2373	Wavelength Automation Inc	Bill Tracker FY 22-23	(1,548.00)
10/31/2022	2374	Casa Del Sol	Womans Leadership Luncheon	(1,148.61)
10/31/2022	2375	VOID		-
10/31/2022	2376	Wendy J Strack Consulting	Grant Monitoring October	(3,000.00)
11/11/2022	2377	AJ Design	Social Media October 2022	(2,327.50)
11/11/2022	2378	Connected Consulting	October Executive Director	(12,137.26)
11/18/2022	2379	Curt Pringle & Associates	REAP Project Management	(10,025.00)
11/30/2022	2380	Aleshire & Wynder	Legal October	(4,395.00)
11/30/2022	2381	Interwest Consulting Group	REAP Admin/Coordination October	(2,880.00)
11/30/2022	2382	Shen and Associates LLC	REAP Project Management October	(6,500.00)
11/30/2022	2383	WJS Consulting	Grant Monitoring October / SCAG Agenda Monitoring	(5,000.00)
11/30/2022	2384	John Hanson	Treasurer November 2022	(1,014.00)
11/30/2022	2385	Kathryn Morrison	Administrative Assistant/Clerk October 2022	(4,232.98)
11/30/2022	2386	Connected Consulting	November Executive Director	(12,136.76)
11/30/2022	2387	AJ Design	Social Media November 2022	(2,059.00)
11/30/2022	2388	AJ Design	REAP Video Production	(3,500.00)
11/30/2022	2389	AJ Design	REAP Video Production	(451.25)
11/30/2022	2390	Lisa Telles Communications	General Assembly, Special Events, REAP November 2022	(2,775.00)
			\$ (134,810.57)	



AGENDA ITEM #4

OCCOG December 2022 Financial Report

STAFF RECOMMENDATION

Approve financial report.

SUMMARY

OCCOG financial information is provided for Board review.

As of December 31, 2022, OCCOG had combined cash and investments of \$364,346.34 consisting of the following: a bank balance of \$410,510.31 at Citizens Business Bank outstanding checks in the amount of \$225,849.48 and an investment balance at the State Local Agency Investment Fund of \$179,685.51.

ATTACHMENTS

- A. OCCOG Fiscal Year 2022-23 Cash and Investments
- B. Citizens Business Bank Statement as of December 31, 2022
- C. State Local Agency Investment Fund (LAIF) Monthly Statement for December 31, 2022
- E. LAIF Performance Report - Period Ending December 14, 2022, Pooled Money Investment Account (PMIA) Average Monthly Effective Yields – September 2022 – October 2022 – November 2022 and PMIA Portfolio Composition at 11/30/22.
- F. OCCOG Fiscal Year 2022-23 Cash Receipts/Disbursements Report

STAFF CONTACT

John Hanson, CPA
OCCOG Treasure
949-929-0073
jhoccog@gmail.com

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**

Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
July					
		Balance Forward		212,323.58	Citizens Business Bank 343,919.03
7/1/2022	2305	Connected Consulting	(15,107.70)	197,215.88	O/S Checks (176,092.86)
7/1/2022	2306	Lisa Telles Communications	(2,500.00)	194,715.88	State LAIF 179,075.32
7/1/2022	2307	WJS Consulting	(2,500.00)	192,215.88	\$346,901.49
7/1/2022	2308	Kathryn Morrison	(3,671.54)	188,544.34	
7/1/2022	2311	John Hanson	(707.32)	187,837.02	
7/14/2022		SCAG	151,691.80	339,528.82	
7/14/2022		City of La Habra	9,010.01	348,538.83	
7/15/2022	2309	WSP USA	(9,192.90)	339,345.93	
7/15/2022	2310	Orange County Housing Finance Trust	(130,000.00)	209,345.93	
7/15/2022		Local Agency Investment Fund	462.55	209,808.48	
7/22/2022		City of Seal Beach	6,090.24	215,898.72	
7/22/2022		City of Placentia	8,173.26	224,071.98	
7/22/2022		City of Los Alamitos	5,065.00	229,136.98	
7/22/2022		City of Villa Park	4,583.65	233,720.63	
7/22/2022		City of Fullerton	15,406.54	249,127.17	
7/22/2022		City of San Juan Capistrano	6,876.73	256,003.90	
7/22/2022		City of Laguna Woods	5,510.90	261,514.80	
7/22/2022		City of Stanton	7,230.53	268,745.33	
7/25/2022		Citizens Business Banks	(46.74)	268,698.59	
7/26/2022		City of Irvine	28,645.17	297,343.76	
7/29/2022		City of Laguna Beach	5,921.12	303,264.88	
7/29/2022		Transportation Corridor Agencies	10,000.00	313,264.88	
7/29/2022		City of Cypress	8,063.09	321,327.97	
7/29/2022		City of Laguna Niguel	9,209.47	330,537.44	
7/29/2022		City of Costa Mesa	12,929.96	343,467.40	
7/29/2022		City of Buena Park	10,720.01	354,187.41	
7/29/2022		City of La Palma	5,338.36	359,525.77	
7/29/2022		City of San Clemente	9,135.50	368,661.27	
7/29/2022		City of Dana Point	6,730.13	375,391.40	
7/29/2022		City of Garden Grove	17,603.05	392,994.45	
7/31/2022	2312	Aleshire & Wynder, LLP	(2,538.00)	390,456.45	
7/31/2022	2313	Trevor O'Neil	(200.00)	390,256.45	
7/31/2022	2314	Tammy Kim	(300.00)	389,956.45	
7/31/2022	2315	Diane Dixon	(300.00)	389,656.45	
7/31/2022	2316	Joseph Kalmick	(300.00)	389,356.45	
7/31/2022	2317	Arthur Brown	(200.00)	389,156.45	
7/31/2022	2318	David Shawver	(300.00)	388,856.45	
7/31/2022	2319	Pictometry International Inc.	(6,750.00)	382,106.45	
7/31/2022	2320	John Hanson	(1,201.20)	380,905.25	
7/31/2022	2321	Lisa Telles Communications	(2,500.00)	378,405.25	
7/31/2022	2322	Connected Consulting	(12,113.43)	366,291.82	
7/31/2022	2323	WJS Consulting	(3,000.00)	363,291.82	
7/31/2022	2324	Michelle Boehm	(7,000.00)	356,291.82	
7/31/2022	2325	AJ Design	(926.25)	355,365.57	

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**

Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
7/31/2022	2326	AJ Design	(2,034.00)	353,331.57	
7/31/2022	2327	Kathryn Morrison	(3,929.98)	349,401.59	
7/31/2022	2328	Lucy Dunn	(2,500.00)	346,901.59	
August					
8/5/2022		City of Huntington Beach	19,624.11	366,525.70	Citizens Business Bank \$636,497.54
8/5/2022		City of Tustin	10,412.20	376,937.90	O/S Checks (319,904.12)
8/5/2022		City of Newport Beach	10,743.48	387,681.38	State LAIF 179,075.32
8/5/2022		City of Laguna Hills	6,556.82	394,238.20	\$495,668.74
8/5/2022		City of Yorba Linda	9,440.00	403,678.20	
8/5/2022		Orange County Sanitation District	10,000.00	413,678.20	
8/5/2022		City of Rancho Santa Margarita	7,863.07	421,541.27	
8/5/2022		City of Fountain Valley	8,596.85	430,138.12	
8/11/2022		City of Mission Viejo	11,437.99	441,576.11	
8/11/2022		City of Lake Forest	10,984.37	452,560.48	
8/11/2022		City of Santa Ana	28,503.63	481,064.11	
8/18/2022		County of Orange	10,000.00	491,064.11	
8/23/2022		Citizens Business Bank Fees	(32.32)	491,031.79	
8/23/2022		SCAG	19,475.17	510,506.96	
8/29/2022		SCAG	69,472.00	579,978.96	
8/29/2022		SCAG	235,094.00	815,072.96	
8/31/2022	2329	Aleshire & Wynder LLP	(1,115.00)	813,957.96	
8/31/2022	2330	CSUF ASC	(28,731.00)	785,226.96	
8/31/2022	2331	WSP	(8,324.35)	776,902.61	
8/31/2022	2332	WSP	(56,664.85)	720,237.76	
8/31/2022	2333	John Hanson	(1,046.78)	719,190.98	
8/31/2022	2334	AJ Design	(498.75)	718,692.23	
8/31/2022	2335	Orange County Housing Finance Trust	(172,000.00)	546,692.23	
8/31/2022	2336	September 1 check to Baird Driskell	-	546,692.23	
8/31/2022	2337	WSP	(22,295.25)	524,396.98	
8/31/2022	2338	VOID	-	524,396.98	
8/31/2022	2339	WJS Consulting	(3,000.00)	521,396.98	
8/31/2022	2340	Lisa Telles Communications	(2,875.00)	518,521.98	
8/31/2022	2341	Eide Bailly LLP	(4,925.00)	513,596.98	
8/31/2022	2342	Connected Consulting	(12,489.16)	501,107.82	
8/31/2022	2343	Kathryn Morrison	(4,203.98)	496,903.84	
8/31/2022	2344	AJ Design	(1,235.00)	495,668.84	
September					
9/9/2022		City of Westminster	11,270.29	506,939.13	Citizens Business Bank 357,501.70
9/15/2022	2345	Michelle Boehm	(4,200.00)	502,739.13	O/S Checks (41,653.10)
9/22/2022		City of Orange	15,006.98	517,746.11	State LAIF 179,075.32
9/22/2022		Independent Special District of OC	1,000.00	518,746.11	\$494,923.92
9/28/2022		South Coast Air Quality District	10,000.00	528,746.11	

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**

Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
9/28/2022		City of Brea	7,830.91	536,577.02	
9/30/2022	2346	VOID	-	536,577.02	
9/30/2022	2347	CALCOG	(2,600.00)	533,977.02	
9/30/2022	2348	LSA	(8,613.16)	525,363.86	
9/30/2022	2349	Aleshire & Wynder	(2,664.00)	522,699.86	
9/30/2022	2350	AJ Design	(2,034.00)	520,665.86	
9/30/2022	2351	Kathryn Morrison	(4,178.98)	516,486.88	
9/30/2022	2352	Lisa Telles Communications	(2,850.00)	513,636.88	
9/30/2022	2353	John Hanson	(1,065.45)	512,571.43	
9/30/2022	2354	AJ Design	(451.25)	512,120.18	
9/30/2022	2355	AJ Design	(2,059.00)	510,061.18	
9/30/2022	2356	WJS Consulting	(3,000.00)	507,061.18	
9/30/2022	2357	Connected Consulting	(12,137.26)	494,923.92	
<hr/>					
October					
10/10/2022	2358	Orange County Business Council	(150.00)	494,773.92	Citizens Business Bank 311,179.51
10/11/2022	2359	Eric Shen	(3,500.00)	491,273.92	O/S Checks (34,717.82)
10/11/2022		Transtech	900.00	492,173.92	State LAIF 179,685.51
10/11/2022		Southern California Edison	5,000.00	497,173.92	<u>\$456,147.20</u>
10/14/2022		Aleshire & Wynder	1,000.00	498,173.92	
10/14/2022		City of Orange	5,000.00	503,173.92	
10/14/2022		LAIF Interest	610.19	503,784.11	
10/19/2022	2369	Baird + Driskell	(30,409.00)	473,375.11	
10/21/2022		LSA	2,250.00	475,625.11	
10/21/2022		City of Aliso Viejo	8,139.91	483,765.02	
10/28/2022		Interwest Consulting Group	2,250.00	486,015.02	
10/31/2022	2360	Tammy Kim	(200.00)	485,815.02	
10/31/2022	2361	Diane Dixon	(100.00)	485,715.02	
10/31/2022	2362	Joseph Kalmick	(200.00)	485,515.02	
10/31/2022	2363	Arthur Brown	(100.00)	485,415.02	
10/31/2022	2364	David Shawver	(200.00)	485,215.02	
10/31/2022	2365	KLM Strategies (original checks Voided, Re-issue)	(5,000.00)	480,215.02	
10/31/2022	2366	Aleshire & Wynder	(2,071.53)	478,143.49	
10/31/2022	2367	Interwest Consulting Group	(270.00)	477,873.49	
10/31/2022	2368	LSA	(9,800.00)	468,073.49	
10/31/2022	2369	Issued 10/19 to Baird + Driskell	-	468,073.49	
10/31/2022	2370	John Hanson	(971.70)	467,101.79	
10/31/2022	2371	Lisa Telles Communications	(3,300.00)	463,801.79	
10/31/2022	2372	Kathryn Morrison	(4,207.98)	459,593.81	
10/31/2022	2373	Wavelength Automation Inc	(1,548.00)	458,045.81	
10/31/2022	2374	Casa Del Sol	(1,148.61)	456,897.20	
10/31/2022	2375	VOID	-	456,897.20	
10/31/2022	2376	Wendy J Strack	(3,000.00)	453,897.20	
10/31/2022		SCAG	2,250.00	456,147.20	

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**

Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
November					
11/8/2022		Townsend Public Affairs	900.00	457,047.20	
11/8/2022		DTN.TECH	900.00	457,947.20	
11/11/2022	2377	AJ Design	(2,327.50)	455,619.70	
11/11/2022	2378	Connected Consulting	(12,137.26)	443,482.44	
11/15/2022		Orange County Transit Authority	10,000.00	453,482.44	
11/15/2022		Curt Pringle & Associates	4,500.00	457,982.44	
11/18/2022	2379	Curt Pringle & Associates	(10,025.00)	447,957.44	
11/21/2022		City of Anaheim	31,094.64	479,052.08	
11/21/2022		Urban Land Institute	2,250.00	481,302.08	
11/21/2022		Orange County Business Council	900.00	482,202.08	
11/30/2022	2380	Aleshire & Wynder	(4,395.00)	477,807.08	
11/30/2022	2381	Interwest Consulting Group	(2,880.00)	474,927.08	
11/30/2022	2382	Shen and Associates LLC	(6,500.00)	468,427.08	
11/30/2022	2383	WJS Consulting	(5,000.00)	463,427.08	Citizens Business Bank 312,641.57
11/30/2022	2384	John Hanson	(1,014.00)	462,413.08	O/S Checks (55,068.99)
11/30/2022	2385	Kathryn Morrison	(4,232.98)	458,180.10	State LAIF 179,685.51
11/30/2022	2386	Connected Consulting	(12,136.76)	446,043.34	<u>\$437,258.09</u>
11/30/2022	2387	AJ Design	(2,059.00)	443,984.34	
11/30/2022	2388	AJ Design	(3,500.00)	440,484.34	
11/30/2022	2389	AJ Design	(451.25)	440,033.09	
11/30/2022	2390	Lisa Telles Communications	(2,775.00)	437,258.09	

**Orange County Council of Governments
Cash and Investments
Fiscal Year 2022-23**

Date	Check #	Description	Amount	General Ledger Balance	Bank Balances and Reconciliation
December					
12/2/2022		City of Fountain Valley	3,000.00	440,258.09	
12/9/2022		SCAG	109,844.58	550,102.67	
12/12/2022		City of La Habra	3,000.00	553,102.67	
12/12/2022		Department of Transportation	900.00	554,002.67	
12/12/2022		City of Mission Viejo	3,000.00	557,002.67	
12/12/2022		City of San Clementer	3,000.00	560,002.67	
12/12/2022		City of San Juan Capistrano	3,000.00	563,002.67	
12/15/2022	2391	WSP	(42,156.50)	520,846.17	
12/15/2022	2392	Orange County Housing Finance Trust	(60,000.00)	460,846.17	
12/15/2022	2393	Eagle Aerial Soutlions	(95,671.90)	365,174.27	
12/19/2022		Neighborworks OC	900.00	366,074.27	
12/19/2022		Association Ca Cities OC	300.00	366,374.27	
12/19/2022		City of Los Alamitos	3,000.00	369,374.27	
12/19/2022		City of Garden Grove	3,000.00	372,374.27	
12/19/2022		City of Laguna Niguel	3,000.00	375,374.27	
12/19/2022		City of Brea	3,000.00	378,374.27	
12/21/2022		Check Re-Order	(65.85)	378,308.42	
12/22/2022		City of Buena Park	3,000.00	381,308.42	
12/22/2022		City of Tustin	3,000.00	384,308.42	
12/22/2022		City of Yorba Linda	3,000.00	387,308.42	
12/22/2022		City of Newport Beach	3,000.00	390,308.42	
12/31/2022	2394	LSA	(7,776.25)	382,532.17	
12/31/2022	2395	John Hanson	(874.85)	381,657.32	
12/31/2022	2396	Lisa Telles Communications	(2,950.00)	378,707.32	
12/31/2022	2397	Kathryn Morrison	(4,307.98)	374,399.34	
12/31/2022	2398	WJS Consulting	(5,000.00)	369,399.34	
12/31/2022	2399	Eide Bailly LLP	(3,498.00)	365,901.34	
12/31/2022	2400	Aleshire & Wynder LLP	(1,555.00)	364,346.34	
					Citizens Business Bank \$410,510.31
					O/S Checks (225,849.48)
					State LAIF 179,685.51
					<u>\$364,346.34</u>



A Financial Services Company

PO Box 3938, Ontario, CA 91761

ORANGE COUNTY COUNCIL OF GOVERNMENTS
 3972 BARRANCA PKWY STE J127
 IRVINE CA 92606-1204

Statement Ending 12/30/2022

ORANGE COUNTY COUNCIL OF

Page 1 of 4

Account Number: 591004948

Managing Your Accounts

	Phone Number	888.222.5432
	Website	cbbank.com
	Email	customersupport@cbbank.com

Summary of Accounts

Account Type	Account Number	Ending Balance
ANALYZED BUSINESS PLAN GOVERNMENT SRVCS	591004948	\$410,510.31

ANALYZED BUSINESS PLAN GOVERNMENT SRVCS-591004948

Account Summary

Date	Description	Amount
12/01/2022	Beginning Balance	\$312,641.57
	5 Credit(s) This Period	\$150,944.58
	13 Debit(s) This Period	\$53,075.84
12/30/2022	Ending Balance	\$410,510.31

Deposits

Date	Description	Amount
12/02/2022	DEPOSIT	\$3,000.00
12/12/2022	DEPOSIT	\$12,900.00
12/19/2022	DEPOSIT	\$13,200.00
12/22/2022	DEPOSIT	\$12,000.00
		4 item(s) totaling \$41,100.00

Electronic Credits

Date	Description	Amount
12/09/2022	PAYABLES SO CAL ASSOC OF 113	\$109,844.58
		1 item(s) totaling \$109,844.58

Electronic Debits

Date	Description	Amount
12/21/2022	HARLAND CLARKE CHK ORDER 11BJ93160327600	\$65.85
		1 item(s) totaling \$65.85

Checks Cleared

Check Nbr	Date	Amount	Check Nbr	Date	Amount	Check Nbr	Date	Amount
2361	12/06/2022	\$100.00	2382	12/13/2022	\$6,500.00	2386	12/12/2022	\$12,136.76
2379*	12/09/2022	\$10,025.00	2383	12/09/2022	\$5,000.00	2388*	12/21/2022	\$3,500.00
2380	12/08/2022	\$4,395.00	2384	12/05/2022	\$1,014.00	2389	12/21/2022	\$451.25
2381	12/12/2022	\$2,880.00	2385	12/06/2022	\$4,232.98	2390	12/27/2022	\$2,775.00
							12 item(s) totaling \$53,009.99	

* Indicates skipped check number

Member FDIC

OUTSTANDING CHECKS		INSTRUCTIONS
No.	Amount	<p style="text-align: center;">PLEASE EXAMINE THIS STATEMENT AT ONCE AND IMMEDIATELY NOTIFY THE BANK OF ANY ERRORS.</p> <p style="text-align: center;">ALL ITEMS CREDITED ARE SUBJECT TO FINAL PAYMENT.</p> <ol style="list-style-type: none"> 1. Compare each paid check with your check stub or register and mark as paid. 2. Bank balance shown on front of your statement..... _____ 3. Compare any deposits shown on statement, including bank originated credits, with those entered in your check register. Add any not shown on statement..... _____ 4. Subtotal..... _____ 5. Subtract total of outstanding checks..... _____ 6. Account Balance..... _____ 7. Your check book balance..... _____ 8. Subtract any bank charges including monthly service charge or transfers you have authorized and have been deducted on this statement..... _____ 9. Adjusted check book balance..... _____ <p style="font-size: small; margin-top: 10px;"><i>*Denotes a point at which one or more check serial numbers are unaccounted for this statement period. It may indicate outstanding checks or checks shown on previous statements.</i></p>
TOTAL		

STATEMENTS AND IMAGES

Your account statement will include the following information with respect to each check paid against your account during the statement cycle: (1) the check number (2) the amount of the check; and (3) the date of the payment. Copies of checks can be requested by calling customer service at (888) 222-5432. Please refer to our Bank Product and Service Information for applicable fees under Research Requests.

THE FOLLOWING NOTICE CONCERNING ELECTRONIC TRANSFER APPLIES IF YOUR ACCOUNT IS MAINTAINED FOR PERSONAL, FAMILY OR HOUSEHOLD PURPOSES.

IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC TRANSFERS CONTACT US AT:

Telephone: (888) 222-5432
or write us at:
Citizens Business Bank P O Box 51000, Ontario, CA 91761

Contact us as soon as you can if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or a receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. If you have a question concerning your statement, please be prepared to:

1. Provide your name and account number (if any).
2. Provide the dollar amount of the suspected error.
3. Describe the error or the transfer you are unsure about and clearly explain why you believe it is an error or why you need more information.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation

ANALYZED BUSINESS PLAN GOVERNMENT SRVCS-591004948 (continued)**Daily Balances**

Date	Amount	Date	Amount	Date	Amount
12/02/2022	\$315,641.57	12/09/2022	\$400,719.17	12/21/2022	\$401,285.31
12/05/2022	\$314,627.57	12/12/2022	\$398,602.41	12/22/2022	\$413,285.31
12/06/2022	\$310,294.59	12/13/2022	\$392,102.41	12/27/2022	\$410,510.31
12/08/2022	\$305,899.59	12/19/2022	\$405,302.41		

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California State Treasurer *Fiona Ma, CPA*



Local Agency Investment Fund
P.O. Box 942809
Sacramento, CA 94209-0001
(916) 653-3001

January 07, 2023

[LAIF Home](#)
[PMIA Average Monthly Yields](#)

ORANGE COUNTY COUNCIL OF GOVERNMENTS

TREASURER
3972 BARRANCA PKWY
SUITE J127
IRVINE , CA 92606

[Tran Type Definitions](#)

Account Number: 40-30-020

December 2022 Statement

Account Summary

Total Deposit:	0.00	Beginning Balance:	179,685.51
Total Withdrawal:	0.00	Ending Balance:	179,685.51



PMIA/LAIF Performance Report as of 12/14/22



PMIA Average Monthly Effective Yields⁽¹⁾

November	2.007
October	1.772
September	1.513

Quarterly Performance Quarter Ended 09/30/22

LAIF Apportionment Rate ⁽²⁾ :	1.35
LAIF Earnings Ratio ⁽²⁾ :	0.00003699565555327
LAIF Fair Value Factor ⁽¹⁾ :	0.980760962
PMIA Daily ⁽¹⁾ :	1.63%
PMIA Quarter to Date ⁽¹⁾ :	1.29%
PMIA Average Life ⁽¹⁾ :	304

Pooled Money Investment Account Monthly Portfolio Composition ⁽¹⁾ 11/30/22 \$203.7 billion

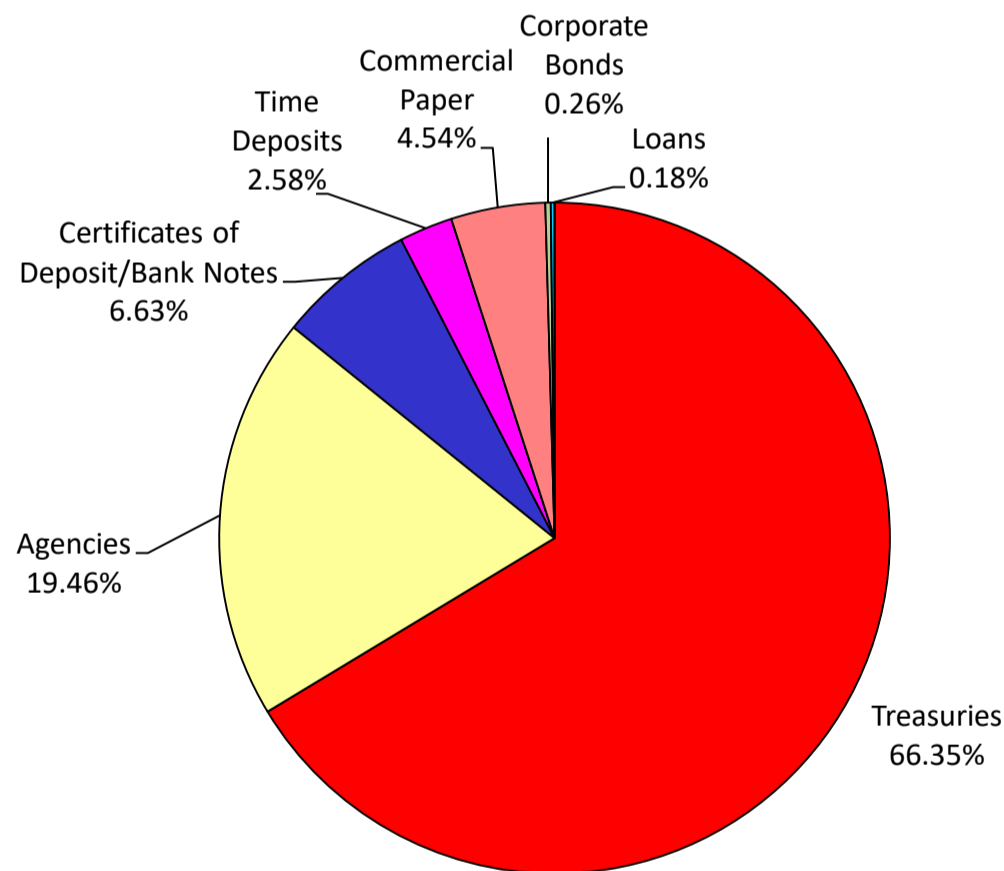


Chart does not include \$3,542,000.00 in mortgages, which equates to 0.002%. Percentages may not total 100% due to rounding.

Daily rates are now available here. [View PMIA Daily Rates](#)

Notes: The apportionment rate includes interest earned on the CalPERS Supplemental Pension Payment pursuant to Government Code 20825 (c)(1) and interest earned on the Wildfire Fund loan pursuant to Public Utility Code 3288 (a).

Source:

⁽¹⁾ State of California, Office of the Treasurer

⁽²⁾ State of California, Office of the Controller

**Orange County Council of Governments
Cash Receipts/Disbursements Report
For the Quarter ending December 31, 2022**

Cash Receipts

Date	Payer	Description	Amount
10/11/2022	Transtech	General Assembly Sponsorship	900.00
10/11/2022	Southern California Edison	General Assembly Sponsorship	5,000.00
10/14/2022	Aleshire & Wynder	General Assembly Sponsorship	1,000.00
10/14/2022	City of Orange	FY 22-23 Dues and CDR Fees	5,000.00
10/14/2022	LAIF Interest	Quarterly Interest	610.19
10/21/2022	LSA	General Assembly Sponsorship	2,250.00
10/21/2022	City of Aliso Viejo	FY 22-23 Dues and CDR Fees	8,139.91
10/28/2022	Interwest Consulting Group	General Assembly Sponsorship	2,250.00
10/31/2022	SCAG	General Assembly Sponsorship	2,250.00
11/8/2022	Townsend Public Affairs	General Assembly Sponsorship	900.00
11/8/2022	DTN.TECH	General Assembly Sponsorship	900.00
11/15/2022	Orange County Transit Authority	FY 22-23 Dues	10,000.00
11/15/2022	Curt Pringle & Associates	General Assembly Sponsorship	4,500.00
11/21/2022	Urban Land Institute	General Assembly Sponsorship	2,250.00
11/21/2022	Orange County Business Council	General Assembly Sponsorship	900.00
12/2/2022	City of Fountain Valley	Cycle 2 Data	3,000.00
12/9/2022	SCAG	July REAP	109,844.58
12/12/2022	City of La Habra	Cycle 2 Data	3,000.00
12/12/2022	Department of Transportation	General Assembly Sponsorship	900.00
12/12/2022	City of Mission Viejo	Cycle 2 Data	3,000.00
12/12/2022	City of San Clementer	Cycle 2 Data	3,000.00
12/12/2022	City of San Juan Capistrano	Cycle 2 Data	3,000.00
12/19/2022	Neighborworks OC	General Assembly Sponsorship	900.00
12/19/2022	Association Ca Cities OC	General Assembly Sponsorship	300.00
12/19/2022	City of Los Alamitos	Cycle 2 Data	3,000.00
12/19/2022	City of Garden Grove	Cycle 2 Data	3,000.00
12/19/2022	City of Laguna Niguel	Cycle 2 Data	3,000.00
12/19/2022	City of Brea	Cycle 2 Data	3,000.00
12/22/2022	City of Buena Park	Cycle 2 Data	3,000.00
12/22/2022	City of Tustin	Cycle 2 Data	3,000.00
12/22/2022	City of Yorba Linda	Cycle 2 Data	3,000.00
12/22/2022	City of Newport Beach	Cycle 2 Data	3,000.00
			<u>\$ 197,794.68</u>

Cash Disbursements

Date	Check #	Payee	Description	Amount
10/10/2022	2358	Orange County Business Council	Membership	(150.00)

10/11/2022	2359	Eric Shen	REAP Project Management September	(3,500.00)
10/19/2022	2369	Baird + Driskell	REAP Model Development	(30,409.00)
10/31/2022	2365	KLM Strategies (original checks Voided, Re-issue)	Government Relations (Reissue VOIDED checks)	(5,000.00)
10/31/2022	2366	Aleshire & Wynder	Legal September	(2,071.53)
10/31/2022	2367	Interwest Consulting Group	On Call Planning Services	(270.00)
10/31/2022	2368	LSA	Project Management Admin Support REAP	(9,800.00)
10/31/2022	2370	John Hanson	Treasurer October 222	(971.70)
10/31/2022	2371	Lisa Telles Communications	General Assembly, Special Events, REAP October 2022	(3,300.00)
10/31/2022	2372	Kathryn Morrison	Administrative Assistant/Clerk October 2022	(4,207.98)
10/31/2022	2373	Wavelength Automation Inc	Bill Tracker FY 22-23	(1,548.00)
10/31/2022	2374	Casa Del Sol	Womans Leadership Luncheon	(1,148.61)
10/31/2022	2375	VOID		-
10/31/2022	2376	Wendy J Strack Consulting	Grant Monitoring October	(3,000.00)
11/11/2022	2377	AJ Design	Social Media October 2022	(2,327.50)
11/11/2022	2378	Connected Consulting	October Executive Director	(12,137.26)
11/18/2022	2379	Curt Pringle & Associates	REAP Project Management	(10,025.00)
11/30/2022	2380	Aleshire & Wynder	Legal October	(4,395.00)
11/30/2022	2381	Interwest Consulting Group	REAP Admin/Coordination October	(2,880.00)
11/30/2022	2382	Shen and Associates LLC	REAP Project Management October	(6,500.00)
11/30/2022	2383	WJS Consulting	Grant Monitoring October / SCAG Agenda Monitoring	(5,000.00)
11/30/2022	2384	John Hanson	Treasurer November 2022	(1,014.00)
11/30/2022	2385	Kathryn Morrison	Administrative Assistant/Clerk October 2022	(4,232.98)
11/30/2022	2386	Connected Consulting	November Executive Director	(12,136.76)
11/30/2022	2387	AJ Design	Social Media November 2022	(2,059.00)
11/30/2022	2388	AJ Design	REAP Video Production	(3,500.00)
11/30/2022	2389	AJ Design	REAP Video Production	(451.25)
11/30/2022	2390	Lisa Telles Communications	General Assembly, Special Events, REAP November 2022	(2,775.00)
12/15/2022	2391	WSP	July REAP GEOSPatial	(42,156.50)
12/15/2022	2392	Orange County Housing Finance Trust	July REAP Tasks	(60,000.00)
12/15/2022	2393	Eagle Aerial Soutions	Ist Installment for OCDAP Cycle 2 Data	(95,671.90)
12/21/2022		Check Re-Order	New Checks	(65.85)
12/31/2022	2394	LSA	November REAP Project management	(7,776.25)
12/31/2022	2395	John Hanson	December Treasurer	(874.85)
12/31/2022	2396	Lisa Telles Communications	General Assembly, Special Events, REAP December 2022	(2,950.00)
12/31/2022	2397	Kathryn Morrison	Administrative Assistant/Clerk/REAP December 2022	(4,307.98)
12/31/2022	2398	WJS Consulting	Grant Monitoring October / SCAG Agenda Monitoring	(5,000.00)
12/31/2022	2399	Eide Bailly LLP	2022 Auditor Fees	(3,498.00)
12/31/2022	2400	Aleshire & Wynder LLP	November Legal	(1,555.00)
				<u><u>\$(358,666.90)</u></u>



AGENDA ITEM # 5

Selection of SCAG Policy Committee Representatives (2 appointments)

RECOMMENDED ACTION

Select two representatives to serve on SCAG policy committees from candidates received by January 20, 2023.

SUMMARY

Orange County has 12 representatives that serve on SCAG policy committees. The OCCOG Board is the appointing body for these representatives. When a candidate is selected they are able to choose the committee they would like to serve on. The Board then provides the approved representatives and their requested committee to the SCAG President for approval and assignment to a policy committee. Once appointed, policy committee representatives serve as long as they are duly elected representatives of a dues-paying SCAG member jurisdiction.

Due to the recent mid-term election, two vacancies opened up. OCCOG has solicited interest from eligible candidates. OCCOG received two nominations from eligible candidates by the January 20, 2023, 5 PM deadline: Don Caskey, Councilmember from Laguna Hills and Fred Jung, Mayor from Fullerton. Both candidates are presented to the Board for consideration. Bios from the candidates are included as Attachments A and B.

STAFF CONTACT

Fred Galante
OCCOG General Counsel
fgalante@awattorneys.com



Attachment A – Mayor Fred Jung, City of Fullerton

Mayor Fred Jung was elected to serve a four-year term representing Council District 1 on the Fullerton City Council in November 2020. Mayor Jung is the first Asian-American Fullerton City Council Member in over 20 years. The term expires in 2024.

Prior to joining Council, Mayor Jung served on the Parks and Recreation Commission and Traffic and Circulation Commission.

Born in Seoul, South Korea, Jung came to the United States at the age of 5. Jung has lived in Fullerton District 1 since 1987. He attended Sunny Hills High School and has volunteered as a Sunny Hills High School football coach for many years.

Mayor Jung has owned many successful small businesses. He also went on to found one of North Orange County's largest youth sports nonprofit organizations. He started the jazz magazines All About Jazz Los Angeles, All About Jazz Chicago, All About Jazz San Francisco, and Jazz Weekly. He also served on the Board of Directors of the Jazz Journalists Association.

Mayor Jung serves as the Chair to the Orange County Mosquito and Vector Control District's Budget and Finance Committee, Vice Chair to the Orange County Power Authority, Vice Chair to the Metropolitan Water District of Southern California, and Vice Chair to Metropolitan Water District of Southern California's Diversity, Equity and Inclusion Committee. He also serves on the Board of Directors of the Orange County Housing Finance Trust and in 2022 was elected to the Orange County Transportation Authority Board of Directors representing the 4th Supervisorial District.

He attended the University of Southern California.



Attachment B – Councilmember Don Caskey, City of Laguna Hills

Don is a Fellow in the American Institute of Architects and has BA and MUD degrees in Architecture and Urban Planning & Design from USC. At age 27 Don started his firm in Orange County as Coleman/Caskey Architects then sold after 21 years to nationally based architectural/engineering firm Carter Burgess and subsequently to international-based Jacobs Engineering. Don then became the Associate Vice Chancellor of Administration and University Architect for the University of California, Riverside. He has served on several professional Boards at the local, State and National level, including the Association of University Architects. In retirement, Don remains a recognized leader in the design and construction profession and brings those specific credentials to the City of Laguna Hills at a time when they are needed most.

Don previously served on the Parks and Recreation Commission and is currently an appointed member of the Laguna Hills City Council. He is a five-year member of his HOA’s Emergency Preparedness Committee and is a certified Post-Disaster Safety Assessment Evaluator for the State of California. Don was the architect-in-charge for the design of the Laguna Hills Civic Center in 2007 and brings specific goals and objectives to his role on City Council. Among them are:

- Help the City Council with the successful completion of the Urban Village
- Enhance Public Safety with more resources and greater Emergency Readiness
- Better communication with residents using text message, email, and one-on-one
- Create design guidelines and planning metrics for City development
- Assist in moving the City to stronger financial stability
- Promote goals, objectives, and tactics that enhance beautification of the City

Don is a forty-year resident of Laguna Hills and has raised four children, all of whom graduated from Laguna Hills High School and participated in Hawk’s varsity sports, cheerleading, student council, and drama programs. In earlier times, he was an AYSO soccer coach and assistant coach for Little League baseball and Cowboys youth football. He served as president and in the leadership of his church for over 40 years. This year Don and his wife Susie are celebrating their 25th anniversary.



AGENDA ITEM # 6

2021-2022 Audit Report

SUMMARY

Eide Bailly, LLP, OCCOG's independent auditors, has completed the audit of OCCOG's financial statements as of June 30, 2022 and for the fiscal year then ended, and has issued its report on the financial statements and its report on internal control over financial reporting, compliance and other matters based on the audit.

The auditors have issued an unqualified ("clean") audit opinion on the financial statements, indicating that in their opinion the financial statements prepared by management present fairly the financial position of OCCOG as of June 30, 2022 and the changes in financial position for the FY 2021-22 fiscal year in accordance with accounting principles generally accepted in the United States. During their audit, they identified no deficiencies in internal controls over financial reporting that they considered to be material weaknesses, and non-compliance. The auditor documented one corrected misstatement and one non-compliance with laws and regulations.

The following misstatement was corrected by Orange County Council of Governments. The LAIF investment balance, which is tracked based on amortized cost, was not reported at fair value. As of June 30, 2022, the LAIF allocation was 0.987125414, resulting in a loss as of year-end. As a result, Auditors proposed an adjustment of \$2,686 to reduce the value of the LAIF investment and related interest income (loss).

Corrective action from OCCOG: OCCOG will review and recalculate the LAIF FMV at year end to ensure no investment income(loss) was incurred. If a loss is noted the adjustment entry will be posted.

The following non-compliance with laws and regulations was noted by the Auditors During the audit it was noted that the OCCOG's general fund expenditures were within appropriations at the fund level. However, the General Assembly conference expenditures exceeded appropriations by \$11,833. The excess expenditures over appropriations were due to General Assembly cost increases for higher attendance, as well as staff time that was



not budgeted in that category for the event. Overall, expenditures of \$1.5 million were less than budgeted appropriations of \$3.5 million.

OCCOG response: OCCOG will review actual expenditures to budgeted amounts on a quarterly basis. We will ensure that expenditures did not exceed budgeted amounts. If expenditures do exceed budget, we will propose a budget amendment to the Board for approval.

The financial statements indicate that OCCOG had a net position of \$175,412 at June 30, 2022 and a fund balance in the General Fund of the same amount as of that same date. OCCOG's net position decreased by \$67,194 during the fiscal year, as did the fund balance in the General Fund.

The year-end net position/fund balance of \$175,412 is available as a reserve for contingencies, new programs, increases in the cost of services, unforeseen expenses or revenue shortfalls that may arise in future years.

The auditors have also issued the second audit communication letter to the Board of Directors that is required by generally accepted auditing standards. This letter communicates certain audit findings and other matters to the Board. The auditors noted no difficulties dealing with OCCOG management or any disagreements with management. Their findings are described in the attached letter.

Recommendation: Approve the audited financial statements for FY 2021-22 and receive and file the letter from the auditors dated December 22, 2022.

Attachments:

- A. OCCOG audited financial statements for FY 2021-22
- B. Letter from Eide Bailly, LLP, to the OCCOG Board of Directors, dated December 22, 2022

Staff Contact: John Hanson, CPA
OCCOG Treasurer
949 929-0073
jhoccog@gmail.com



Financial Statements
Year Ended June 30, 2022
Orange County
Council of Governments

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Basic Financial Statements

Year Ended June 30, 2022

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Independent Auditor's Report



Independent Auditor's Report

To the Board of Directors
Orange County Council of Governments
Irvine, California

Report on the Audit of the Financial Statements

Opinions

We have audited the financial statements of the governmental activities, the general fund, and the aggregate remaining fund information of the Orange County Council of Governments (OCCOG) as of and for the year ended June 30, 2022, and the related notes to the financial statements, which collectively comprise OCCOG's basic financial statements as listed in the table of contents.

In our opinion, the accompanying financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the general fund, and the aggregate remaining fund information of the OCCOG's, as of June 30, 2022, and the respective changes in financial position, for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Basis for Opinions

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS) and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States (*Government Auditing Standards*). Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of OCCOG and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Responsibilities of Management for the Financial Statements

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America; and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about the OCCOG's ability to continue as a going concern for twelve months beyond the financial statement date, including any currently known information that may raise substantial doubt shortly thereafter.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinions. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS and *Government Auditing Standards* will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS and *Government Auditing Standards*, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of OCCOG's internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about OCCOG's ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis and budgetary comparison information as listed in the table of contents be presented to supplement the basic financial statements. Such information is the responsibility of management and, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries

of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated December 22, 2022, on our consideration of the OCCOG's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of OCCOG's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering OCCOG's internal control over financial reporting and compliance.

The image shows a handwritten signature in black ink that reads "Eide Bailly LLP". The signature is written in a cursive, flowing style.

Laguna Hills, California
December 22, 2022

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Management's Discussion and Analysis

As Management of the Orange County Council of Governments (OCCOG), we offer readers of OCCOG's financial statements this narrative overview and analysis of OCCOG's financial activities for the fiscal year ended June 30, 2022.

Overview of the Financial Statements

This discussion and analysis is intended to serve as an introduction to OCCOG's basic financial statements. The basic financial statements are comprised of three components: 1) government-wide financial statements, 2) fund financial statements, and 3) notes to the financial statements. This report also contains required supplementary information.

Government-wide Financial Statements. The government-wide financial statements are designed to provide readers with a broad overview of OCCOG's finances in a manner similar to a private-sector business.

The statement of net position presents information on all of OCCOG's assets and liabilities, with the difference between assets and liabilities reported as net position. Over time, increases or decreases in net position may serve as a useful indication on whether the financial position of OCCOG is improving or deteriorating.

The statement of activities presents information showing how OCCOG's net position changed during the fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only result in cash flows in future fiscal periods. The government-wide financial statements may be found on pages 8 and 9.

Fund Financial Statements. A fund is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. OCCOG, like other state and local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental Funds. Governmental fund financial statements focus on near-term inflows and outflows of spendable resources as well as on balances of spendable resources available at the end of the fiscal year. Such information may be useful in evaluating a government's near-term financing requirements. OCCOG consists of a single governmental fund. The fund financial statements may be found on pages 10 and 11.

Fiduciary Funds. OCCOG is responsible for other assets, that can only be used for the beneficiary on another entity. The fiduciary activities are reported in a separate Statement of Fiduciary net Position and Statement of Changes in Fiduciary Net Position on pages 12 and 13 respectively. OCCOG excludes these activities in OCCOG’s other financial statements because OCCOG can not use these assets to finance its operations. OCCOG is responsible to ensure the assets are reported in this fund is used for its intended purpose.

Government-wide Financial Analysis

The total net position of OCCOG was \$175,412 at June 30, 2022 (as noted in Table 1). In comparison, the total net position of OCCOG at June 30, 2021 was \$242,606. OCCOG’s net position at June 30, 2022 was unrestricted, meaning there are no external restrictions placed on the future use of the organization’s net position.

Table 1.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Net Position at June 30:

	Governmental Activities		Percent Change
	2022	2021	
Current and other assets	\$ 636,658	\$ 386,671	64.7%
Total assets	<u>636,658</u>	<u>386,671</u>	
Current liabilities	461,246	144,065	220.1%
Total liabilities	<u>461,246</u>	<u>144,065</u>	
Net position:			
Unrestricted	175,412	242,606	-27.7%
Total net position	<u>\$ 175,412</u>	<u>\$ 242,606</u>	

The total net position of OCCOG decreased by \$67,194, or 27.7%, during FY 2021-22 (see Table 2). This means that expenses exceeded revenues, on a full accrual basis, by \$67,194 for the year. This compares to the decrease in net position during FY 2020-21 of \$81,243. Revenues were 203.2% higher than in FY 2021-22, and expenses were 171.1% higher. The overall increase in revenues can be attributed to Regional Action Planning (REAP) grant. Membership dues and fees increased in FY 2021-22 compared to FY 2021-22 mainly due to County of Orange re-joining OCCOG and paying Dues in FY 2021-22. Charges for services increased by \$953,839 in FY 2021-22 compared to FY 2020-21 primarily related to (REAP) grant billing for full fiscal year. The increase in expenses can be attributed to increased spending for REAP grant in Community Development which amounted to \$1,026,193.

Table 2.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

**Changes in net position
For the year ended June 30:**

	Governmental Activities		Percent Change
	2022	2021	
Revenues			
Program revenues			
Charges for services	\$ 416,203	\$ 348,691	19.4%
Operating grants and contributions	1,028,658	125,614	718.9%
Investment earnings (loss)	(1,483)	1,753	-184.6%
Total revenues	<u>1,443,378</u>	<u>476,058</u>	203.2%
Expenses			
General government	(427,546)	(425,257)	-1.9%
Community development	(1,083,026)	(132,044)	728.0%
Total expenses	<u>(1,510,572)</u>	<u>(557,301)</u>	171.1%
Change in net position	(67,194)	(81,243)	17.3%
Net position, beginning of year	242,606	323,849	-25.10%
Net position, end of year	<u>\$ 175,412</u>	<u>\$ 242,606</u>	-27.7%

Financial Analysis of the General Fund

As noted above, OCCOG maintains two governmental funds, the General Fund and an Agency Fund.

OCCOG's revenues for FY 2021-22 came from membership dues and fees, as well as charges for services. Members pay annual membership dues that fund expenditures related to the administration of OCCOG. Members also reimburse OCCOG for the cost of demographic research performed by California State University, Fullerton. There was no change in the dues structure from FY 2020-21 to FY 2021-22. For FY 21-22 OCCOG recorded revenue in charges for services to administer planning related projects. The source of funding is Regional Early Action Planning (REAP) Grant Partnerships and Outreach.

General Fund Budgetary Highlights

The OCCOG Board of Directors adopted a formal budget for the fiscal year ended June 30, 2022, on June 24, 2021, which anticipated a deficit of revenues over expenditures for the year of \$(59,670).

Actual expenditures exceeded actual revenues for the year by \$67,194. Actual revenues for the year of \$1,443,378 were \$2,011,031 lower than the final budget amount, as a result primarily of revenue for REAP grant which was not fully realized for FY 21-22. The remaining REAP budget balance is carried over to FY 2022-23.

Total actual expenditures of \$1,510,572 were \$2,003,507 lower than expected in the final FY 2021-22 budget. Expenditures were lower than budget mainly due to REAP grant expenditures which were not fully realized for FY 21-22 budgeted. The remaining REAP budget balance is carried over to FY 2022-23.

Contacting OCCOG Management

Questions concerning any of the information provided in this report or requests for additional information should be addressed to the OCCOG Executive Director at Irvine City Hall, 1 Civic Center Plaza, Irvine, CA 92623-9575, or to the OCCOG Treasurer at 3972 Barranca Parkway Suite J127, Irvine, CA 92606.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Statement of Net Position
June 30, 2022

	<u>Governmental Activities</u>
<u>Assets</u>	
Cash and cash equivalents	\$ 148,966
Accounts receivable	475,733
Accrued interest	463
Prepaid items	<u>11,496</u>
Total assets	<u>636,658</u>
<u>Liabilities</u>	
Accounts payable	<u>461,246</u>
Total liabilities	<u>461,246</u>
<u>Net Position</u>	
Net position: Unrestricted	<u><u>\$ 175,412</u></u>

See accompanying notes to the financial statements.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

**Statement of Activities
Year Ended June 30, 2022**

	<u>Governmental Activities</u>
General Government:	
Program Revenues:	
Charges for services	
Membership dues and fees	\$ 365,408
General assembly	50,795
Operating grants and contributions	
Regional early action planning	1,028,658
Total program revenues	<u>1,444,861</u>
Expenses:	
General government	(427,546)
Community development	(1,083,026)
Total expenses	<u>(1,510,572)</u>
Net program expense	(65,711)
General Revenues:	
Investment earnings (loss)	<u>(1,483)</u>
Change in net position	(67,194)
Net position, beginning of year	<u>242,606</u>
Net position, end of year	<u><u>\$ 175,412</u></u>

See accompanying notes to the financial statements.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Governmental Fund
Balance Sheet
June 30, 2022

	<u>General Fund</u>
<u>Assets</u>	
Cash and investments	\$ 148,966
Accounts receivable	475,733
Accrued interest	463
Prepaid items	11,496
Total assets	<u>\$ 636,658</u>
<u>Liabilities and Fund Balance</u>	
Liabilities:	
Accounts payable	\$ 461,246
Total liabilities	<u>461,246</u>
Fund balance:	
Nonspendable	11,496
Unassigned	163,916
Total fund balance	<u>175,412</u>
Total liabilities and fund balance	<u>\$ 636,658</u>

See accompanying notes to the financial statements.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

**Governmental Fund
Statement of Revenues, Expenditures and Change in Fund Balance
Year Ended June 30, 2022**

	<u>General Fund</u>
Revenues:	
Membership dues and fees	\$ 365,408
Charges for Services - General Assembly	50,795
Intergovernmental revenues - Regional early action planning	1,028,658
Investment earnings (loss)	(1,483)
Total revenues	1,443,378
Expenditures:	
Current:	
General government:	
Demographic research	110,379
Administrative	153,081
Professional services	144,662
Miscellaneous	19,424
Subtotal - general government	427,546
Community development	
General Assembly conference	56,833
Regional early action planning	1,026,193
Subtotal - Community development	1,083,026
Total expenditures	1,510,572
Net change in fund balance	(67,194)
Fund balance, beginning of year	242,606
Fund balance, end of year	\$ 175,412

See accompanying notes to the financial statements.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Statement of Fiduciary Net Position
Fiduciary Funds
June 30, 2022

	<u>Custodial Fund</u>
<u>Assets</u>	
Cash and Investments	<u>\$ 55,672</u>
<u>Net Position</u>	
Net position:	
Restricted for individuals, organizations and other governments	<u><u>\$ 55,672</u></u>

See accompanying notes to the financial statements.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Statement of Changes in Fiduciary Net Position
Fiduciary Funds
Year Ended June 30, 2022

	<u>Custodial Fund</u>
Additions	
Collections for other governments	\$ 4,000
Deductions	
Payments to other governments	<u>153,603</u>
Net increase (decrease) in fiduciary net position	(149,603)
Net position, beginning of year	<u>205,275</u>
Net position, end of year	<u><u>\$ 55,672</u></u>

See accompanying notes to the financial statements.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Notes to the Financial Statements Year Ended June 30, 2022

(1) Summary of Significant Accounting Policies

(a) Organization

A Joint Exercise of Powers Agreement (Agreement) between public agencies located in Orange County, California (Members) created the Orange County Council of Governments (OCCOG) in August 1996. Member agencies include all 34 Orange County cities, the County of Orange, the Orange County Transportation Authority, the Transportation Corridor Agencies, Orange County Sanitation Districts, Independent Special Districts of Orange County and the South Coast Air Quality Management District. The Agreement may not be terminated except by an affirmative vote of a majority of total voting membership of the Board of Directors.

The general purpose of OCCOG is: 1) to serve as the Sub-regional Organization that represents Orange County on mandated and non-mandated Southern California Association of Governments (SCAG) regional planning activities; 2) to develop and adopt an Orange County Projections that serves as Orange County's official growth forecast for local, area-wide and regional planning activities; 3) to provide a vehicle for its members to engage cooperatively and voluntarily on additional regional and cooperative planning efforts with federal, state and regional agencies and to provide Orange County a voice on recommendations and solutions on such additional planning issues to federal, state and regional agencies; and 4) to conduct studies and projects designed to improve and coordinate the common governmental responsibilities and services on an area-wide and regional basis through the establishment of a council of governments. The goal and intent of OCCOG is one of voluntary cooperation among its member agencies for the collective benefit of all member agencies in Orange County.

The books and financial records for OCCOG for the year ended June 30, 2020 are maintained by the Orange County Council of Governments at 3972 Barranca Pkwy, Suite J127, Irvine, CA 92606.

(b) Measurement Focus, Basis of Accounting and Financial Statement Presentation

The basis financial statements of OCCOG are comprised of the following:

- Government-wide financial statements
- Fund financial statements
- Notes to the basic financial statements

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Notes to the Financial Statements Year Ended June 30, 2022

(1) Summary of Significant Accounting Policies (Continued)

(b) Measurement Focus, Basis of Accounting and Financial Statement Presentation (Continued)

Government-wide Financial Statements

Government-wide financial statements are presented using the economic resources measurement focus and the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of the related cash flows. Fiduciary activities are not included in these statements. Program revenues include Charges for Services and Operating Grants and Contributions.

Fund Financial Statements

Governmental fund financial statements are reported using the current financial resources measurement focus and modified accrual basis of accounting. Revenues are recognized as soon as they are both measurable and available. Revenues are considered available when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. For this purpose, the government considers revenues to be available if they are collected within 60 days of the end of the current fiscal period.

Expenditures are generally recorded when a liability is incurred, as under accrual accounting.

OCCOG reports the following major governmental fund:

General Fund – The General Fund is used to account for all financial resources except those required to be accounted for in another fund.

Fiduciary Fund Financial Statements

Fiduciary Fund Financial Statements include a Statement of Net Position and Statement of Changes in Fiduciary Net Position. The fiduciary fund represents a custodial fund. Fiduciary fund types are accounted for according to the nature of the fund. Custodial funds are reported on the accrual basis of accounting.

OCCOG reports the following fiduciary fund:

Custodial Fund – The Custodial Fund is used to account for monies held by OCCOG on behalf of jurisdictions participating in the Orange County Data Acquisition Partnership (OCDAP) with Southern California Association of Governments (SCAG). Each Agency has entered into an agreement with SCAG, of which OCCOG is an intermediary to collect funds from jurisdictions, and remit to SCAG as needed throughout the project.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

**Notes to the Financial Statements
Year Ended June 30, 2022**

(1) Summary of Significant Accounting Policies (Continued)

(c) Use of Estimates

The financial statements have been prepared in accordance with generally accepted accounting principles and may include amounts based on estimates and assumptions by management. Actual results could differ from those amounts.

(d) Net Position and Fund Balance

Net position represents the difference between assets and deferred outflow of resources less liabilities and deferred inflows of resources, and is classified into three categories:

- Net Investment in Capital Assets – The amount consists of capital assets, net of accumulated depreciation, reduced by the outstanding balances of any borrowings used for the acquisition, construction, or improvement of those assets, and excludes unspent debt proceeds. Deferred outflows of resources and deferred inflows of resources that are attributable to the acquisition, construction or improvement of those assets or related debt should also be included in this component of net position.
- Restricted – This amount represents the net position that is not accessible for general use because its use is subject to restrictions enforceable by third parties and enabling legislation, reduced by liabilities and deferred inflows of resources related to those assets.
- Unrestricted – This amount represents the residual of amounts not classified in the other two categories and represents the net position available for OCCOG.

In the governmental fund financial statements, fund balances are classified in the following categories:

- Nonspendable – Items that cannot be spent because they are not in spendable form, such as prepaid items and inventories; advances, which are long-term interfund borrowings; and items that are legally or contractually required to be maintained intact, such as principal of an endowment or revolving loan funds.
- Unassigned – This includes the excess residual amounts in the General Fund and the residual deficit of all other governmental funds, which have not been restricted, committed or assigned to specific purposes.

The General fund is the only fund that reports a positive unassigned fund balance amount.

When an expense/expenditure is incurred for purposes which both restricted and unrestricted resources are available. OCCOG's policy is to apply restricted resources first.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Notes to the Financial Statements
Year Ended June 30, 2022

(1) Summary of Significant Accounting Policies (Continued)

(e) Cash and cash equivalents and investments

OCCOG pools its available cash for investment purposes. OCCOG's cash and equivalents are considered to be cash on hand, demand deposits, and short-term investments with original maturity of three months or less from the date of acquisition. In accordance with GASB Statement No. 31, *Accounting and Financial Reporting for Certain Investments and for External Investment Pools*, highly liquid money market investments with maturities of one year or less at time of purchase are stated at amortized cost.

(2) Cash and Investments

As of June 30, 2022, cash and investments were reported in the financial statements as follows:

Statement of net position:	
Governmental Activities	\$ 148,966
Fiduciary Funds	55,672
Total cash and investments	<u>\$ 204,638</u>

Cash and investments as of June 30, 2022 consist of the following:

Deposits with financial institutions	\$ (1,289)
Investments	205,927
Total cash and investments	<u>\$ 204,638</u>

California public agency depositors. As of June 30, 2022, the carrying amount of OCCOG deposits was \$(1,289) and the bank balance was \$22,219. The \$23,508 difference represents outstanding checks.

Cash consists of bank demand deposit accounts. The *California Government Code* requires California banks and savings and loan associations to secure a government's deposits by pledging government securities with a value of 110% of a government's deposits. California law also allows financial institutions to secure government deposits by pledging first trust deed mortgage notes at 150% of the value of the government's total deposits. The collateral for deposits in federal and state chartered banks is held in safekeeping by an authorized Agent of Depository recognized by the State of California Department of Banking. These securities are physically held in an undivided pool of all California public agency depositors. In accordance with OCCOG's investment policy, the following investments are permitted:

ORANGE COUNTY COUNCIL OF GOVERNMENTS

**Notes to the Financial Statements
Year Ended June 30, 2022**

(2) Cash and Investments (Continued)

<u>Investments Types</u>	<u>Maximum Maturity</u>	<u>Maximum Percentage of Portfolio</u>	<u>Maximum Investment in One Issuer</u>
Local Agency Investment Fund (LAIF)	N/A	\$75M	\$75M
Local Government Investment Pool	N/A	30%	None
Money Market Mutual Fund	N/A	20%	None

Investments in State Investment Pool

OCCOG is a voluntary participant in the Local Agency Investment Fund (LAIF) that is regulated by *California Government Code* under the oversight of the Treasurer of the State of California. The fair value of OCCOG’s investment in this pool is reported in the accompanying financial statements at amounts based upon OCCOG’s pro-rata share of the fair value provided by LAIF for the entire LAIF portfolio (in relation to the amortized cost of that portfolio). The balance available for withdrawal is based on the accounting records maintained by LAIF, which are recorded on an amortized cost basis.

Credit Risk

Generally, credit risk is the risk that an issuer of an investment will not fulfill its obligation to the holder of the investment. This is measured by the assignment of a rating by a nationally recognized statistical rating organization.

Concentration of Credit Risk

As of June 30, 2019, in accordance with GASB statement No. 40 requirements, OCCOG is exposed to concentration of credit risk whenever they have invested more than 5% of their total investments in any one issuer. As of June 30, 2022 OCCOG was not exposed to concentration of credit risk.

Custodial Credit Risk

The custodial credit risk for deposits is the risk that, in the event of failure of a depository financial institution, a government will not be able to recover deposits or will not be able to recover collateral securities that are in the possession of an outside party.

The custodial credit risk for investments is the risk that, in the event of failure of a counterparty to a transaction, a government will not be able to recover the value of investment in collateral securities that are in the possession of an outside party. Custodial credit risk does not apply to a local government’s indirect investment in securities through the use of mutual funds or government investment pools (such as LAIF). As of June 30, 2022, none of OCCOG’s deposits or investments were exposed to custodial credit risk.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

**Notes to the Financial Statements
Year Ended June 30, 2022**

(2) Cash and Investments (Continued)

Disclosures Relating to Interest Rate Risk

Interest rate risk is the risk that changes in market interest rates will adversely affect the fair value of an investment. Generally, the longer the maturity of an investment, the greater the sensitivity of its fair value to changes in market interest rates. OCCOG's investment policy limits investment maturities as a means of managing its exposure to fair value losses arising from increasing interest rates. LAIF has an investment maturity of less than 1 year.

Fair Value Classifications

Fair value measurements are categorized based on the valuation inputs used to measure fair value: Level 1 inputs are quoted prices in active markets for identical assets; Level 2 inputs are significant other observable inputs; Level 3 inputs are significant unobservable inputs.

Investments in LAIF investment pool are uncategorized as deposit and withdrawals are made on the basis of \$1 and not fair value.

(3) Prepaid Items

Prepaid items at June 30, 2022 consisted of the following:

Prepaid insurance	\$ 11,496
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(4) Accounts Receivable

Accounts receivable as of June 30, 2022 consisted mainly of \$475,733 due from SCAG in relation to funds due for the Regional Early Action Planning (REAP) grant partnership.

(5) Liability Insurance

(a) Description of Self-Insurance Pool Pursuant to Joint Powers Agreement

The Orange County Council of Governments is a member of the California Joint Powers Insurance Authority (the Authority), which provides joint protection programs and group purchased insurance for local government agencies. The Authority is composed of 116 California public entities and is organized under a joint powers agreement pursuant to California Government Code §6500 et seq. OCCOG participates in the Authority's Primary Liability Program, which does not have a self-insured retention or member deductible.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

**Notes to the Financial Statements
Year Ended June 30, 2022**

(5) Liability Insurance (Continued)

The overall coverage limit for each member, including all layers of coverage, is \$50 million per occurrence. Subsidence losses have a sub-limit of \$40 million per occurrence. The coverage structure includes retained risk that is pooled among members, reinsurance, and excess insurance. More detailed information about the various layers of coverage is available on the following website: <https://cjpia.org/protection/coverage-programs>.

During the past three fiscal years, the Primary Liability Program did not experience settlements or judgments that exceeded pooled or insured coverage. There were also no significant reductions in pooled or insured coverage in 2021-22.

OCCOG pays an annual contribution to the Authority and may share in any member refunds in the event that pooled funding exceeds the cost of pooled claims and claim-related expenses, or OCCOG may be required to pay additional contributions based upon the Authority's operating results. The Authority's financial statements may be obtained from its administrative office located at 8081 Moody Street, La Palma, California 90623, or by calling (562) 467-8700.

(6) Excess Expenditures over Appropriations

For the year ended June 30, 2022, expenditures of \$56,833 exceeded appropriations of \$45,000 by \$11,833 for the General Assembly conference account level within the General fund, due to additional assembly costs that were not budgeted.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

**Required Supplementary Information
Budgetary Comparison Schedule
General Fund
For the Year Ended June 30, 2022**

	Budgeted Amounts		Actual Amounts	Variance with Final Budget Positive/ (Negative)
	Original	Final		
Revenues:				
Membership dues and fees	\$ 356,409	\$ 356,409	\$ 365,408	\$ 8,999
Charges for services	50,000	50,000	50,795	795
Intergovernmental revenues - Regional early action planning	3,045,000	3,045,000	1,028,658	(2,016,342)
Investment earnings (loss)	3,000	3,000	(1,483)	(4,483)
Total revenues	<u>3,454,409</u>	<u>3,454,409</u>	<u>1,443,378</u>	<u>(2,011,031)</u>
Expenditures:				
Current:				
General government:				
Demographic research	110,379	110,379	110,379	-
Administrative	180,000	180,000	153,081	26,919
Professional services	168,000	168,000	144,662	23,338
Miscellaneous	45,900	45,900	19,424	26,476
Community development				
General Assembly conference	45,000	45,000	56,833	(11,833)
Reagional early action planning	2,964,800	2,964,800	1,026,193	1,938,607
Total expenditures	<u>3,514,079</u>	<u>3,514,079</u>	<u>1,510,572</u>	<u>2,003,507</u>
Net change in fund balance	<u>\$ (59,670)</u>	<u>\$ (59,670)</u>	<u>(67,194)</u>	<u>\$ (7,524)</u>
Fund balance, beginning of year			242,606	
Fund balance, end of year			<u>\$ 175,412</u>	

See accompanying note to the required supplementary information.

ORANGE COUNTY COUNCIL OF GOVERNMENTS

Note Required Supplementary Information Year Ended June 30, 2022

Budgetary Data

OCCOG establishes accounting control through formal adoption of an annual operating budget. The budget is prepared in conformity with accounting principles generally accepted in the United States of America. The adopted budget can be amended by the Board as unforeseen circumstances come to management's attention. In addition, the Executive Director was granted authority to approve amendments to the budget, provided that any individual amendment did not exceed \$10,000 and further provided that any and all such amendments did not increase the total amount of budgeted expenditures. Individual budget amendments in excess of \$10,000 and increases in the total amount of budgeted expenditures required the approval of the Board of Directors.

The legal level of budgetary control is the account level within the General Fund. For the year ended June 30, 2022, expenditures of \$56,833 exceeded appropriations of \$45,000 by \$11,833 for the General Assembly conference account level within the General fund, due to additional assembly costs that were not budgeted.



Independent Auditor's Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards*

To the Board of Directors
Orange County Council of Governments
Irvine, California

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of the governmental activities, the general fund, and the aggregate remaining fund information of Orange County Council of Governments (OCCOG), as of and for the year ended June 30, 2022, and the related notes to the financial statements, which collectively comprise OCCOG's basic financial statements and have issued our report thereon dated December 22, 2022.

Report on Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered OCCOG's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of OCCOG's internal control. Accordingly, we do not express an opinion on the effectiveness of OCCOG's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore material weaknesses or significant deficiencies may exist that have not been identified. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. We identified certain deficiencies in internal control described in the accompanying Schedule of Findings and Responses as items 2022-001 and 2022-002 that we consider to be significant deficiencies.

Report on Compliance and Other Matters

As part of obtaining reasonable assurance about whether OCCOG's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

OCCOG's Response to Findings

Government Auditing Standards requires the auditor to perform limited procedures on OCCOG's response to the findings identified in our audit and described in the accompanying Schedule of Findings and Responses. OCCOG's response was not subjected to the other auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on the response.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

A handwritten signature in cursive script that reads "Eide Bailly LLP".

Laguna Hills, California
December 22, 2022

Finding Number 2022-001**BUDGET APPROPRIATIONS****Criteria:**

OCCOG management has the responsibility for ensuring compliance with the approved annual budget. The adopted budget can be amended by the Board as unforeseen circumstances come to management's attention. The Executive Director has the authority to approve amendments to the budget, provided that any individual amendment did not exceed \$10,000 and further that any and all such amendments did not increase the total amount of budgeted expenditures. Individual budget amendments in excess of \$10,000 and increases in the total amount of budgeted expenditures required the approval of the Board of Directors. The legal level of budgetary control for the General fund budget is at the account level.

Condition:

During our audit it was noted that the OCCOG's general fund expenditures were within appropriations at the fund level. However the General Assembly conference expenditures exceeded appropriations by \$11,833. The excess expenditures over appropriations were due to General Assembly cost increases for higher attendance, as well as staff time that was not budgeted in that category for the event. Overall, expenditures of \$1.5 million were less than budgeted appropriations of \$3.5 million.

Context:

The condition was noted during our audit procedures over OCCOG's annual budget and related budget to actual schedules included within OCCOG's financial statements for the year ended June 30, 2022.

Effect:

Excess expenditures over budgeted appropriations of \$11,833 are disclosed in Note 6 to the financial statements.

Cause:

OCCOG's budget was not amended for excess appropriations for the General Assembly, during the year ended June 30, 2022.

Recommendation:

We recommend OCCOG revisit policies and practices related to budget amendments for significant activities, to ensure appropriations are part of an approved budget. OCCOG may wish to consider the appropriate level of budgetary control for future budget periods.

Views of Responsible Officials and Planned Corrective Actions:

OCCOG will review actual expenditures to budgeted amounts on a quarterly basis. We will ensure that expenditures did not exceed budgeted amounts. IF expenditures do exceed budget, we will propose a budget amendment to the Board for approval

Finding Number 2022-002

FAIR MARKET VALUE ADJUSTMENT

Criteria:

Investments are required to be reported at fair market value in accordance with U.S. Generally Accepted Accounting Principles.

Condition:

The LAIF investment balance, which is tracked based on amortized cost, was not reported at fair value. As of June 30, 2022, the LAIF allocation was 0.987125414, resulting in a loss as of year end. As a result, we proposed an adjustment of \$2,686 to reduce the value of the LAIF investment and related interest income (loss).

Context:

The condition was noted during our audit procedures over OCCOG's cash and investment balances.

Effect:

Cash and investments was overstated by \$2,686.

Cause:

OCCOG considered fair value of LAIF immaterial, and didn't post an adjustment at year end.

Recommendation:

We recommend OCCOG incorporate procedures to consider and post fair value market adjustments for LAIF.

Views of Responsible Officials and Planned Corrective Actions:

OCCOG will review and recalculate the LAIF FMV at year end to ensure no investment income(loss) was incurred. If a loss is noted the adjustment entry will be posted.

Orange County Council of Governments
Summary Schedule of Prior Audit Findings
June 30, 2022

<u>Finding No.</u>	<u>Description</u>	<u>Status of Corrective Action</u>
2021-001	Budget Appropriations	Not implemented – refer to finding 2022-001



December 22, 2022

To the Board of Directors
Orange County Council of Governments
Irvine, California

We have audited the financial statements of the Orange County Council of Governments (OCCOG) as of and for the year ended June 30, 2022 and have issued our report thereon dated December 22, 2022. Professional standards require that we advise you of the following matters relating to our audit.

Our Responsibility in Relation to the Financial Statement Audit under Generally Accepted Auditing Standards and *Government Auditing Standards*

As communicated in our letter dated August 31, 2022, our responsibility, as described by professional standards, is to form and express opinions about whether the financial statements that have been prepared by management with your oversight are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America. Our audit of the financial statements does not relieve you or management of your respective responsibilities.

Our responsibility, as prescribed by professional standards, is to plan and perform our audit to obtain reasonable, rather than absolute, assurance about whether the financial statements are free of material misstatement. An audit of financial statements includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control over financial reporting. Accordingly, as part of our audit, we considered the internal control of OCCOG solely for the purpose of determining our audit procedures and not to provide any assurance concerning such internal control.

We are also responsible for communicating significant matters related to the audit that are, in our professional judgment, relevant to your responsibilities in overseeing the financial reporting process. However, we are not required to design procedures for the purpose of identifying other matters to communicate to you.

We have provided our comments regarding significant control deficiencies during our audit in our Independent Auditor's Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards* dated December 22, 2022.

Planned Scope and Timing of the Audit

We conducted our audit consistent with the planned scope and timing we previously communicated to you.

Compliance with All Ethics Requirements Regarding Independence

The engagement team, others in our firm, as appropriate, our firm, and other firms utilized in the engagement, if applicable, have complied with all relevant ethical requirements regarding independence.

Qualitative Aspects of the Entity's Significant Accounting Practices

Significant Accounting Policies

Management has the responsibility to select and use appropriate accounting policies. A summary of the significant accounting policies adopted by OCCOG is included in Note 1 to the financial statements. There have been no initial selection of accounting policies and no changes in significant accounting policies or their application during the year. No matters have come to our attention that would require us, under professional standards, to inform you about (1) the methods used to account for significant unusual transactions and (2) the effect of significant accounting policies in controversial or emerging areas for which there is a lack of authoritative guidance or consensus.

Significant Accounting Estimates

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's current judgments. Those judgments are normally based on knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ markedly from management's current judgments. No such significant accounting estimates were identified.

Financial Statement Disclosures

Certain financial statement disclosures involve significant judgment and are particularly sensitive because of their significance to financial statement users. There were no financial statement disclosures that we consider to be particularly sensitive or involve significant judgment.

Significant Difficulties Encountered during the Audit

We encountered no significant difficulties in dealing with management relating to the performance of the audit.

Uncorrected and Corrected Misstatements

For purposes of this communication, professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that we believe are trivial, and communicate them to the appropriate level of management. Further, professional standards require us to also communicate the effect of uncorrected misstatements related to prior periods on the relevant classes of transactions, account balances or disclosures, and the financial statements as a whole. Uncorrected misstatements or matters underlying those uncorrected misstatements could potentially cause future period financial statements to be materially misstated, even though the uncorrected misstatements are immaterial to the financial statements currently under audit.

The following misstatements that we identified as a result of our procedures were brought to the attention of, and corrected by, management:

- Adjustment of cash and investments and investment income (loss) for \$2,686 to record LAIF fair value loss for the year ended June 30, 2022.

There were no uncorrected misstatements identified as a result of our audit procedures.

Disagreements with Management

For purposes of this letter, professional standards define a disagreement with management as a matter, whether or not resolved to our satisfaction, concerning a financial accounting, reporting, or auditing matter, which could be significant to OCCOG's financial statements or the auditor's report. No such disagreements arose during the course of the audit.

Representations Requested from Management

We have requested certain written representations from management which are included in the management representation letter dated December 22, 2022.

Management's Consultations with Other Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters. Management informed us that, and to our knowledge, there were no consultations with other accountants regarding auditing and accounting matters.

Other Significant Matters, Findings, or Issues

In the normal course of our professional association with OCCOG, we generally discuss a variety of matters, including the application of accounting principles and auditing standards, operating and regulatory conditions affecting the entity, and operational plans and strategies that may affect the risks of material misstatement. None of the matters discussed resulted in a condition to our retention as OCCOG's auditors.

Noncompliance with Laws and Regulations

We have identified the following matters involving noncompliance with laws and regulations that came to our attention during the course of the audit.

As described in finding 2022-001, OCCOG had expenditures in excess of budget appropriations of \$11,833.

This report is intended solely for the information and use of the Board of Directors and management of OCCOG and is not intended to be, and should not be, used by anyone other than these specified parties.


Laguna Hills, California



AGENDA ITEM # 7

LEGISLATIVE UPDATE

STAFF RECOMMENDATION

That the OCCOG Board of Directors:

- 1) Adopt the 2023-2024 Legislative Policy Guidelines
- 2) Approve the formation of an Ad Hoc Legislative Committee to consider developing proposals that could be considered as an OCCOG sponsor bill and review available resources for such an effort.

SUMMARY

The Legislative Update is provided to keep the OCCOG Board apprised of legislative and regulatory actions that address land use and housing, energy, mobility, air quality and water issues. This report provides an overview of 2021 chaptered legislation of interest and the disposition of bills where the OCCOG Board of Directors took a position.

BACKGROUND

State Update

January Budget Proposal

The Governor released the January Budget Proposal on January 10, 2023. The \$297 billion proposed budget includes a \$22.5 billion deficit that is proposed to be closed using a series of actions to borrow funds and delay spending until future years rather than relying on the use of budget reserve accounts. This projected deficit does not include considerations of a potential recession, though the narrative does note that a draw down of reserves could be needed if economic conditions deteriorate.

The January Budget Proposal includes \$35.6 billion in total reserves, including \$22.4 billion in the Budget Stabilization Account (BSA), \$8.5 billion in the Public School System Stabilization Account, \$900 million in the Safety Net Reserve, and \$3.8 billion in the state's operating reserve. With the BSA at its constitutional maximum amount, \$951 million is required to be used for infrastructure investments in FY 2023-2024.

To close the \$22.5 billion projected deficit, the Governor proposes the following measures:

- Funding Delays - \$7.4 billion



- Delays funding for various items to future fiscal years
- Reductions/Pullbacks - \$5.7 billion
 - Reductions to various projected spending items including:
 - \$3 billion inflationary adjustment
 - \$750 million Unemployment Trust Fund payment
- Fund Shifts - \$4.3 billion
 - Shifts funding allocated from the General Fund from current and next year to other funds:
 - Various California State University (CSU) Capital Outlay Projects to be funded by issued debt
 - Reverting certain bonds from cash back to bonds
 - Shifting Zero Emission Vehicle (ZEV) commitments to the Greenhouse Gas Reduction Fund (GGRF)
- Trigger Reductions - \$3.9 billion
 - The following reductions are included in the proposed budget, but they would be “triggered” to being restored if sufficient funds are available:
 - Climate and Transportation - \$3.1 billion
 - Housing - \$600 million
 - Parks - \$106 million
 - Workforce Training - \$55 million
- Limited Revenue Borrowing - \$1.2 billion
 - To augment General Fund resources, loans from special funds are proposed as well as the renewal of the Managed Care Organization Tax.

In addition, resiliency measures for future fiscal years are proposed including delayed issuance of bonds and reduced contributions to reserve accounts. Key budget proposals surrounding OCCOG’s areas of interest are further described below.

Climate and Transportation

For the first time, the Governor’s proposal combines investments in transportation and climate into one chapter rather than allocating a chapter to each category, reflecting an increasing link between the two at the state level as they cite the role of transportation in the climate discussion.

- ZEV Acceleration
 - 89% of the \$10 billion commitment over five years is proposed to be maintained. Cuts from the program include:
 - \$2.5 billion across various ZEV programs, partially offset by \$1.4 million from the GGRF



- Funding from the Inflation Reduction Act for clean energy programs will be pursued to further fill the gap, in addition to directing a higher level of discretionary Cap and Trade proceeds to these programs
- Transportation
 - \$2.7 billion in General Fund reductions, partially offset by \$500 million from the Infrastructure Investment and Jobs Act (IIJA) that was allocated to the State Highway Account (SHA) in the following areas:
 - Transit & Intercity Rail Capital Program - \$1 billion implemented proportionally by region
 - Active Transportation Account - \$500 million reduction offset by \$300 million from the SHA
 - Climate Adaptation Program - \$200 million reduction offset by \$200 million from the SHA
 - Safety Grade Separations - \$350 million delayed to FY 2025-2026
 - No additional funding is proposed to help transit agencies address shortfalls
- Drought Response and Water Resilience
 - New Investments proposed include:
 - \$135.5 million Urban Flood Risk Reduction
 - \$125 million Drought Contingency
 - \$4.7 million for planning and permitting new water supplies
 - \$7 million for water conservation and drought planning
 - Proposed reductions include:
 - Delay of funding for Community Resilience Centers to FY 2024-2025
 - \$125 million reduction over two years for Regional Climate Resilience
 - \$105 million reduction over two years for the Transformative Climate Communities
 - \$472 million reduction over two years for Coastal Protection and Adaptation

Housing and Homelessness

- Community Assistance, Recovery and Empowerment (CARE) Court allocations include:
 - \$88.3 million for county start up and state implementation costs
 - \$191.5 million over three years for county behavioral health department costs
- \$3.4 billion to maintain state homelessness related funding commitments including \$400 million for a third round of encampment resolution grants and \$1 billion for a fifth round of Homelessness Housing, Assistance and Prevention (HHAP) grants.
- Proposed reductions in this category include:
 - \$200 million reduction to Dream for All
 - \$100 million reduction to CalHome



- Current year reduction of \$50 million to the Accessory Dwelling Unit program
- The Administration proposes to condition eligibility for future homeless-related grants and competitive programs on compliance with state housing law. For communities that are not compliant, other overlapping jurisdictions (cities, counties, continuums of care) will receive that entities share of funds.

Legislative Policy Guidelines

In preparation for the 2023-2024 Legislative Session, the existing Legislative Policy Guidelines are proposed for updates to reflect anticipated issues for the next two-year session. The update process included assessing the legislative outlook for the coming year, conducting a review of partner agency legislative platforms, presentations to the OCCOG Technical Advisory Group and Board of Directors, and the distribution of an electronic survey through the OCCOG newsletter for feedback.

If adopted by the Board of Directors, these guidelines will guide OCCOG's activities and recommendations for the 2023-2024 legislative session, which began on December 5, 2022.

Proposed Updates

With the January Budget Proposal reflecting an estimated \$22.5 billion deficit for Fiscal Year (FY) 2023-2024, the risks to local revenues are significant and efforts to redirect, redefine and delay revenues for infrastructure and local government are already being proposed. In addition, housing is also expected to continue to be a key topic and we are already seeing bills that will have new impacts on local land use authority.

The intersection of transportation and state climate policy will also continue to be a key topic, with capacity projects becoming increasingly difficult to advance. Bills that were proposed in 2022 along these lines have already been reintroduced for this next two-year session. Lastly, the updated legislative policy guidelines are proposed to reflect regional conversations on the desire to rebalance the relationship between local agencies and the state to reflect more of a partnership approach that respects the expertise and needs of local agencies as well.

The proposed changes to the Legislative Policy Guidelines reflect the overall outlook for the coming session including:

Budget and Revenue

Funding

- Oppose efforts to balance the State Budget using general or special fund revenues traditionally allocated to local or regional entities.



- Oppose efforts to borrow from, redirect, eliminate, or shift locally generated revenues for other purposes.
- Oppose any attempts to borrow, redirect, shift or otherwise use state or federal funding currently dedicated for local agencies to meet housing, transportation, water, or energy needs without specific repayment terms and repayment of all previously borrowed funds.

Transportation

- Support the protection of all existing and new transportation funding sources from the imposition of new conditions on the distribution of funds that reprioritize transportation projects.

State-Local Relationship

Governance

- Support improved partnerships with the State that value the expertise, needs, and priorities of local government and the many unique communities throughout the state.

Housing

- Support greater flexibility for local housing element updates and improved partnerships with HCD to help address housing needs and shared housing goals/priorities.

Anticipated Policy Proposals

Transportation

- Oppose efforts to reduce or eliminate local control over priority setting or project selection for locally generated sales tax revenues for transportation.

Housing

- Support providing local jurisdictions with additional tools and funding while preserving local authority to address housing production, affordability, and homelessness challenges.
- Support maintaining maximum local authority over housing and land use decisions throughout the CEQA process.

Other

Brown Act

- Support legislative efforts to modernize the Ralph M. Brown Act to increase public participation, keep up with emerging technology, and allow local government agencies flexibility in conducting official meetings through virtual format.

Environment



- Support transparency in and the equitable distribution of Greenhouse Gas Reduction Fund (GGRF) resources commensurate with the region's responsibility in meeting the state's overall greenhouse gas (GHG) reduction goals.
- Support CEQA streamlining efforts to help local agencies meet housing, transportation, and other environmental goals.
- Support maintaining or increasing Cap and Trade revenues that are allocated to local agencies and improved flexibility in the use of funds.

Guiding Principles

OCCOG's Legislative Advocacy Process will also continue to reflect the following guiding principles for recommendations and advocacy efforts:

- OCCOG will seek to reach consensus among member jurisdictions and ex-officio partners on legislation prior to taking a position.
- OCCOG will avoid taking a position on legislation that divides rather than unites our members.
- OCCOG staff is authorized to continue to advocate a position for a bill which has been amended into a new bill number as long as the legislative intent and language remain substantially unchanged.
- Letters of support or opposition will be provided on OCCOG letterhead and signed by the Board Chairman unless directed by the Board to be signed by the Executive Director.
- In cases where insufficient time exists for a bill to go before the OCCOG Board of Directors, the Executive Committee may take a support or oppose position on legislation consistent with the Board adopted Legislative Policy Guidelines.

At the January Executive Committee Meeting, Board Chair Wagner suggested that an Ad Hoc Legislative Committee be established to consider developing proposals that could be considered as an OCCOG sponsor bill in the future. The proposed Ad Hoc Legislative Committee would also review available resources for a possible enhanced advocacy program.

The proposed 2023-2024 Legislative Policy Guidelines are included as Attachment A. In addition, a list of bills of interest introduced to date in the OCCOG priority areas is included as Attachment B. Staff anticipates bringing specific bills forward at the February Board Meeting for consideration.

California Air Resources Board (CARB) Scoping Plan

In December, CARB adopted the Final 2022 Scoping Plan that seeks to achieve carbon neutrality by 2045, including goals to reduce GHG emissions by 48% by 2030 and 85% by 1045, reduce smog-forming pollution by 71%, and reduce total fossil fuel consumption by 86%.



In order to achieve these targets, the plan proposes some of the following actions:

- Quadruple wind (20-gigawatt increase) and solar power (10-gigawatt increase) by 2045
- Accelerate Low Carbon Fuel Standard (LCFS) targets
- Develop infrastructure for Carbon Capture and Sequestration (CCS) technologies
- 100% light duty vehicle sales by 2030, 100% medium and heavy duty ZEV sales by 2040
- Reduction in vehicle miles traveled (VMT) of 25% by 2030 and 30% by 2045
 - This includes a shift from the base year of calculation from 2005 to 2019

The SB 150 Progress Report released by CARB in June 2022 notes that regional agencies currently lack the authority to achieve the state's climate goals and that 53 of 58 recommendations from this report will require state action to implement. The Legislative Analyst's Office (LAO) also released a report in early January that notes the State is already on track to fall short of the existing GHG reduction standard of 40% by 2030 and recommends further changes to the cap-and-trade program in order to come closer to the desired target. Together, these reports demonstrate the gap between requirements and feasibility that local and regional agencies face.

This plan is required to be updated every five years.

California Transportation Commission Regional Transportation Plan Guidelines Update

The California Transportation Commission (CTC) and California Department of Transportation (Caltrans) have invited stakeholders to participate in Work Groups to provide input on the Regional Transportation Plan (RTP) Guidelines update. These meetings will occur virtually through Fall 2023. The Work Groups will allow stakeholders to provide technical assistance and input throughout the update process, provide input on how statutory requirements and planning practice examples are addressed, and help develop key language edits and additions.

The proposed Work Group topics are:

1. Equity, Public Health, and Public Outreach
2. Housing, Environment, and Climate Change
3. Business and Economic Development
4. Freight
5. Transportation Performance Management
6. Modeling

If OCCOG members are interested in participating in any of the Work Groups, please complete the form at this link: <https://app.smartsheet.com/b/form/b93de3bce2fd492996e0801d059cba04>



In addition, a workshop will be held in Sacramento on February 15, 2023 on the California Transportation Plan (CTP) and Regional Transportation Plan (RTP). Interested parties may register to attend virtually here:

<https://cadot.webex.com/weblink/register/r54d58639f6b14b779ec7e37194fa0196>

For information about the RTP Guidelines update process visit Caltrans' webpage:

<https://dot.ca.gov/programs/transportation-planning/division-of-transportation-planning/regional-and-community-planning/2023-rtp-guidelines-update>

ATTACHMENTS

- A. OCCOG Legislative Policy Guidelines
- B. OCCOG Bills of Interest

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Policy Principles

<u>Issue</u>	<u>Principle</u>	<u>Board Position</u>
Governance	Maintain maximum local flexibility in the areas of land use, housing, energy, mobility, air quality, and water.	Support
Governance	Policies that incentivize local agencies to act collaboratively to achieve regional goals.	Support
Governance	Protecting the authority of local and regional agencies to set local priorities for projects and programs.	Support
Governance	Fair and proportionate representation on countywide and regional boards that protects the autonomy of those governing bodies.	Support
Governance	Improved partnerships with the State that value the expertise, needs, and priorities of local government and the many unique communities throughout the state.	Support
Governance	Policies that make cities more dependent on federal, state and/or county government or agencies for policy direction.	Oppose
Funding	Appropriate funding for local/regional agencies that correspond with state and federal requirements for enhanced planning and land use activities.	Support
Funding	Stable funding through identifiable source(s) and a partnership approach with the state that values local input, helping to support local agencies as they strive to meet the region's land-use, housing, energy, mobility, air quality, water and workforce education needs.	Support
Funding	Efforts to balance the state budget using general fund or special fund revenues traditionally allocated to local or regional agencies.	Oppose
Funding	Efforts to borrow from, redirect, eliminate, or shift locally generated revenues for other purposes.	Oppose
Funding	Any attempts to borrow, redirect, eliminate, shift, or otherwise use state or federal funding currently dedicated for local agencies to meet local housing, transportation, water, or energy needs without specific repayment terms and repayment of all previously borrowed funds.	Oppose
Funding	The correction of Orange County's current status as a "donor" county with regard to federal and state transportation funding.	Support

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Funding	Fair-share Federal and State funding to Orange County based on population, urban nature, and the amount of tax revenue contributed to Federal and State budgets.	Support
Funding	Policies that impose intrusive, unnecessary, or unfunded state mandates or efforts to usurp local authority.	Oppose
Funding	Measures that infringe on the ability of each city to establish or exercise its authority or financing capability.	Oppose
Taxes	The use of private sector investment, rather than governmental taxes and spending, where possible, to accomplish public benefits.	Support
Taxes	Majority vote approval of tax increases for community infrastructure improvements, coupled with oversight and cost-containment.	Support
Taxes	Clear distinctions between taxes and user fees including a direct nexus for fees related to an administrative cost or provision of public service.	Support
Taxes	Extreme caution in considering the modification or elimination of taxpayers' ability to have elected officials, as opposed to appointed staff, resolve California tax disputes;	Support
Water	Adequate rehabilitation and protection of critical levee and waterway systems in the Bay-Delta and other areas of the State that have critical importance to the long-term and sustained movement of water supplies to their points of use.	Support
Water	Adequate investment in surface water impounds, recycle and reuse projects, and groundwater storage systems that protect the State from droughts, earthquakes, and other events that would otherwise cause significant economic dislocations, loss of human lives, or the loss of significant natural resources.	Support
Infrastructure	Adequate and dedicated funding on an annual basis at Federal, State, and County levels for infrastructure maintenance, rebuilding, capacity projects, and system expansion.	Support
Infrastructure	Expanded Design-build authority for infrastructure construction.	Support
Infrastructure	Investment strategies based on sound science and public policy criteria that direct resources into the most needed regional and local infrastructure categories, leverage State and Federal dollars, and	Support

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	maximize the return on existing infrastructure investments.	
Infrastructure	Accelerated project delivery through streamlined environmental delegation and increased authority to local California Department of Transportation (Caltrans) Districts and through use of alternative project delivery methods such as design-build, public-private partnerships, etc.	Support
Transportation	A continued strong state role in providing funding for transit operations rather than shifting responsibility to local transportation entities.	Support
Infrastructure	Implementation of Federal Infrastructure Legislation that provides for equitable distribution of funds, maintaining or increasing funding levels for critical programs, prioritizes local discretion in programming and allocation decisions, and rewards regions with local revenue matching abilities.	Support
Transportation	Protect all existing and new transportation funding sources from the imposition of new conditions on the distributions of funds that reprioritize transportation projects.	Support
Transportation	Policies that ensure local flexibility in meeting the goals of the state's greenhouse gas reduction initiatives, including the creation of incentive-based measures and grant programs.	Support
Transportation	Efforts to reduce or eliminates local control over priority setting or project selection for locally generated sales tax revenues for transportation.	Oppose
Transportation	Efforts to provide secure transit funding for capital and operating expenses.	Support
Transportation	Streamline the Transportation Development Act to create efficiencies and new performance metrics with a reduced focus on farebox recovery.	Support
Transportation	Flexibility should be included in any transit funding source, allowing transit operators to use the funding for both operations and capital expenditures.	Support
Transportation	Policies to divert or reclassify transportation revenue sources, including General Fund and debt service purposes.	Oppose
Transportation	Policies to link or reprioritize local and state transportation funding to support the state's climate goals.	Oppose

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Transportation	Increased funding for the state’s Active Transportation Program to provide the necessary funding to implement state and local goals in this category.	Support
Housing	Policies that usurp local zoning authority or that favor a “one size fits all” approach to housing development.	Oppose
Housing / Homelessness	Continued flexibility in the use of Mental Health Services Act and SB 2 funds to allocate the most funds to the most competitive local projects.	Support
Housing/ Homeless	Local control of Regional Housing Needs Assessment (RNHA) allocations and site placements.	Support
Housing/ Homeless	State support to provide funding for emergency shelters, transitional housing, and ongoing program costs.	Support
Housing/ Homelessness	Providing local jurisdictions with additional tools and funding while preserving local authority to address housing production, affordability, and homelessness challenges	Support
Housing	Housing attainability initiatives that enable local jurisdictions and the development community to work together to address affordability, provide a mix of housing that is appropriate for their community, and remove disincentives and regulatory obstacles to providing housing.	Support
Housing	Efforts to develop sustainable and ongoing funding sources for the creation of permanent supportive housing and affordable housing in local communities, including tax increment tools.	Support
Housing / Land Use	Growth control policies and “smart” growth initiatives that may result in unintended consequences such as unreasonably higher housing costs, increased commute times that impact individuals and families, limited housing supplies that impact labor supplies, and unreasonably inflated land values that limit economic expansion.	Oppose
Housing /Environment	Increased regulation that may place time and resource burdens on approved housing projects.	Oppose
Housing	CEQA Reforms and streamlining efforts that reduce burdens and hurdles for the implementation of affordable and infill housing projects, including additional flexibility for local agencies.	Support
Housing	Maintaining maximum local authority over housing and land use decisions throughout the CEQA process.	Support

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Housing	Housing policies that incentivize the creation of a mix of housing types that reflect the character and needs of the region and community where they are located.	Support
Housing	Greater flexibility for local housing element updates and improved partnerships with HCD in order to help address housing needs and shared housing goals/priorities.	Support
Housing	Reinstatement of the ability to share or trade RHNA allocations to facilitate effective planning and development.	Support
Housing	Allow cities to receive RHNA credit for Moderate Income Units generated under the CSCDA Workforce Housing Program.	Support
Environment	CEQA lawsuits for purposes other than for legitimate environmental litigation	Oppose
Environment	Support transparency, sufficient allocation, and equitable distribution of Greenhouse Gas Reduction Fund (GGRF) resources commensurate with the region's responsibility in meeting the state's overall greenhouse gas (GHG) reduction goals.	Support
Environment	CEQA streamlining efforts to help local agencies meet housing, transportation, and other environmental goals.	Support
Environment	Maintaining or increasing Cap and Trade revenues that are allocated to local agencies and improved flexibility in the use of funds.	Support
COVID-19	Direct and flexible funding for state and local governments to backfill lost revenue resulting from the COVID-19 economic downturn, including additional support for transit and rail agencies suffering from reduced ridership.	Support
Brown Act	Support legislative efforts to modernize the Ralph M. Brown Act to increase public participation, keep up with emerging technology, and allow local government agencies flexibility in conducting official meetings through virtual format.	Support

Attachment B OCCOG All Tracked Bills

AB 6

(Friedman D) Transportation planning.

Status: 12/6/2022-From printer. May be heard in committee January 5.

Is Fiscal: N

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Current law requires certain transportation planning agencies to prepare and adopt regional transportation plans directed at achieving a coordinated and balanced regional transportation system. Current law requires each regional transportation plan to also include a sustainable communities strategy prepared by each metropolitan planning organization in order to, among other things, achieve certain targets established by the State Air Resources Board for the reduction of greenhouse gas emissions from automobiles and light trucks in the region for 2020 and 2035, respectively. This bill would state the intent of the Legislature to enact subsequent legislation that would require regional transportation agencies to prioritize and fund transportation projects, including those funded by a local sales tax measure, that significantly contribute towards the goals outlined in a region’s sustainable communities strategy and the state’s climate goals.

AB 7

(Friedman D) Transportation: funding: capacity projects.

Status: 12/6/2022-From printer. May be heard in committee January 5.

Is Fiscal: N

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Current law requires the Department of Transportation to improve and maintain the state’s highways, and establishes various programs to fund the development, construction, and repair of local roads, bridges, and other critical transportation infrastructure in the state. This bill would state the intent of the Legislature to enact subsequent legislation that would eliminate single occupancy vehicle freeway capacity projects, and allow capacity projects only for bus rapid transit, rail, active transportation purposes, projects that significantly add safety, and projects that significantly reduce congestion, without interfering with existing maintenance and rehabilitation needs.

AB 9

(Muratsuchi D) California Global Warming Solutions Act of 2006: emissions limit.

Status: 12/6/2022-From printer. May be heard in committee January 5.

Is Fiscal: Y

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The California Global Warming Solutions Act of 2006 designates the State Air Resources Board as the state agency charged with monitoring and regulating sources of emissions of greenhouse gases. Under the act, the state board is required to approve a statewide greenhouse gas emissions limit equivalent to the statewide greenhouse gas emissions level in 1990 to be achieved by 2020 and to ensure that statewide greenhouse gas emissions are reduced to at least 40% below the 1990 level by no later than December 31, 2030. Under the act, a violation of a rule, regulation, order, emission limitation, emission reduction measure, or other measure adopted by the state board under the act is a crime. This bill instead would require the state board to ensure that statewide greenhouse gas emissions are reduced to at least 55% below the 1990 level by no later than December 31, 2030.

AB 16

(Dixon R) Motor Vehicle Fuel Tax Law: adjustment suspension.

Status: 12/6/2022-From printer. May be heard in committee January 5.

Is Fiscal: Y

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The Motor Vehicle Fuel Tax Law, administered by the California Department of Tax and Fee Administration, imposes a tax upon each gallon of motor vehicle fuel removed from a refinery or terminal rack in this state, entered into this state, or sold in this state, at a specified rate per gallon. Existing law requires the department to adjust the tax on July 1 each year by a percentage amount equal to the increase in the California Consumer Price Index, as calculated by the Department of Finance. Article XIX of the California Constitution restricts the expenditure of revenues from the Motor Vehicle Fuel Tax, Diesel Fuel Tax Law, and other taxes imposed by the state on fuels used in motor vehicles upon public streets and highways to street and highway and certain mass transit purposes.

This bill would authorize the Governor to suspend an adjustment to the motor vehicle fuel tax, as described above, scheduled on or after July 1, 2024, upon making a determination that increasing the rate would impose an undue burden on low-income and middle-class families. The bill would require the Governor to notify the Legislature of an intent to suspend the rate adjustment on or before January 10 of that year, and would require the Department of Finance to submit to the Legislature a proposal by January 10 that would maintain the same level of funding for transportation purposes as would have been generated had the scheduled adjustment not been suspended.

[AB 42](#)

(Ramos D) Tiny homes: fire sprinkler requirements.

Status: 12/6/2022-From printer. May be heard in committee January 5.

Is Fiscal: N

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Current law prohibits a local agency from requiring an accessory dwelling unit to provide fire sprinklers, if they are not required for the primary residence. This bill would prohibit a local agency from imposing or enforcing any requirement to provide fire sprinklers for any dwelling with a total floor area of less than 500 square feet.

[AB 45](#)

(Boerner Horvath D) Coastal resources: coastal development permits: blue carbon demonstration projects: new development: greenhouse gas emissions.

Status: 12/6/2022-From printer. May be heard in committee January 5.

Is Fiscal: Y

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The California Coastal Act of 1976, among other things, requires anyone wishing to perform or undertake any development in the coastal zone, except as specified, in addition to obtaining any other permit required by law from any local government or from any state, regional, or local agency, to obtain a coastal development permit from the California Coastal Commission, as provided. This bill would authorize the commission to authorize blue carbon demonstration projects, as defined, in order to demonstrate and quantify the carbon sequestration potential of these projects to help inform the state's natural and working lands and climate resilience strategies. The bill would, among other things, authorize the commission to require an applicant with a project that impacts coastal wetland, subtidal, intertidal, or marine habitats or ecosystems to build or contribute to a blue carbon demonstration project.

[AB 49](#)

(Soria D) Affordable housing.

Status: 12/6/2022-From printer. May be heard in committee January 5.

Is Fiscal: N

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would express the intent of the Legislature to enact legislation that would increase the supply of affordable housing and reduce homelessness.

[AB 53](#)

(Fong, Vince R) Motor Vehicle Fuel Tax Law: suspension of tax.

Status: 12/6/2022-From printer. May be heard in committee January 5.

Is Fiscal: Y

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would suspend the imposition of the tax on motor vehicle fuels for one year. The bill would require that all savings realized based on the suspension of the motor vehicle fuels tax by a person other than an end consumer, as defined, be passed on to the end consumer, and would make the violation of this requirement an unfair business practice, in violation of unfair competition laws, as provided. The bill would require a seller of motor vehicle fuels to provide a receipt to a purchaser that indicates the amount of tax that would have otherwise applied to the transaction.

[AB 62](#)

(Mathis R) Statewide water storage: expansion.

Status: 1/4/2023-Read first time.

Is Fiscal: Y

Location: 12/6/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would establish a statewide goal to increase above- and below-ground water storage capacity by a total of 3,700,000 acre-feet by the year 2030 and a total of 4,000,000 acre-feet by the year 2040. The bill would require the State Water Resources Control Board, in consultation with the Department of Water Resources, to design and implement measures to increase statewide water storage to achieve the statewide goal. The bill would require the state board, beginning July 1, 2027, and on or before July 1 every 2 years thereafter until January 1, 2043, in consultation with the department, to prepare and submit a report to the Legislature on the progress made in designing and implementing measures to achieve the statewide goal.

AB 68

(Ward D) Housing.

Status: 1/4/2023-Read first time.

Is Fiscal: N

Location: 12/8/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The Planning and Zoning Law, requires each city, county, and city and county to prepare and adopt a general plan that contains certain mandatory elements, including a housing element. Current law defines several terms for the purposes of these provisions. This bill would make nonsubstantive changes to those definitions.

AB 69

(Waldron R) Transportation: traffic signal synchronization: roadway improvement projects.

Status: 1/4/2023-Read first time.

Is Fiscal: Y

Location: 12/9/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The State Air Resources Board is required to adopt a statewide greenhouse gas emissions limit equivalent to the statewide greenhouse gas emissions level in 1990 to be achieved by 2020 and to ensure that statewide greenhouse gas emissions are reduced to at least 40% below the 1990 level by 2030, and to adopt rules and regulations in an open public process to achieve the maximum, technologically feasible, and cost-effective greenhouse gas emissions reductions. The act authorizes the state board to include the use of market-based compliance mechanisms. Existing law requires all moneys, except for fines and penalties, collected by the state board from the auction or sale of allowances as part of a market-based compliance mechanism to be deposited in the Greenhouse Gas Reduction Fund and to be available upon appropriation. Current law requires the Department of Finance, in consultation with the state board and any other relevant state agency, to develop, as specified, a 3-year investment plan for the moneys deposited in the Greenhouse Gas Reduction Fund. Current law authorizes moneys in the fund to be allocated, as specified, for an investment in a traffic signal synchronization component that is part of a sustainable infrastructure project if the component is designed and implemented to achieve cost-effective reductions in greenhouse gas emissions and includes specific emissions reduction targets and metrics to evaluate the project's effect. This bill would additionally authorize moneys in the fund to be allocated for an investment in a traffic signal synchronization component that is part of a roadway improvement project requiring multiple signals, including, but not limited to, multimodal redevelopment projects, rail trail projects, urban renewal projects, or a project near transit facilities, if the component is designed and implemented to achieve cost-effective reductions in greenhouse gas emissions and includes specific emissions reduction targets and metrics to evaluate the project's effect.

AB 86

(Jones-Sawyer D) Homelessness: lead entity.

Status: 1/5/2023-From printer. May be heard in committee February 4.

Is Fiscal: N

Location: 1/4/2023-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Current law requires the Council on Homelessness to, among other things, identify mainstream resources, benefits, and services that can be accessed to prevent and end homelessness in California and promote systems integration to increase efficiency and effectiveness to address the needs of people experiencing homelessness. This bill would state the intent of the Legislature to enact legislation to establish a single entity to serve as the lead for ending homelessness, who would perform specified duties.

AB 241

(Reyes D) Clean Transportation Program.

Status: 1/14/2023-From printer. May be heard in committee February 13.

Is Fiscal: N

Location: 1/13/2023-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The California Alternative and Renewable Fuel, Vehicle Technology, Clean Air, and Carbon Reduction Act of 2007 creates the Clean Transportation Program, administered by the State Energy Resources Conservation and Development Commission, to provide funding to certain entities to develop and deploy innovative technologies that transform California’s fuel and vehicle types to help attain the state’s climate change policies. This bill would state the intent of the Legislature to enact future legislation related to the Clean Transportation Program.

[AB 251](#)

(Ward D) California Transportation Commission: vehicle weight safety study.

Status: 1/19/2023-From printer. May be heard in committee February 18.

Is Fiscal: Y

Location: 1/18/2023-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would require the California Transportation Commission (CTC) to convene a task force to study the relationship between vehicle weight and injuries to vulnerable road users, such as pedestrians and cyclists, and to study the costs and benefits of imposing a passenger vehicle weight fee. The bill would require the CTC, by no later than January 1, 2026, to prepare and submit a report to the Legislature, as specified.

[AB 257](#)

(Hoover R) Encampments: penalties.

Status: 1/19/2023-Read first time. To print.

Is Fiscal: Y

Location: 1/19/2023-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would prohibit a person from sitting, lying, sleeping, or storing, using, maintaining, or placing personal property in any street, sidewalk, or other public property within 500 feet of a school, daycare center, park, or library. The bill would make a violation of the prohibition an infraction or a misdemeanor. The bill would also make willfully resisting, delaying, or obstructing a peace officer, public officer, or public employee in the discharge or attempt to discharge any duty to enforce the prohibition a misdemeanor. By imposing criminal penalties for a violation of these provisions, this bill would impose a state-mandated local program.

[ABX1 2](#)

(Fong, Vince R) Motor Vehicle Fuel Tax Law: suspension of tax.

Status: 12/5/2022-Read first time. To print.

Is Fiscal: Y

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would suspend the imposition of the tax on motor vehicle fuels for one year. The bill would require that all savings realized based on the suspension of the motor vehicle fuels tax by a person other than an end consumer, as defined, be passed on to the end consumer, and would make the violation of this requirement an unfair business practice, in violation of unfair competition laws, as provided. The bill would require a seller of motor vehicle fuels to provide a receipt to a purchaser that indicates the amount of tax that would have otherwise applied to the transaction.

[ACA 1](#)

(Aguiar-Curry D) Local government financing: affordable housing and public infrastructure: voter approval.

Status: 12/6/2022-From printer. May be heard in committee January 5.

Is Fiscal: N

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The California Constitution prohibits the ad valorem tax rate on real property from exceeding 1% of the full cash value of the property, subject to certain exceptions. This measure would create an additional exception to the 1% limit that would authorize a city, county, city and county, or special district to levy an ad valorem tax to service bonded indebtedness incurred to fund the construction, reconstruction, rehabilitation, or replacement of public infrastructure, affordable housing, or permanent supportive housing, or the acquisition or lease of real property for those purposes, if the proposition proposing that tax is approved by 55% of the voters of the city, county, or city and county,

as applicable, and the proposition includes specified accountability requirements. The measure would specify that these provisions apply to any city, county, city and county, or special district measure imposing an ad valorem tax to pay the interest and redemption charges on bonded indebtedness for these purposes that is submitted at the same election as this measure.

[ACA 2](#)

(Alanis R) Public resources: Water and Wildfire Resiliency Act of 2023.

Status: 12/6/2022-From printer. May be heard in committee January 5.

Is Fiscal: Y

Location: 12/5/2022-A. PRINT

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would establish the Water and Wildfire Resiliency Fund within the State Treasury, and would require the Treasurer to annually transfer an amount equal to 3% of all state revenues that may be appropriated as described from the General Fund to the Water and Wildfire Resiliency Fund. The measure would require the moneys in the fund to be appropriated by the Legislature and would require that 50% of the moneys in the fund be used for water projects, as specified, and that the other 50% of the moneys in the fund be used for forest maintenance and health projects, as specified.

[SB 4](#)

(Wiener D) Planning and zoning: housing development: higher education institutions and religious institutions.

Status: 12/6/2022-From printer. May be acted upon on or after January 5.

Is Fiscal: Y

Location: 12/5/2022-S. RLS.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would require that a housing development project be a use by right upon the request of an applicant who submits an application for streamlined approval, on any land owned by an independent institution of higher education or religious institution on or before January 1, 2024, if the development satisfies specified criteria, including that the development is not adjoined to any site where more than one-third of the square footage on the site is dedicated to industrial use. The bill would define various terms for these purposes. Among other things, the bill would require that 100% of the units, exclusive of manager units, in a housing development project eligible for approval as a use by right under these provisions be affordable to lower income households, except that 20% of the units may be for moderate-income households, provided that all of the units are provided at affordable rent, as set in an amount consistent with the rent limits established by the California Tax Credit Allocation Committee, or affordable housing cost, as specified. The bill would authorize the development to include ancillary uses on the ground floor of the development, as specified.

[SB 5](#)

(Nguyen R) Motor Vehicle Fuel Tax Law: limitation on adjustment.

Status: 1/18/2023-Referred to Com. on GOV. & F.

Is Fiscal: Y

Location: 1/18/2023-S. GOV. & F.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The Motor Vehicle Fuel Tax Law, administered by the California Department of Tax and Fee Administration, imposes a tax upon each gallon of motor vehicle fuel removed from a refinery or terminal rack in this state, entered into this state, or sold in this state, at a specified rate per gallon. Current law requires the department to annually adjust the tax imposed by increasing the rates based on the California Consumer Price Index, as specified. This bill would limit the above-described annual adjustment to a maximum of 2% for rate adjustments made on or after July 1, 2023. This bill contains other related provisions.

[SB 7](#)

(Blakespear D) Homelessness.

Status: 1/18/2023-Referred to Com. on RLS.

Is Fiscal: N

Location: 12/5/2022-S. RLS.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would state the intent of the Legislature to enact legislation regarding homelessness and the regional housing needs allocation.

[SB 12](#)

(Stern D) California Global Warming Solutions Act of 2006: emissions limit.

Status: 1/18/2023-Referred to Com. on E.Q.

Is Fiscal: Y

Location: 1/18/2023-S. E.Q.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Under the California Global Warming Solutions Act of 2006, the State Air Resources Board is required to approve a statewide greenhouse gas emissions limit equivalent to the statewide greenhouse gas emissions level in 1990 to be achieved by 2020 and to ensure that statewide greenhouse gas emissions are reduced to at least 40% below the 1990 level by no later than December 31, 2030. Under the act, a violation of a rule, regulation, order, emission limitation, emission reduction measure, or other measure adopted by the state board under the act is a crime. This bill instead would require the state board to ensure that statewide greenhouse gas emissions are reduced to at least 55% below the 1990 level by no later than December 31, 2030.

SB 17

(Caballero D) Senior housing.

Status: 1/18/2023-Referred to Com. on RLS.

Is Fiscal: N

Location: 12/5/2022-S. RLS.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would state the intent of the Legislature to enact legislation that would create new opportunities for the development of affordable senior housing.

SB 23

(Caballero D) Water supply and flood risk reduction projects: expedited permitting.

Status: 1/18/2023-Referred to Com. on RLS.

Is Fiscal: N

Location: 12/5/2022-S. RLS.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The California Safe Drinking Water Act provides for the operation of public water systems and imposes on the State Water Resources Control Board various duties and responsibilities for the regulation and control of drinking water in the state, including, among other things, overseeing the issuance and enforcement of public water system permits, as provided. Current law authorizes specified works of improvement for the control, conservation, and utilization of destructive flood waters and the reclamation and protection of lands that are susceptible to overflow by flood waters. This bill would express the intent of the Legislature to enact subsequent legislation to expedite the regulatory permitting process for water supply and flood risk reduction projects, as provided.

SB 32

(Jones R) Motor vehicle fuel tax: greenhouse gas reduction programs: suspension.

Status: 12/6/2022-From printer. May be acted upon on or after January 5.

Is Fiscal: Y

Location: 12/5/2022-S. RLS.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The California Global Warming Solutions Act of 2006 requires the state board to adopt rules and regulations to achieve the maximum technologically feasible and cost-effective greenhouse gas emissions reductions to ensure that the statewide greenhouse gas emissions are reduced to at least 40% below the statewide greenhouse gas emissions limit, as defined, no later than December 31, 2030. Pursuant to the act, the State Air Resources Board has adopted the Low Carbon Fuel Standard regulations. The act authorizes the state board to include in its regulation of those emissions the use of market-based compliance mechanisms. Current law requires all moneys, except for fines and penalties, collected by the state board from the auction or sale of allowances as part of a market-based compliance mechanism to be deposited in the Greenhouse Gas Reduction Fund. This bill would suspend the Low Carbon Fuel Standard regulations for one year. The bill would also exempt suppliers of transportation fuels from regulations for the use of market-based compliance mechanisms for one year.

SB 34

(Umberg D) Surplus land disposal: violations: Orange County.

Status: 1/18/2023-Referred to Com. on GOV. & F.

Is Fiscal: Y

Location: 1/18/2023-S. GOV. & F.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Current law prescribes requirements for the disposal of land determined to be surplus land by a local agency. Those requirements include a requirement that a local agency, prior to disposing of a property or participating in negotiations to dispose of that property with a prospective transferee,

send a written notice of availability of the property to specified entities, depending on the property's intended use, and send specified information in regard to the disposal of the parcel of surplus land to the Department of Housing and Community Development. Current law, among other enforcement provisions, makes a local agency that disposes of land in violation of these disposal provisions, after receiving notification of violation from the department, liable for a penalty of 30% of the final sale price of the land sold in violation for a first violation and 50% for any subsequent violation. Under current law, except as specified, a local agency has 60 days to cure or correct an alleged violation before an enforcement action may be brought. Current law provides for the deposit and use of penalty revenues for housing, as prescribed. This bill, until January 1, 2030, would require the County of Orange, or any city located within Orange County, if notified by the department that its planned sale of surplus land is in violation of existing law, to cure or correct the alleged violation within 60 days, as prescribed.

[SB 63](#) (Ochoa Bogh R) Homeless and Mental Health Court and Transitioning Home Grant Programs.

Status: 1/18/2023-Referred to Com. on PUB S.

Is Fiscal: Y

Location: 1/18/2023-S. PUB. S.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Under current law, the Board of State and Community Corrections administers several grant programs, including a mentally ill offender crime reduction grant program, a medication-assisted treatment grant program, and a violence intervention and prevention grant program. This bill would establish two new grant programs until January 1, 2028: the Homeless and Mental Health Court Grant Program that would, subject to an appropriation by the Legislature, be administered by the Judicial Council and provide grants to counties for the purpose of establishing or expanding homeless courts and mental health courts, as specified; and the Transitioning Home Grant Program that would, subject to an appropriation by the Legislature, be administered by the board and provide grants to county sheriffs and jail administrators to fund programs aimed at reducing homelessness among inmates released from custody, as specified.

[SB 79](#) (Nguyen R) Coastal resources: preservation.

Status: 1/13/2023-From printer. May be acted upon on or after February 12.

Is Fiscal: N

Location: 1/12/2023-S. RLS.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The California Coastal Act of 1976 finds and declares that the basic goals of the state for the coastal zone are to, among other things, protect, maintain, and, where feasible, enhance and restore the overall quality of the coastal zone environment and its natural and artificial resources. This bill would provide that it is the intent of the Legislature to enact subsequent legislation that would establish policy addressing coastal preservation.

[SB 84](#) (Gonzalez D) Clean Transportation Program.

Status: 1/17/2023-From printer. May be acted upon on or after February 13.

Is Fiscal: N

Location: 1/13/2023-S. RLS.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Would state the intent of the Legislature to enact future legislation related to the Clean Transportation Program.

[SB 91](#) (Umberg D) California Environmental Quality Act: exemption: supportive and transitional housing: motel conversion.

Status: 1/18/2023-From printer. May be acted upon on or after February 17.

Is Fiscal: Y

Location: 1/17/2023-S. RLS.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Current law, until January 1, 2025, exempts from the California Environmental Quality Act (CEQA) projects related to the conversion of a structure with a certificate of occupancy as a motel, hotel, residential hotel, or hostel to supportive or transitional housing, as defined, that meet certain conditions. This bill would extend indefinitely the above exemption.

[SB 225](#) (Caballero D) Community Anti-Displacement and Preservation Program: statewide contract.

Status: 1/19/2023-Introduced. Read first time. To Com. on RLS. for assignment. To print.

Is Fiscal: Y

Location: 1/19/2023-S. RLS.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: Current law establishes the Department of Housing and Community Development in the Business, Consumer Services, and Housing Agency and makes the department responsible for administering various housing programs throughout the state, including, among others, the Multifamily Housing Program and the California Emergency Solutions Grants Program. Current law, upon appropriation, authorizes the department to make either or both loans and grants to rehabilitate, capitalize operating subsidy reserves for, and extend the long-term affordability of department-funded housing projects that have an affordability restriction that has expired, that have an affordability restriction with a remaining term of less than 10 years, or are otherwise at risk for conversion, as provided. This bill would establish the Community Anti-Displacement and Preservation Program for purposes of funding the acquisition and rehabilitation of unrestricted housing units and attaching long-term affordability restrictions on the housing units, while safeguarding against the displacement of current residents.

SBX1 1

(Jones R) Motor vehicle fuel tax: greenhouse gas reduction programs: suspension.

Status: 12/5/2022-Introduced. Read first time. Referred to Com. on RLS.

Is Fiscal: Y

Location: 12/5/2022-S. RLS.

Desk	Policy	Fiscal	Floor	Desk	Policy	Fiscal	Floor	Conf. Conc.	Enrolled	Vetoed	Chaptered
1st House				2nd House							

Summary: The California Global Warming Solutions Act of 2006 requires the State Air Resources Board to adopt rules and regulations to achieve the maximum technologically feasible and cost-effective greenhouse gas emissions reductions to ensure that the statewide greenhouse gas emissions are reduced to at least 40% below the statewide greenhouse gas emissions limit, as defined, no later than December 31, 2030. Pursuant to the act, the state board has adopted the Low Carbon Fuel Standard regulations. The act authorizes the state board to include in its regulation of those emissions the use of market-based compliance mechanisms. Current law requires all moneys, except for fines and penalties, collected by the state board from the auction or sale of allowances as part of a market-based compliance mechanism to be deposited in the Greenhouse Gas Reduction Fund. This bill would suspend the Low Carbon Fuel Standard regulations for one year. The bill would also exempt suppliers of transportation fuels from regulations for the use of market-based compliance mechanisms for one year.

Total Measures: 32

Total Tracking Forms: 32



Greenleaf POWER

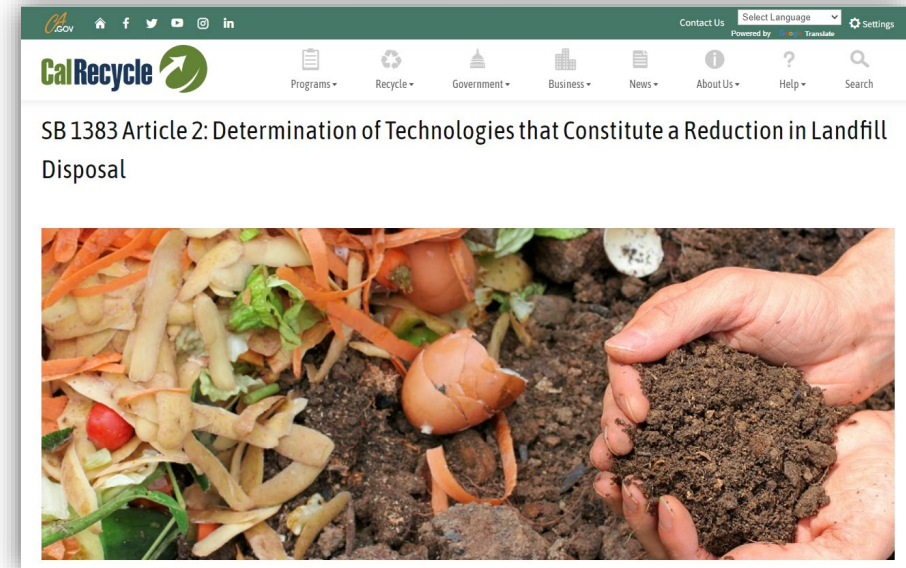
Orange County Council of Governments Desert View Power: SB 1383 Compliance

December 2022

- SB 1383 background and compliance options
- CalRecycle view on biomass to electricity
- Desert View Power Plant
- Biomass Energy Compliance Attributes
- Commercial Opportunities



- SB 1383 requires reduction of organic materials entering landfills
 - Effective Jan 1, 2022
 - Sets requirements for jurisdictions to procure Recovered Organic Waste Products (“ROWP”)
- Targets of legislation are to reduce organic waste disposal by 75% and recover 20% of currently disposed surplus food by 2025.
- Jurisdictions may comply by direct procurement or by working with a Direct Service Provider (DSP). Products may either be used or given away (i.e., free mulch to citizens)
- Currently, jurisdictions are utilizing mulch or compost for compliance
 - Limited understanding or availability of RNG or biomass-to-electricity



Jurisdictions must comply by procuring 0.08 tons of ROWP per person. ROWP options include:

- 1) Compost (1.45 cubic yards, or 1 ton)
- 2) Mulch (1 ton)
- 3) Renewable Natural Gas (21 diesel gallon equivalents)
- 4) Biomass-to-Electricity (650 kWh)

Additional material: helpful summary presentation by StopWaste.org can be found at https://www.stopwaste.org/sites/default/files/SB-1383-procurement-pres_DRAFT.pdf

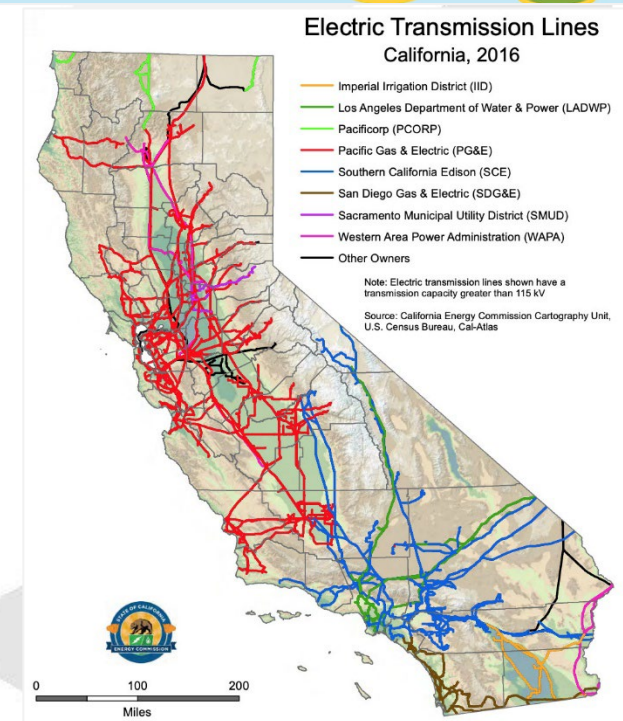
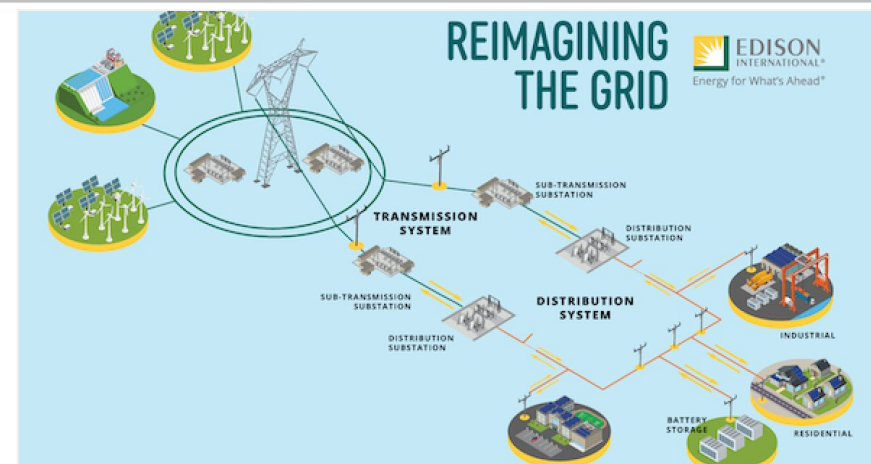


CalRecycle view on biomass to electricity

- Greenleaf has worked with CalRecycle to give input as CalRecycle documented the rules for using biomass electricity to satisfy the ROWP procurement requirement: **electricity consumption by *any* jurisdiction in *any* part of California *may* count as ROWP created by a biomass facility¹**
 - Usage must be for municipal operations only (no residential or commercial)
 - A jurisdiction cannot count more electricity towards compliance than is actually consumed
 - Jurisdiction must acquire Biomass Electricity Conversion Attributes² from a biomass facility to match with the energy the jurisdiction consumes

¹ See "Electricity from Biomass Conversion" section of CalRecycle FAQ: <https://calrecycle.ca.gov/organsics/slcp/faq/recycledproducts/#electricity>

² equivalent to the procurement compliance attributes described on the CalRecycle FAQs



Desert View Power is well-situated to receive 1383-compliant fuel and convert this material to ROWPs that can be transferred to jurisdictions for their compliance



Desert View Power

Local, Green, Reliable

- Owned since 2010 by Greenleaf Power
- **Certified Renewable Energy**
- 5-year Power Purchase Agreement with IID signed April 2022
- Located on the Cabazon Band of Mission Indians Reservation
- Makes enough energy to power nearly 50,000 homes for a year



- **Eliminates the need for open burning of agricultural waste**
- Controlled combustion in a biomass boiler coupled with emissions controls technology dramatically reduces the creation and release of criteria pollutants.
- Versus open burning, **DVP reduces particulate matter (PM), nitrogen oxides, carbon monoxide, and volatile organic compounds**



1 Fuel Transport
Fuel brought to Greenleaf facility



2 Record Keeping
Records are kept to verify what % of fuel is SB 1383 compliant



3 Power Production
Greenleaf converts biomass to electricity, puts on grid



4 Electricity Distribution
Jurisdictions purchase electricity from grid



5 Compliance Procurement
Agreement between Greenleaf and Jurisdiction used to meet SB 1383 requirements ROWP

SB 1383 Requirements

SB 1383 places compliance obligations on each covered "jurisdiction" – a city, a county or a city and county (14 CCR § 18993.1(a)). Beginning January 1, 2022, each jurisdiction must procure ROWP, which can include compost, mulch, renewable natural gas (RNG) and electricity from biomass conversion.

How It Works

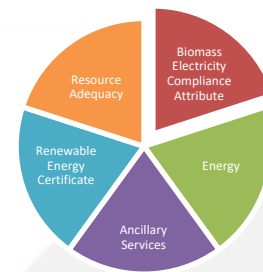
Biomass electricity facilities generate power that goes onto California's electric grid. Utilities use meters to track the power added to the grid and used by consumers. When jurisdictions use power at their facilities (i.e., city hall, library, water treatment, etc.), that electricity use is tracked by those meters.

Electricity from a biomass conversion facility counts towards SB 1383's ROWP compliance based on the amount of fuel from qualified sources and the amount of power used by the jurisdiction. The graphic to the left shows how this process works.

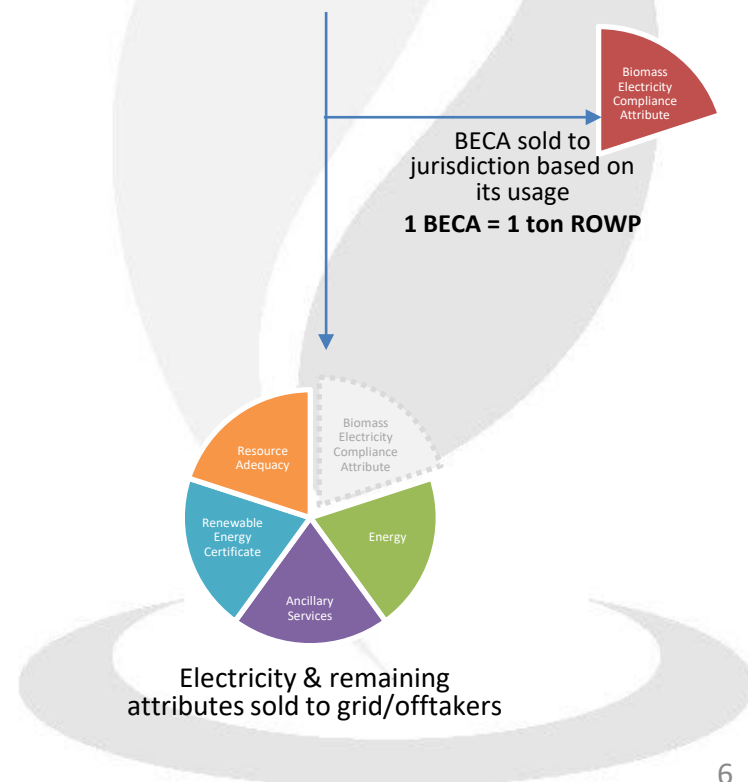
What is Biomass Electricity?

Located throughout California, biomass power plants produce carbon neutral electrical power by using renewable residual wood, including agricultural material, forest biproducts, and construction and demolition material. Biomass plants assist in reducing air pollution through capturing material that would otherwise be open-burned, left in the forest as fuel for future forest fires, or landfilled.

OCCOG 118

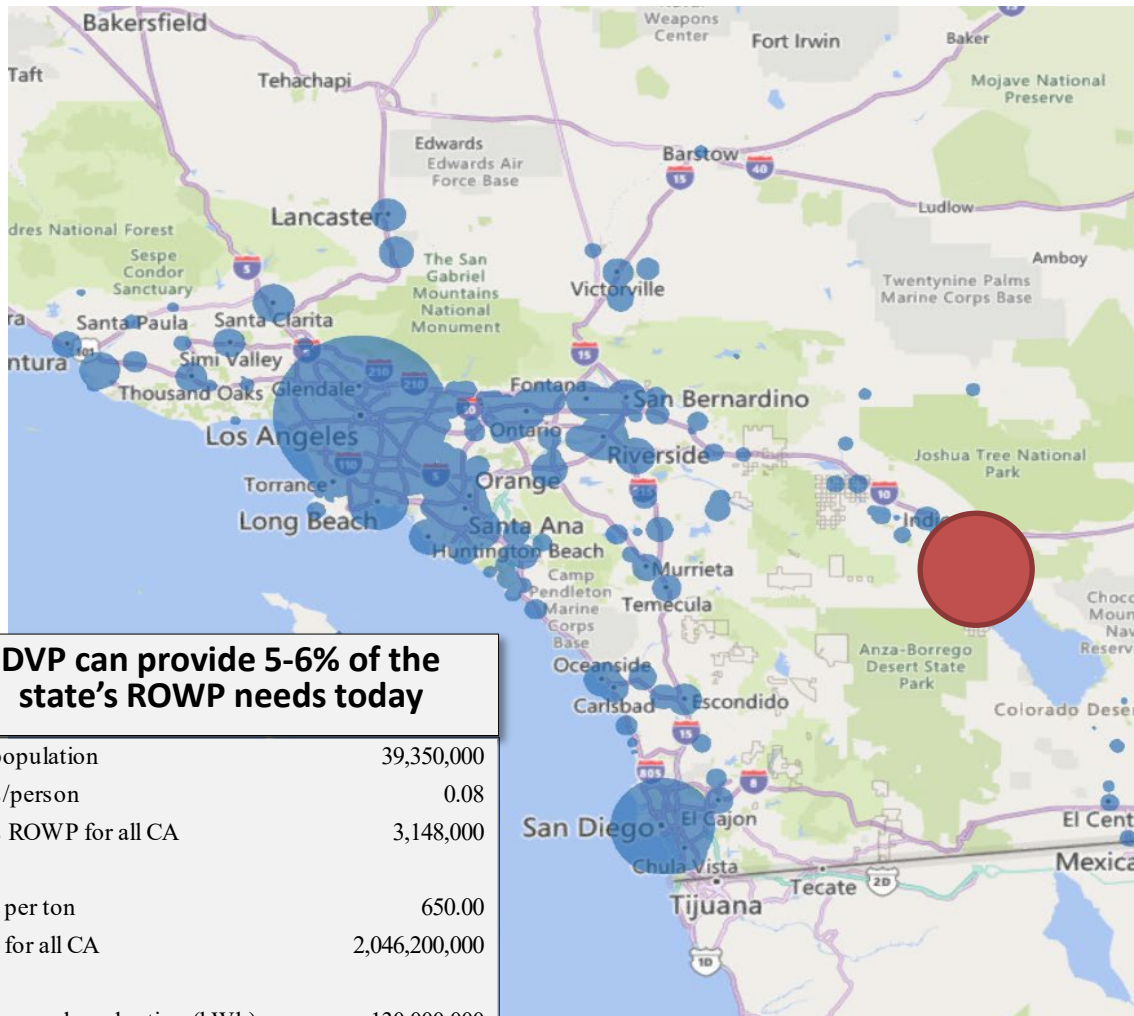


Electricity & attributes generated by Desert View Power

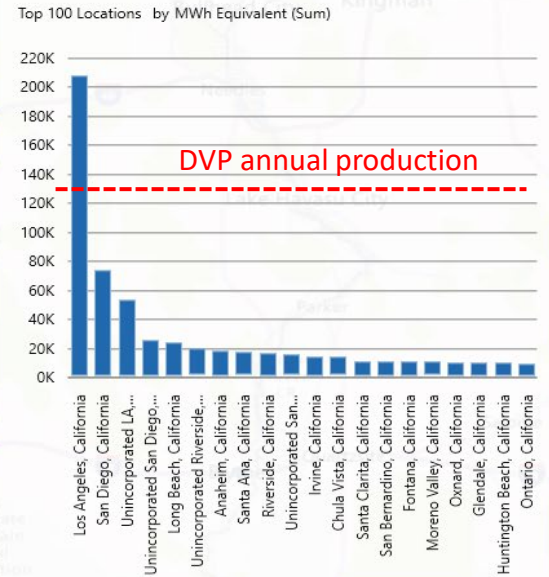


Electricity & remaining attributes sold to grid/offtakers

Biomass Energy Compliance Attributes



MWh Equivalent (Sum) by City



DVP can provide 5-6% of the state's ROWP needs today	
CA population	39,350,000
Tons/person	0.08
Tons ROWP for all CA	3,148,000
kWh per ton	650.00
kWh for all CA	2,046,200,000
DVP annual production (kWh)	130,000,000
% of CA's ROWP needs	6%



Commercial Opportunities

Term	1-5 years	Extension options available
Quantity Available	Estimated 195,000 tons ROWP <i>Equivalent to 195,000 tons of mulch Compliance for 2.4 million people</i>	Based on minimum expected dispatch under DVP contract

- BECA offering allows jurisdictions compliance at low expenses
- BECA’s can be used by ***any*** jurisdiction in ***any*** part of California
- Opportunities exist to provide incremental fuel supply to DVP in exchange for favorable pricing on BECAs
- BECA offering has **near-zero overhead cost to jurisdiction** or DSP.
 - Attestations, fuel tracking, and BECA creation is all performed by DVP.
 - Jurisdiction only needs to verify energy consumption from existing billing.
- Limitation: jurisdictions can only use as many BECA’s as they use power for municipal operations
 - Requires monthly kWh usage from power bills



AGENDA ITEM # 11

REAP 2.0

RECOMMENDED ACTION

Discuss draft REAP 2.0 program of projects proposed by staff as part of the application to SCAG. Provide guidance for further program refinement.

SUMMARY

As a result of AB 140, \$246 million in one-time funding will be made available to the Southern California Association of Governments region for Regional Early Action Planning Grant (REAP) 2021. SCAG has defined several programs including funding to councils of governments via the Sub-Regional Partners Program (SRP). OCCOG has been working with member jurisdictions to identify areas of support that would meet both the requirements of the REAP program and the needs of jurisdictions. Staff is presenting a list of projects for Board discussion as we prepare the OCCOG REAP 2.0 application to SCAG.

BACKGROUND

Pursuant to AB 140, SCAG is receiving \$246M in funding for what has become known as the REAP 2.0 program. Modeled after the successful 2019 REAP 1.0 program, this one-time funding source will support “transformative” planning activities related to promoting infill, affirmatively furthering fair housing (AFFH) and reducing vehicle miles traveled (VMT). While the broader SCAG program will have several components that are still being developed in compliance with the guidelines released by the California Department of Housing and Community Development (HCD) on July 26, 2023, SCAG has indicated that they will once again provide funding directly to the subregions via a Subregional Partnership Program. SCAG has designated the SRP as part of the “early action” portion of their REAP 2.0 program. SRP allocations will follow the same formula made under REAP 1.0. OCCOG will therefore receive up to \$3.245M in funding via the REAP 2.0 SRP program. Should OCCOG not be able to use the funding allocated to us, it is staff’s understanding at this time that the intent is for funds to remain within the county and be reallocated to the CTC partnership program.

As in REAP 1.0, OCCOG will be required to submit an application that outlines our plans for projects under REAP 2.0. The first step in the application process is to submit an Intent to Apply form to SCAG. While not required by SCAG to have Board approval for the Intent to Apply, OCCOG staff brought forward the OCCOG Intent to Apply for Board discussion and approval at the September 2022 board meeting to maintain transparency and ensure that OCCOG’s REAP 2.0 SRP application is Board-led.



New requirements and parameters have been placed around the types of projects that will qualify for REAP 2.0 funding, including the imperative that the projects be “transformative” in nature and address AFFH, VMT, and encourage infill. Whereas project planning was the focus of REAP 1.0, project implementation is the focus of REAP 2.0.

Since September 2022, OCCOG staff have been working closely with the TAC and EMC to develop the list of projects we include in our application to SCAG, and has also updated the OCCMA. Further refinement will take place after the discussion at today’s Board meeting.

Options discussed include:

Support Jurisdictions Fair Housing Efforts: this would include training for member staff, county-wide AFFH education sessions/convenings, developer roundtables, anti-displacement policy best practices, and outreach support for member jurisdictions.

Housing Element Action Plan Assistance: Once housing elements have been certified, implementing the strategies laid out is an important next step in keeping our jurisdictions in conformance with state housing law. OCCOG will review the housing elements of our members and identify areas where there may be county-wide benefit to having OCCOG take on implementation activities.

Technology tools to support AFFH, VMT reduction, and Infill development: OCCOG has introduced GIS-based tools and 3-D renderings, as well as a housing website and video series under REAP 1.0 that we plan to continue, as well as new tools that will help monitor public sentiment and provide scenario-based interactive decision-making that can help the public understand the complexities of decisions that must be made to reach individual jurisdictional housing goals.

Zoning Code and Housing Ordinance Support: OCCOG proposes to provide several tools that can assist jurisdictions with making any changes that may be needed a) zoning code audit for each member jurisdiction with an actionable checklist, b) for those jurisdictions that need greater support at the conclusion of the audit, staff augmentation from the OCCOG consultant bench c) zoning code templates and model ordinances that can be tailored to individual jurisdictional needs.

Housing-Related Outreach Support: an array of outreach resources including tools and consultant support accessible by OCCOG member jurisdictions to augment their in-house public engagement and ensure that jurisdictions are meeting their housing element commitments.



On-Call Services Bench: OCCOG has been able to offer support to nearly all our jurisdictions via the on-call bench we established under REAP 1.0. A wide range of work has been undertaken to support housing related planning activities, including: developing objective design standards, SB 9 and ADU plan review, outreach and public engagement efforts, housing element certification support, residential parking programs, and assistance with AFFH-related efforts.

Missing Middle Pre-Approved Plans: The development of pre-approved plans that can be used for Missing Middle housing – small-scale multifamily housing that can range from duplexes to townhouses to smaller apartment buildings that are compatible with walkable neighborhoods. Having these plans available, fast-tracks the time it takes for homeowners and developers to get through the building permit process, saving time and money. OCCOG will include the pre-approved plans on the Housing SoCal website developed under REAP 1.0.

Land Use/VMT Reduction Tool: A tool to help city planners (OCCOG member jurisdiction staff) to better make land use decisions. This is the creation of a meta-model that will enable staff to see and understand the impacts of land use decisions on vehicle miles traveled (VMT), in real time. This will help to inform land use decisions up front in the planning process rather than how it currently happens at the end of the process. This will lead to land use decisions that make a greater, transformative impact on reducing VMT.

It is important to note that all OCCOG jurisdictions will have access to the full program of projects ultimately approved by the Board. Our approach is to offer a menu of options that are available, not mandatory participation by jurisdictions. We are trying to assist our members to meet the requirements of state housing law by offering an array of technical assistance, staff augmentation, expertise, and removing the burden of implementation where possible.

Next Steps

The Board is being asked to provide input and guidance for the proposed projects that would be included in the OCCOG application. Based on Board discussion today, refinements to the program will be made and budget will be allocated. OCCOG will work with SCAG staff to ensure that the refined list of projects will be acceptable and will return to the TAC, EMC, and OCCMA for input prior to the February 23, 2023 Board meeting where a final program will be presented for Board approval. OCCOG will then submit our application to SCAG, and once approved will begin work on REAP 2.0 projects as soon as we receive Notice to Proceed from SCAG.



Orange County Council of Governments

STAFF REPORT January 26, 2023

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